

23

Application for Federal Firearms License

For ATF Use Only

1. Name of Owner or Corporation (If partnership, include name of each partner)

Deb's Gun Range LLC

435 07544

2. Trade or Business Name, if any

Deb's Gun Range

3. Employer Identification Number (EIN) or Social Security Number (SSN is Voluntary)

(b)(3)-(26 USC 6103)

4. Name of County in Which Business is Located

Lake

5. Business Address (RFD or street number, city, State, and ZIP code) (NOTE: The business address CANNOT be a P.O. Box.)

6819 Kennedy Ave., Hammond, Indiana, 46323

6. Mailing Address (If different from address in item #5)

7. Contact Numbers (Include Area Code)

Business Phone 219-845-8880

Fax Number 219-937-7461

Cell Phone (b) (6)

24 Hour Emergency # (If different)

8. Applicant's Business is (Select one)

Individually Owned A Partnership A Corporation Other (Specify) LLC

9. Describe Specific Activity Applicant is Engaged in, or Intends to Engage in, Which Requires a Federal Firearms License. (Sale of ammunition alone does not require a license.)

Buy/Sell/Trade/Transfer Firearms

10. Do You Intend to Engage in Business as a Pawnbroker?

Yes No

11. Application is Made for a License Under 18 U.S.C. Chapter 44 as a: (Place an "X" in the appropriate box. Submit the fee noted next to the box with the application. Licenses are issued for a 3-year period. See instruction #13 for payment information.)

Type	Description of License Type	Fee
01/02	Dealer (01), including Pawnbroker (02), in Firearms Other Than Destructive Devices (Includes: Rifles, Shotguns, Pistols, Revolvers, Gunsmith activities and National Firearms Act (NFA) Weapons)	\$200 <input checked="" type="checkbox"/>
06	Manufacturer of Ammunition for Firearms Other Than Ammunition for Destructive Devices or Armor Piercing Ammunition	\$30 <input type="checkbox"/>
07	Manufacturer of Firearms Other Than Destructive Devices	\$150 <input type="checkbox"/>
08	Importer of Firearms Other Than Destructive Devices or Ammunition for Firearms Other Than Destructive Devices, or Ammunition Other Than Armor Piercing Ammunition (NOTE: Importer of handguns and rifles, see instruction #8.)	\$150 <input type="checkbox"/>
09	Dealer in Destructive Devices	\$3000 <input type="checkbox"/>
10	Manufacturer of Destructive Devices, Ammunition for Destructive Devices or Armor Piercing Ammunition	\$3000 <input type="checkbox"/>
11	Importer of Destructive Devices, Ammunition for Destructive Devices or Armor Piercing Ammunition (See instruction #8.)	\$3000 <input type="checkbox"/>
Total Fees \$		\$200.00

12. Method of Payment (Check one)

Check (Enclosed) Cashier's Check or Money Order (Enclosed) Visa Mastercard American Express Discover Diners Club

Credit/Debit Card Number (No dashes)

(b) (6)

Name as Printed on Your Credit/Debit Card

Edward D Esgate

Expiration Date (Month & year)

(b) (6)

Address:

Credit/Debit Card Billing Address: (b) (6)

(b) (6)

Please Complete to Ensure Payment is Credited to the Correct Application:

I am Paying the Application Fee for the Following Person, Corporation, or Partnership:

Deb's Gun Range LLC

Total Application Fees:

\$200

I Authorize ATF to Charge my Credit/Debit Card the Above Amount.

Edward D. Esgate
Signature of Cardholder

FEDERAL FIREARMS

04-03-2017

Date

Your credit/debit card will be charged the above stated amount upon receipt of your application. The charge will be reflected on your credit/debit card statement. In the event a license/permit is NOT issued, the above amount will be credited to the credit/debit card noted above.

APR 12 2017
1 - ATF Copy

ATF Form 7 (3/10/12)
Revised May 2009

LI17041172422

LICENSING CENTER

13. Hours of Operation of Applicant's Business (Must be completed)								14. Is Applicant Presently Engaged in a Business Requiring a Federal Firearms License? (If "Yes," answer 14a) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Time	Sun	Mon	Tues	Wed	Thu	Fri	Sat	
Open	11	Closed	10	10	10	10	10	
Close	3	Closed	5	5	7	7	7	14a. Present Federal Firearms License Number 4-35-089-01-7M-38237

15. If You Have Served in the Armed Forces, Provide the Service Serial Number and Military Branch.

Service Serial Number	Military Branch
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16. Applicant's Business Premises Are

Owned Leased/Rented Military

IF RENTED OR LEASED, PLEASE PROVIDE THE NAME, ADDRESS, AND TELEPHONE NUMBER OF THE PROPERTY OWNER.

Name and Address of Property Owner (If applicable)

Telephone Number of Property Owner (If applicable)

17. Indicate Type of Business Premises

Zoned Commercial:	Zoned Residential:
<input checked="" type="checkbox"/> Store Front	<input type="checkbox"/> Single Family Dwelling
<input type="checkbox"/> Office	<input type="checkbox"/> Condominium/Apartment
<input type="checkbox"/> Rod & Gun Club	<input type="checkbox"/> Hotel/Motel
<input type="checkbox"/> Military Base	<input type="checkbox"/> Public Housing
<input type="checkbox"/> Other (Specify)	

18. Do You Intend To Make a Profit from Your Business?

Yes No (If no, do not submit application)

IF THE PREMISES ARE LOCATED ON A MILITARY INSTALLATION, ATTACH A COPY OF WRITTEN AUTHORIZATION FROM THE BASE COMMANDER TO CONDUCT A FIREARMS BUSINESS ON THE MILITARY INSTALLATION.

18a. Do You Intend To Sell Firearms Only at Gun Shows?

Yes (If yes, do not submit application) No

19. Do You Intend to Use Your License Only to Acquire Personal Firearms?

Yes (If yes, do not submit application) No

If Business was Obtained From Someone Else, Provide the Following Information.

20. Name of Previous Business

EE 6-7-17
Deb's Gun Range
Debora Gales dba Deb's Gun Range

21. Federal Firearms License Number

4-35-089-01-7M-38237

22. Provide the Information Required for Each Individual Owner, Partner, and Other Responsible Persons in the Business. See Instruction #10 for Responsible Person Definition. If a Female, List Any Given, Married, and Maiden Names, e.g., "Mary Alice (Smith) Jones," Not "Mrs. John Jones." (If additional space is needed, use a separate sheet.) Each Responsible Person Must Complete All Information in this Section.

Full Name	Position and Social Security Number (Social Security Number is Voluntary)	Home Address (Please provide every address you have had in the last 5 years.)	Country of Citizenship (List more than one, if applicable. Nonimmigrant aliens must complete all information in item #23.)	Place of Birth (City, State, or Foreign Country)	Date of Birth	Race and Ethnicity (Please check one or more boxes)	Sex	Residence Telephone No.
Edward Daniel Esqate	EE 6-7-17 Social Security Number: (b) (6) Sole member	(b) (6)	(b) (6)	(b) (6)	(b) (6)	<input type="checkbox"/> American Indian or Alaska Native <input type="checkbox"/> Asian <input type="checkbox"/> Black or African American <input type="checkbox"/> Hispanic or Latino <input type="checkbox"/> Native Hawaiian or Other Pacific Islander <input type="checkbox"/> White		

Full Name	Position and Social Security Number (Social Security Number is Voluntary)	Home Address <i>Please provide every address you have had in the last 5 years.</i>	Country of Citizenship <i>List more than one, if applicable. Nonimmigrant aliens must complete all information in item #23.</i>	Place of Birth (City, State, or Foreign Country)	Date of Birth	Race and Ethnicity (Please check one or more boxes)	Sex	Residence Telephone No.
						<input type="checkbox"/> American Indian or Alaska Native <input type="checkbox"/> Asian <input type="checkbox"/> Black or African American <input type="checkbox"/> Hispanic or Latino <input type="checkbox"/> Native Hawaiian or Other Pacific Islander <input type="checkbox"/> White		
						<input type="checkbox"/> American Indian or Alaska Native <input type="checkbox"/> Asian <input type="checkbox"/> Black or African American <input type="checkbox"/> Hispanic or Latino <input type="checkbox"/> Native Hawaiian or Other Pacific Islander <input type="checkbox"/> White		

23. Nonimmigrant Alien Certification of Compliance With 18 U.S.C. 922(g)(5)(B). If additional space is needed, use a separate sheet.

18 U.S.C. 922(g)(5)(B) generally makes it unlawful for any nonimmigrant alien to ship or transport in interstate or foreign commerce, or possess in or affecting commerce, any firearms or ammunition; or to receive any firearms or ammunition which have been shipped or transported in interstate or foreign commerce. All nonimmigrant aliens listed in item 22 must complete the following information certifying compliance with 18 U.S.C. 922(g)(5)(B).

A. Name of Person Certifying Compliance Last: _____ First: _____ Middle Initial: _____

B. Immigration and Customs Enforcement (ICE) Issued Alien Number or Admission Number (Previously INS Number): _____

C. Are you in possession of a valid hunting license or permit lawfully issued in the United States? Yes No

- If you answered "NO," you likely cannot lawfully possess a firearm and therefore cannot be a Federal firearms licensee.
- If you answered "YES," complete the following information, and attach a copy of the license or permit to the application.

		Hunting License or Permit Number, if any	State of Issuance	Expiration Date, if any	Yes	No
Give Full Details on a Separate Sheet for All "Yes" Answers in Items 24 and 25.						
24. Has Applicant or any Person Referred to in Item 22 Above:	A. Held a Federal Firearms License?				<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> <i>6-7-17</i>
	B. Been Denied a Federal Firearms License?				<input type="checkbox"/>	<input checked="" type="checkbox"/>
	C. Been an Officer in a Corporation Holding a Federal Firearms License?				<input type="checkbox"/>	<input checked="" type="checkbox"/>
	D. Been an Employee of a Federal Firearms Licensee?				<input checked="" type="checkbox"/>	<input type="checkbox"/>
	E. Had a Federal Firearms License Revoked?				<input type="checkbox"/>	<input checked="" type="checkbox"/>
25. Is Applicant or any Person Named in Item 22 Above:	A. Charged by Information or Under Indictment in any Court for a Felony, or any Other Crime for Which the Judge Could Imprison You for More Than One Year?				<input type="checkbox"/>	<input checked="" type="checkbox"/>
	B. A Fugitive from Justice?				<input type="checkbox"/>	<input checked="" type="checkbox"/>
	C. An Alien Who is Illegally or Unlawfully in the United States?				<input type="checkbox"/>	<input checked="" type="checkbox"/>
	D. Under 21 Years of Age?				<input type="checkbox"/>	<input checked="" type="checkbox"/>
	E. An Unlawful User of, or Addicted to, Marijuana, or any Depressant, Stimulant or Narcotic Drug, or any other Controlled Substance?				<input type="checkbox"/>	<input checked="" type="checkbox"/>
	F. Subject to a Court Order Restraining Him/Her from Harassing, Stalking, or Threatening his/her child or an Intimate Partner or Child of Such Partner?				<input type="checkbox"/>	<input checked="" type="checkbox"/>

Give Full Details on a Separate Sheet for All "Yes" Answers in Item 26.		Yes	No
26. Has Applicant or any Person Named in Item 22 Ever:	A. Been Convicted in any Court of a Felony, or any other crime for which the Judge Could Have Imprisoned You for More Than One Year, Even if You Received a Shorter Sentence, Including Probation? ¹	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	B. Been Discharged from the Armed Forces Under Dishonorable Conditions?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	C. Been Adjudicated as a Mental Defective, Which Includes Having Been Adjudicated Incompetent to Manage Your Own Affairs, or Been Committed to any Mental Institution?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	D. Renounced United States Citizenship?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	E. Been Convicted in any Court of a Misdemeanor Crime of Domestic Violence? (See definition #3)	<input type="checkbox"/>	<input checked="" type="checkbox"/>

¹ "Information" is a formal accusation of crime made by a prosecuting attorney, as distinguished from an indictment presented by a grand jury.
² You may answer NO if (a) you have been pardoned for the crime or (b) the conviction has been expunged or set aside or (c) your civil rights have been restored AND you are not prohibited from possessing or receiving any firearms under the law where the conviction occurred.

27. Applicant Certification (Please read and initial each box)

- The business to be conducted under the Federal Firearms License is not prohibited by State or local law at the premises shown in item 5. This includes compliance with zoning ordinances.
- Within 30 days after the application is approved, the business will comply with the requirements of State and local law applicable in the conduct of business.
- Business will not be conducted under the license until the requirements of State and local law applicable to the business have been met.
- A completed copy of this form has been sent (mailed or delivered) to the Chief Law Enforcement Officer of the locality in which the premises is located. (See instruction #5.)
- As required by 18 U.S.C. 923 (d)(1)(G), I certify that secure gun storage or safety devices will be available at any place in which firearms are sold under this Federal Firearms License to persons who are not licensees.

Name of Chief Law Enforcement Officer (CLEO)

(b) (6)

CLEO's Address (Include no., street, city, county, State, and ZIP Code)

(b) (6)

28. Certification: Under the penalties imposed by 18 U.S.C. 924, I declare that I have examined this application and the documents submitted in support thereof, and to the best of my knowledge and belief, they are true, correct and complete. This signature, when presented by a duly authorized representative of the Department of Justice, will constitute consent and authority for the appropriate Department of Justice representative to examine and obtain copies and abstracts of records and to receive statements and information regarding the background of all responsible persons. Specifically, I hereby authorize the release of the following data or records to ATF: Military information/records, medical information/records, police and criminal records.

(b) (6)

Sign Here

Edward D. Esqate

Title

Sole member

Date

04-03-2017

For ATF Use Only

29. Application is Approved Denied

Reasons for Denial of Application

Signature of Licensing Official

Tracy Robertson

Date

4/15/17

Information for the Chief Law Enforcement Officer

This form provides notification of a person's intent to apply for a Federal Firearms license. It requires no action on your part. However, should you have information that may disqualify the person from obtaining a Federal Firearms license, please contact the Federal Firearms Licensing Center at 1-866-662-2750. A "Yes" answer to items #24, #25, and #26 could disqualify a person for a license. Also, ATF may not issue a license if the business would be in violation of State or local law.

24A: Yes, I was a RP on FFL: 43538237
Due to rights of succession, I Hold
the FFL presently.

Edward D. ~~Lee~~ 06-07-2017



DEPARTMENT OF JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES
244 NEEDY ROAD
MARTINSBURG, WV 25405

901020
5300

MEMO TO: AREA SUPERVISOR (b) (6)
FROM: (b) (6) FEDERAL FIREARMS LICENSING CENTER
DATE: 04/18/2017
SUBJECT: FFL NUMBER: 4-35-07544

The attached application for Federal Firearms License was received in the Federal Firearms Licensing Center. Required documentation as reflected below was not included. Please obtain the requested additional documentation, as indicated and/or have the applicable and appropriate corrections made as indicated. Please ensure all documents are included with the applicable ATF Form 5700.14, Assignment and Report, and that any amendments/corrections are clearly identified in item 11, ATF Officer's Recommendation: **Please return this original application to us since we are no longer making copies of applications.**

- New Type 01 application
- NCIC, III, NLETS, TECS and FBI NICS E CHECK background checks have been completed and were cleared, free of any prohibiting criminal charges, convictions, or investigations. Biometrics results are pending from FBI, fingerprint card submitted on
- Items 14a & 24a do not match
- Please have the applicant initial any strikeouts or changes to this application.

Sincerely,

(b) (6)

Legal Instruments Examiner,
Federal Firearms Licensing Center

Hello (b) (6) from the Federal Firearm Licensing Center said I could include this letter with my FD-258 Fingerprint Card, notating that the "Employer And Address" written on the cards was for the Law Enforcement Officer that took my fingerprints. A differnt operator at the FFLC originally told me to put the Law Enforcement Officer's Employer And Address instead of my own.

After checking the ATF website, I realized that was incorrect. I called back and (b) (6) told me the space was for my information; and that I could send this in with my Fingerprint card.

My Employer And Address is:
Deb's Gun Range
6819 Kennedy Avenue
Hammond, Indiana 46323

Regards,
Edward D. Esgate

(b) (6)

(b) (6)

From: (b) (6)
Sent: Tuesday, June 13, 2017 12:30 PM
To: (b) (6)
Subject: 4-35-07544 Deb's Gun Range LLC

DEPARTMENT OF JUSTICE - BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND
EXPLOSIVES POST INSPECTION ACTION NOTIFICATION

DATE: 6/13/2017
FROM: (b) (6)

CASE IDENTIFICATION INFORMATION:

CASE/UI NUMBER: 772085-2017-0164

CASE/INSPECTION TITLE: APP: (01) - DEB'S GUN RANGE LLC DBA: DEB'S GUN
RANGE

ACTIONS:

LA Issue License or Permit

REMARKS:

RDS: 4-35-07544

Name: Deb's Gun Range LLC

Type: Qualification

Date: 06/07/2017

Comments: ATF E-Form 7 (5310.12), Application for a Federal Firearms License, was reviewed with Deb's Gun Range LLC. RP Edward Esgate made the following changes:

- Item #20: Changed to "Debora Gales dba Deb's Gun Range" ✓
- Item #22: Changed Position to "Sole Member" ✓
- Item #24A: Changed to "Yes", with attached sheet stating: "Yes, I was a RP on FFL: 43538237. Due to rights of succession, I Hold the FFL presently"
- Item #28: Changed Title to "Sole Member"

Recommendation: Approved and that license number 04-35-07544 be issued with amendments.

END OF MESSAGE - END OF MESSAGE - END OF MESSAGE

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) DEB'S GUN RANGE LLC DEB'S GUN RANGE 6819 KENNEDY AVE HAMMOND, IN 46323, LAKE	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <p style="text-align: center;">772085-2017-0164-B1B</p> 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS <p style="text-align: center;">43508901PA07544 6/18/2017</p> 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)
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6. ATF OFFICER(S) ASSIGNED
(b) (6) Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b) (6) Area Supervisor, 04/20/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
 Please conduct a full field qualification inspection of this FFL applicant. Verify applicant's compliance with State & Local laws and ordinances.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS		NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION
 Submitted by **(b) (6)** Industry Operations Investigator

Submitted on: 06/13/2017
 On June 7, 2017, IOIs **(b) (6)** and **(b) (6)** met with and interviewed Mr. Edward Daniel Esgate, Sole Member of Deb's Gun Range LLC, regarding his Application for a Type 01 Federal Firearms License (FFL); Dealer in Firearms other than Destructive Devices. The purpose of the field visit was to perfect the ATF Form 7 and to educate the Mr. Esgate regarding Federal firearms laws and regulations.

Application Approved

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)			
JUN 2017	5.00		(b) (6)
ATF OFFICER'S SUBTOTAL	5.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)			
MAY 2017	3.00		(b) (6)
JUN 2017	19.00		
ATF OFFICER'S SUBTOTAL	22.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	27.00		

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) DEB'S GUN RANGE LLC DEB'S GUN RANGE 6819 KENNEDY AVE HAMMOND, IN 46323, LAKE	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 772085-2017-0164-B1B		
	3. PERMIT/LICENSE NUMBER 43508901PA07544	4a. TARGET DATE 6/18/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Application Approved

REVIEWED CONCUR SEE COMMENTS FINAL DISPOSITION

SIGNATURE AND TITLE (b) (6) Area Supervisor	REVIEW DATE 06/13/2017
--	---------------------------

ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

FIREARMS QUALIFICATION REPORT

Name: Deb's Gun Range LLC
Trade Name: Deb's Gun Range

UI#: 772085-2017-0164 B1B
FFL#: 4-35-089-01-PA-07544

Address: 6819 Kennedy Ave.
Hammond, IN 46323 Lake County

1 - Introduction

On May 30, 2017, Industry Operations Investigator (IOI) (b) (6) notified Merrillville Field Office, Resident Agent in Charge (b) (6) of Industry Operations' intent to contact Deb's Gun Range LLC, for a qualification inspection (**Exhibit 1, RAC Notification**).

On June 7, 2017, IOIs (b) (6) and (b) (6) met with and interviewed Mr. Edward Daniel Esgate, Sole Member of Deb's Gun Range LLC, regarding his Application for a Type 01 Federal Firearms License (FFL); Dealer in Firearms other than Destructive Devices. The purpose of the field visit was to perfect the ATF Form 7 and to educate the Mr. Esgate regarding Federal firearms laws and regulations. IOI (b) (6) identified Mr. Esgate by examining his Indiana Driver's License.

2 - Recommendation

IOIs recommend that the ATF F 7, Application for Federal Firearms License, be approved with noted corrections, and that FFL #4-35-089-01-PA-07544 be issued.

This application was **not** submitted as a result of Executive Actions in January 2016.

3 - Application

During the qualification inspection, Mr. Esgate initialed and dated the following corrections / additions to the ATF F 7, Application for FFL:

- Item #20: Changed to "Debora Gales dba Deb's Gun Range"
- Item #22: Changed Position to "Sole Member"
- Item #24A: Changed to "Yes", with attached sheet stating: "Yes, I was a RP on FFL: 43538237. Due to rights of succession, I Hold the FFL presently"
- Item #28: Changed Title to "Sole Member"

4 - Business Profile

According to Mr. Esgate, this Application for a Federal Firearms License was not submitted in response to January 2016's executive actions.

Mr. Esgate currently operates a Federal Firearms License: Gales, Debora F d/b/a Debs Gun Range (4-35-38237). Ms. Debora Gales, the owner of the FFL, passed away in April 2017 and since then; Mr. Esgate (responsible person) has taken control of the FFL

FIREARMS QUALIFICATION REPORT

Name: Deb's Gun Range LLC
Trade Name: Deb's Gun Range

UI#: 772085-2017-0164 B1B
FFL#: 4-35-089-01-PA-07544

due to rights of succession. Due to the change in control and corporate structure, Mr. Esgate is applying for this FFL as Deb's Gun Range LLC d/b/a Deb's Gun Range.

Deb's Gun Range LLC is proposing to buy and sell new and used handguns and long guns to the general public. Mr. Esgate also intends to do limited transfers of new and used handguns and long guns to employees and customers. He does not plan to participate in any gun shows or have internet sales, but does plan to offer a limited gun cleaning service. This service is only offered to customers willing to wait while the guns are cleaned and Mr. Esgate stated that no firearms would be left overnight. Mr. Esgate plans to continue using (b) (4) as his primary wholesalers.

The current FFL (Gales, Debora) operates a gun range as a majority of its business, with NRA firearms classes and gun sales taking place as well. Mr. Esgate indicated that these activities would continue. Firearms classes occur at a location next door to the licensed premises. Mr. Esgate indicated that no firearms are taken to these classes from the FFL.

The Type 01 Dealer of Firearms Other Than Destructive Devices Federal Firearms License is appropriate for Mr. Esgate's activities. Mr. Esgate does not hold any additional ATF licenses or permits besides FFL - Gales, Debora F d/b/a Debs Gun Range (4-35-38237).

5 - Ownership and Control

Deb's Gun Range LLC is a limited liability company organized in the State of Indiana (**Exhibit 2, Indiana Certificate and Articles of Organization**). Edward Esgate is the sole member listed on the ATF Form 7, Application for Federal Firearms License. There were no indication of hidden ownership. No other responsible persons were added to or removed from the application.

6 - Premises

Mr. Esgate's proposed business premises is a in a row of buildings along Kennedy Avenue in Hammond, IN. This a commercial urban retail area with many other retail stores in proximity.

Mr. Esgate (b) (7)(E) IOI (b) (7)(E) notified Mr. Esgate of ATF's right of entry and that the entire premises, inventory and records were all subject to inspection.

Deb's Gun Range LLC owns the premises and Mr. Esgate stated that he would not have any offsite storage. Mr. Esgate provided IOI (b) (6) with a State of Indiana Registered

FIREARMS QUALIFICATION REPORT

Name: Deb's Gun Range LLC
Trade Name: Deb's Gun Range

UI#: 772085-2017-0164 B1B
FFL#: 4-35-089-01-PA-07544

Retail Merchant Certificate (**Exhibit 3, State of Indiana Registered Retail Merchant Certificate**). IO (b) (6) notified Mr. Esgate that he would also need an Indiana License to Sell Handguns to reflect his new Federal Firearms License.

On May 30, 2017, IO (b) (6) spoke with (b) (6) Zoning Administrator for Hammond, IN. (b) (6) indicated that Deb's Gun Range LLC's business premises is zoned C1 which permits firearms sales.

7 - Regulatory Requirements

IO (b) (6) reviewed regulations with Mr. Esgate at the conclusion of the on-site inspection. Mr. Esgate signed and dated an Acknowledgement of Federal Firearms Regulations (**Exhibit 4, Acknowledgement**) and indicated that he understood what was expected of him as a Federal Firearms Licensee. IO (b) (6) also informed Mr. Esgate that the review was a general overview, and that he was responsible to know all of the laws and regulations pertaining to his firearms business.

IO (b) (6) also provided and discussed the following resource materials:

Important Telephone Numbers and Addresses, including CLEO address for 3310.4, Copy 2
ATF Federal Firearms Licensee Quick Reference and Best Practices Guide
ATF F 4473, Firearms Transaction Record (2016 revision)
ATF F 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers
ATF F 3310.11, Federal Firearms Licensee Firearms Inventory Theft/Loss Report
ATF I 3310.3, Misdemeanor Crimes of Domestic Violence and Federal Firearms Prohibitions
ATF I 3310.2, Protection Orders and Federal Firearms Prohibitions
ATF I 3310.4, Persons Adjudicated as a Mental Defective or Committed to a Mental Institution
NICS Misdemeanor Crimes of Domestic Violence (November 2007)
NICS Delay/Deny card and other NICS reference materials
ATF I 5300.2, ATF I 5300.1, Youth Handgun Safety Act Posters and brochures
Child Safety Lock Act of 2005
ATF Fact Sheet regarding FFL Compliance Inspections
Don't Lie for the Other Guy Frequently Asked Questions regarding straw purchases
Antique Firearms under the GCA of 1968 and the Arms Export Control Act of 1976
ATF P 3312.8 (12-03), Personal Firearms Record
Illinois State Police Fact Sheet
Copy of Acknowledgement of Federal Firearms Regulations

IO (b) (6) advised Mr. Esgate to contact IO (b) (6) the Merrillville Area Office, or the Fort Wayne Satellite Office if he had any questions.

8 - Standard Operating Procedures

IO (b) (6) discussed at length proper internal controls to ensure compliance with all applicable laws and regulations. Mr. Esgate stated that he would be responsible for purchasing, receiving and selling firearms as well as maintaining all required paperwork. IO (b) (6) advised Mr. Esgate of best practices related to firearms inventories and comparing the inventory to the acquisition and disposition records. IO (b) (6)

FIREARMS QUALIFICATION REPORT

Name: Deb's Gun Range LLC
Trade Name: Deb's Gun Range

UI#: 772085-2017-0164 B1B
FFL#: 4-35-089-01-PA-07544

thoroughly reviewed A&D records and ATF Form 4473 execution emphasizing the importance of accurate and timely recordkeeping.

9 - Variance Request

No variances were applied for during the qualification inspection.

10 - Referrals

No referrals were generated as the result of this inspection.

11 - Other

Mr. Esgate stated that when he receives his new FFL, he would take approximately 1 week to transfer inventory, reconcile paperwork, re-train employees, set up new NICS accounts, get in touch with his wholesalers/distributors, and get any other affairs relating to the new FFL in order. After this time, Mr. Esgate stated that he would contact the Federal Firearms Licensing Center and the Out-of-Business Records Center to complete the close out of the current FFL.

6/13/2017

X (b) (6)

Industry Operations Investigator
Signed by (b) (6)

Index of Worksheets and Exhibits

EX 01: RAC Notification

EX 02: Indiana Certificate and Articles of Organization

EX 03: State of Indiana Registered Retail Merchant Certificate

EX 04: Acknowledgement of Federal Firearms Regulations

(b) (6)

From: (b) (6)
 Sent: Tuesday, May 30, 2017 9:51 AM
 To: (b) (6)
 Subject: RAC Notice
 Attachments: RAC Notification 5-30-17.doc

RAC (b) (6)

An assignment has been issued for the inspection of the following Federal Firearms and Explosives Licensees/Permitees application(s):

Name	Pending FFL	County
Debs Gun Range LLC d/b/a Debs Gun Range 6819 Kennedy Ave. Hammond, IN 46323 <i>Responsible Person:</i> Edward Daniel Esgate (dob (b) (6))	4-35-07544 (License to deal in firearms)	Lake
Lead and Liberty LLC d/b/a Lead and Liberty 2346 81 st St. Highland, IN 46322 <i>Responsible Person:</i> Justin Donald Bass (dob (b) (6))	4-35-07555 (License to deal in firearms)	Lake

Name	Pending FEL	County
Seegers, James 11224 W 1100 N Demotte, IN 46310	4-IN-01281 (User of Fireworks : Display)	Jasper

Please see attached. If there is an active investigation of the preceding FFL(s) please notify the below IOI.

Thank you,

(b) (6)

Industry Operations Investigator
 Bureau of Alcohol, Tobacco, Firearms & Explosives
 U.S. Department of Justice
 11051 Broadway Suite C, Crown Point, IN 46307
 Phone: (b) (6)
 Main Line: (b) (6)
 Fax: (b) (6)
 Email: (b) (6)



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Merrillville Area Office

www.atf.gov

30 May 2017

MEMORANDUM TO: (b) (6) Resident Agent in Charge
Merrillville Field Office

FROM: (b) (6) Industry Operations Investigator
Merrillville Area Office

SUBJECT: Notification of intent to inspect

I received assignments to inspect the following Federal Firearms and Explosives Licensees/Permitees application(s):

Firearms Qualification Inspections:

Name	Pending FFL	County
Debs Gun Range LLC d/b/a Debs Gun Range 6819 Kennedy Ave. Hammond, IN 46323 Responsible Person: Edward Daniel Esgate (dob (b) (6))	4-35-07544 (License to deal in firearms)	Lake
Lead and Liberty LLC d/b/a Lead and Liberty 2346 81 st St. Highland, IN 46322 Responsible Person: Justin Donald Bass (dob (b) (6))	4-35-07555 (License to deal in firearms)	Lake

Explosives Qualification Inspections:

Name	Pending FEL	County
Seegers, James 11224 W 1100 N Demotte, IN 46310	4-IN-01281 (User of Fireworks : Display)	Jasper

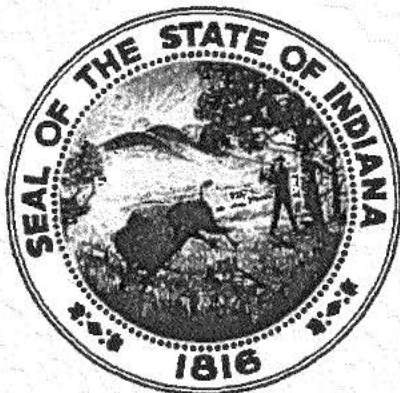
If your office has no contact or open investigations on the above applicants or licensee, no action is necessary. If, however, you are involved in any way with those listed above and contact with Industry Operations personnel would be detrimental, please notify me immediately. I may be reached on my cell phone at (b) (6)

State of Indiana
Office of the Secretary of State

Certificate of Organization
of
DEB'S GUN RANGE LLC

I, CONNIE LAWSON, Secretary of State, hereby certify that Articles of Organization of the above Domestic Limited Liability Company have been presented to me at my office, accompanied by the fees prescribed by law and that the documentation presented conforms to law as prescribed by the provisions of the Indiana Business Flexibility Act.

NOW, THEREFORE, with this document I certify that said transaction will become effective Monday,
November 21, 2016.



In Witness Whereof, I have caused to be affixed my signature and the seal of the State of Indiana, at the City of Indianapolis, November 21, 2016

Connie Lawson

CONNIE LAWSON
SECRETARY OF STATE

201611211167842 / 7442864

To ensure the certificate's validity, go to <https://bsd.sos.in.gov/PublicBusinessSearch>



**BUSINESS TAX APPLICATION
INDIANA DEPARTMENT OF REVENUE**

Date Submitted: 04/16/2017

As of Date: 04/16/2017

TID: Applied For

BUSINESS INFORMATION

BUSINESS NAME ESGATE EDWARD D
TYPE OF ORGANIZATION SOLE PROPRIETOR
PRIMARY ADDRESS 6819 KENNEDY AVE, HAMMOND, IN, 46323, USA
FEIN (b)(3)-(26 USC 6103)
ACCOUNTING PERIOD YEAR ENDING DATE DECEMBER 31
STATE OF COMMERCIAL DOMICILE IN
NAICS PRIMARY CODE OTHER SERVICES (EXCEPT PUBLIC ADMINISTRATION) / BUSINESS ASSOCIATIONS

CURRICOR/ACADEMATE

NAME DEB'S GUN RANGE LLC
ADDRESS 6819 KENNEDY AVE, HAMMOND, IN, 46323, USA

EMPLOYMENT INFO

- 1. Are you withholding tax on wages paid to employees/Indiana residents? Yes
- 2. Are you engaging in retail, wholesale, manufacturing, and/or distribution in Indiana? Yes
 - 2.1. Will you be involved in selling activities in Indiana? Yes
 - 2.1.1. Will you be preparing foods and/or beverages to be sold? No
 - 2.1.2. Will you be selling new or replacement tires and/or new tires mounted on motor vehicles? No
 - 2.1.3. Will you be providing lodging or accommodations for periods less than 30 days? No
 - 2.1.4. Will you be renting cars, trucks, or vehicles (less than 11,000 gross vehicle weight) for less than 30 days? No
 - 2.1.5. Will you be selling prepaid wireless telephone services? No
 - 2.1.6. Will you be preparing foods and/or beverages to be catered? No
 - 2.2. Will you be engaged in shipping products into Indiana for resale? No

RETAIL SALES TAX

LOCATION 6819 KENNEDY AVE, HAMMOND, IN, 46323, USA
DBA DEB'S GUN RANGE LLC

Date of first sales at this location under this ownership? April 2017
If you enter a registration date prior to today, you will be required to file a tax return for all previous months.

Is this location a manufacturer or wholesaler only? No

Estimated monthly taxable sale (rounded to nearest \$ amount) (b) (4)



**BUSINESS TAX APPLICATION
INDIANA DEPARTMENT OF REVENUE**

Date Submitted: 04/16/2017

As of Date: 04/16/2017

TID: Applied For

Is this business seasonal? No

Will alcoholic beverages, beer, wine or package liquor be sold from this location? No

Will special fuels be sold through a metered pump? No

Will you make occasional sales at fairs, flea markets, etc in Indiana? Yes

Are you registered for streamiline sales tax? No

Are you a motor vehicle dealer that will be selling vehicle Out-of-State? No

WITHHOLDING TAX

LOCATION 6819 KENNEDY AVE, HAMMOND, IN, 46323, USA

DBA DEB'S GUN RANGE LLC

Provide the date taxes were first withheld from Employees

April 2017

Anticipated monthly wages paid to Employees

(b) (4)

Will you be using a Payroll Provider?

No

SIGNATURE

I CERTIFY THAT I AM EITHER THE OWNER, GENERAL PARTNER, CORPORATE OFFICER, OR RESIDENT AGENT. I ALSO CERTIFY THAT ALL INFORMATION AND STATEMENTS SUPPLIED IN THIS APPLICATION ARE TRUE AND CORRECT.

NAME EDWARD D ESGATE

TITLE SOLE MEMBER

INFORMATION CONTAINED IN THIS DOCUMENT IS CONFIDENTIAL PURSUANT TO IC 6-8.1-7-1 AND MAY ONLY BE PROVIDED TO THE EXTENT PERMITTED BY LAW.

WHILE THE DEPARTMENT MAKES EVERY EFFORT TO ENSURE THE INFORMATION IN THIS DOCUMENT IS ACCURATE, ANY ERRORS OR OMISSIONS IN THE DOCUMENT SHALL NOT BE CONSIDERED BINDING ON THE DEPARTMENT.



- Become a Facebook fan at www.facebook.com/pages/Indianapolis-IN/Indiana-Department-of-Revenue/270352606668

If you have questions or need additional assistance, please call (b) (6) and a tax analyst will be happy to help you.

Sincerely,

(b) (6)

Tax Administration

Indiana Department of Revenue

Subject: Your Department of Revenue INBiz application has been submitted

From: noreply@dor.in.gov (noreply@dor.in.gov)

To: (b) (6)

Date: Saturday, April 15, 2017 11:35 PM

Thank you for submitting your INBiz application to register and pay taxes with the Indiana Department of Revenue.

If your application has been submitted successfully and no additional information is needed, you will receive a Registered Retail Merchant Certificate and/or a letter from the department containing your Indiana Tax Identification Number (TID).

If you do not receive any correspondence from the department within 5 to 10 days, please contact the department at (b) (6)

Indiana Law Requires Businesses to Electronically File and Pay

All businesses in Indiana must file and pay their sales and withholding taxes electronically. The easiest way to file and pay taxes is through the state's free online tool known as INtax. With INtax, you can manage sales, withholding, out-of-state sales, metered pump sales, fuel, and type II gaming taxes as well as tire and wireless prepaid fees.

To get started using INtax, you need your INtax Access Code or previous business tax return payment information, along with your Indiana Tax Identification Number (TID). The INtax Access Code is on your Retail Merchant Certificate and/or letter from the department.

Before registering for INtax, be sure to download the department's *INtax QuickStart Guide* at www.in.gov/dor/4336.htm.

If you already use INtax, you can use your existing INtax account and login information to add another business. If you are adding another tax type or location to an account already registered in INtax, the tax type or location automatically will be added to your account once your application has been processed by the department.

For more information about INtax, visit www.in.gov/dor/4336.htm.

Additional Information

Our New and Small Business Education Center (www.smallbiz.in.gov) is one resource specifically created to help your business. This center helps you better understand state laws, the tax-filing process, different types of taxes such as sales tax, and the services available to help you as your business evolves.

If you have employees, be sure to review Departmental Notice #1 at www.in.gov/dor/files/dn01.pdf. This important notice provides the tax rate for each county, helping you determine the correct amount of Indiana county income tax to withhold. You also can download a state of Indiana Employee's Withholding Exemption & County Status Certificate (WH-4) among other withholding forms at www.in.gov/dor/4100.htm.

In addition, the department offers several convenient ways for you to learn about important tax updates:

- Subscribe to our website at www.in.gov/dor
- Visit the department's Tax Talk blog at www.in.gov/dor/3877.htm
- Follow us on Twitter at www.twitter.com/INDeptofRevenue

APPROVED AND FILED
CONNIE LAWSON
INDIANA SECRETARY OF STATE
11/21/2016 02:42 PM

ARTICLES OF ORGANIZATION

Formed pursuant to the provisions of the Indiana Business Flexibility Act

ARTICLE I - NAME AND PRINCIPAL OFFICE ADDRESS

BUSINESS ID 201611211167842
BUSINESS TYPE Domestic Limited Liability Company
BUSINESS NAME Deb's Gun Range LLC
PRINCIPAL OFFICE ADDRESS 6819 Kennedy Ave, Hammond, IN, 46323, USA

ARTICLE II - REGISTERED OFFICE AND ADDRESS

NAME Edward D. Esgate
ADDRESS 6819 Kennedy Ave, Hammond, IN, 46323, USA

ARTICLE III - PERIOD OF DURATION AND EFFECTIVE DATE

PERIOD OF DURATION Perpetual
EFFECTIVE DATE 11/21/2016

ARTICLE IV - PRINCIPAL(S)

TITLE Member
NAME Edward D. Esgate
ADDRESS 6819 Kennedy Ave, Hammond, IN, 46323, USA

MANAGEMENT INFORMATION

THE LLC WILL BE MANAGED BY MANAGER(S) Yes
SINGLE MEMBER LLC

APPROVED AND FILED
CONNIE LAWSON
INDIANA SECRETARY OF STATE
11/21/2016 02:42 PM

SIGNATURE

THE SIGNATOR(S) REPRESENTS THAT THE REGISTERED AGENT NAMED IN THE APPLICATION HAS CONSENTED TO THE APPOINTMENT OF REGISTERED AGENT.

THE UNDERSIGNED, DESIRING TO FORM A LIMITED LIABILITY COMPANY PURSUANT TO THE PROVISIONS OF THE INDIANA BUSINESS FLEXIBILITY ACT EXECUTES THESE ARTICLES OF ORGANIZATION.

IN WITNESS WHEREOF, THE UNDERSIGNED HEREBY VERIFIES, SUBJECT TO THE PENALTIES OF PERJURY, THAT THE STATEMENTS CONTAINED HEREIN ARE TRUE, THIS DAY November 21, 2016

SIGNATURE Edward D. Esgate
TITLE Member

Business ID : 201611211167842
Filing No : 7442864

PENDING APPROVAL
CONNIE LAWSON
INDIANA SECRETARY OF STATE
11/21/2016 02:17 PM

ARTICLES OF ORGANIZATION

Formed pursuant to the provisions of the Indiana Business Flexibility Act

ARTICLE I - NAME AND PRINCIPAL OFFICE ADDRESS

BUSINESS ID	
BUSINESS TYPE	Domestic Limited Liability Company
BUSINESS NAME	Deb's Gun Range LLC
PRINCIPAL OFFICE ADDRESS	6819 Kennedy Ave, Hammond, IN, 46323, USA

ARTICLE II - REGISTERED OFFICE AND ADDRESS

NAME	Edward D. Esgate
ADDRESS	6819 Kennedy Ave, Hammond, IN, 46323, USA

ARTICLE III - PERIOD OF DURATION AND EFFECTIVE DATE

PERIOD OF DURATION	Perpetual
EFFECTIVE DATE	11/21/2016

ARTICLE IV - PRINCIPAL(S)

TITLE	Member
NAME	Edward D. Esgate
ADDRESS	6819 Kennedy Ave, Hammond, IN, 46323, USA

MANAGEMENT INFORMATION

THE LLC WILL BE MANAGED BY MANAGER(S)	Yes
Single member LLC	<input checked="" type="checkbox"/>

PENDING APPROVAL
CONNIE LAWSON
INDIANA SECRETARY OF STATE
11/21/2016 02:17 PM

SIGNATURE

THE SIGNATOR(S) REPRESENTS THAT THE REGISTERED AGENT NAMED IN THE APPLICATION HAS
CONSENTED TO THE APPOINTMENT OF REGISTERED AGENT.

THE UNDERSIGNED, DESIRING TO FORM A LIMITED LIABILITY COMPANY PURSUANT TO THE
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ORGANIZATION.

IN WITNESS WHEREOF, THE UNDERSIGNED HEREBY VERIFIES, SUBJECT TO THE PENALTIES OF
PERJURY, THAT THE STATEMENTS CONTAINED HEREIN ARE TRUE, THIS DAY **November 21, 2016**

SIGNATURE
TITLE

Edward D. Esgate
Member



REGISTERED RETAIL MERCHANT CERTIFICATE

Indiana Department of Revenue
Government Center North
Indianapolis, Indiana 46204
(317) 615-2700

CONTROL NUMBER
1700142030034

DEBS GUN RANGE LLC
6819 KENNEDY AVE
HAMMOND, IN 46323-1549

IS AUTHORIZED TO COLLECT INDIANA RETAIL SALES TAX
AT THE ADDRESS ABOVE IF DIFFERENT FROM BELOW.

TID: 0160392608
LOC: 001
FID: 82-1012963/0
ISSUED: 04/16/2017
EXPIRES: 04/30/2019

000001

THIS LICENSE
IS NOT TRANSFERABLE TO ANY OTHER PERSON
IS NOT SUBJECT TO REBATE
IS VOID IF ALTERED

ESGATE EDWARD D
6819 KENNEDY AVE
HAMMOND, IN 46323-1549

COMMISSIONER

MUST BE DISPLAYED BY MERCHANT IN LOCATION SHOWN

(Detach Here)

for DEBS GUN RANGE LLC:

Attached is your new registered retail merchant certificate (RRMC). It shows your Taxpayer Identification Number (TID) and Location Number (LOC). Please make note of these important numbers. You will need to use them on exemption certificates and phone or written communication with the Indiana Department of Revenue (the Department).

Based on your estimated monthly sales from your business tax application (BT-1), your filing frequency will be EARLY FILER. Your business application indicated the start date of the business as 04/01/2017. Therefore, your first tax payment is due 04/22/2017.

Please note the expiration date on the certificate. Effective January 2007, all Indiana RRMCs must be renewed every 3 years with the Department. Merchants in good standing with the Department will automatically receive a renewed certificate. However, if a merchant has unpaid tax debts owed to the Department, they cannot renew their RRMC.

If your address changes, please use the change of address form and envelope provided in your coupon packet.

For Businesses Required to File Online

If you are a new business, you also are required to file your sales and/or withholding taxes electronically. A new law that went into effect Jan. 1, 2010, requires that all new businesses file these tax types via INtax, the state's free online filing program.

Through INtax, you also can manage your obligations for Indiana prepaid sales, metered pump sales, tire fees and fuel taxes. It also gives you 24/7 access to business-tax records, lets you file and pay online right up to the last deadline minute, and saves you the cost and hassle of mailing in returns each month.

Many taxpayers currently using INtax are highly satisfied with it. In fact, 93 percent of INtax users recently surveyed said they would recommend INtax to others.

Please register for INtax today at www.INtax.in.gov When registering, use your preapproved INtax activation code, 55D0F7-4C20-0100-E053-0A13184042A3.

If you have questions about your sales or withholding taxes, please call (b) (6)

Sincerely,

(b) (6)

Indiana Department of Revenue

000004010100



Acknowledgement of Federal Firearms Regulations

Page references are in Federal Firearms Regulations Reference Guide (ATF P 5300.4 - December 2014)

Licensee Name: Deb's Gun Range LLC	UI Number: 772085-2017-0164
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TOPIC	18 U.S.C., 27 CFR & Other References	Page Number
1. REQUIRED RECORDS & REPORTS		
<input checked="" type="checkbox"/> Acquisition and Disposition Record	478.125(e)	65
<input checked="" type="checkbox"/> Computerized Acquisition and Disposition Record	ATF Ruling 2016-1	170
<input checked="" type="checkbox"/> Transaction Record/ATF F 4473**	478.124	63-64
<input checked="" type="checkbox"/> Identification Document	478.11	37
<input checked="" type="checkbox"/> Report of Multiple Handgun Sales/ATF F 3310.4**	478.126a	67
<input checked="" type="checkbox"/> Report of Multiple Rifle Sales/ATF F 3310.12** (CA, AZ, NM, and TX FFLs ONLY)	923(g)(5)(A) & Demand Letter 3	22
<input checked="" type="checkbox"/> Reporting Thefts or Losses/ATF F 3310.11**	478.39a	45
<input checked="" type="checkbox"/> Retention of Records	478.129	68-69
2. CONDUCT OF BUSINESS		
<input checked="" type="checkbox"/> Firearm Frame or Receiver	478.11	37
<input checked="" type="checkbox"/> NICS Requirements	478.102 & 478.131 www.fbi.gov/hq/cjisd/nics/index.htm	53-54 & 68
<input checked="" type="checkbox"/> Secure Gun Storage or Safety Device	921(a), 922(z), & 923(d)(1)(G)	10,15-16 & 19-20
<input checked="" type="checkbox"/> Child Safety Lock Act	18 U.S.C. 922(z)	19
<input checked="" type="checkbox"/> Sales or Deliveries between Licensees	478.94 & 478.95	51
<input checked="" type="checkbox"/> FFL EZ Check	www.atfonline.gov/fflezcheck	
<input checked="" type="checkbox"/> Gun Show Guidelines**	478.100	53
<input checked="" type="checkbox"/> Out of State/Mail Order and Internet Sales	478.96	51-52
<input checked="" type="checkbox"/> Prohibited Sales and Deliveries	478.99	52-53
<input checked="" type="checkbox"/> Ammunition - Age Requirements for Handgun Ammunition	478.99(b)(1)	52
<input checked="" type="checkbox"/> Sales to Law Enforcement Officers	478.134	68-69
<input checked="" type="checkbox"/> Youth Handgun Safety Act- Sales of Handguns / Poster and Notices	478.103	54-56
<input checked="" type="checkbox"/> Obliterated Serial Number	478.34	44
<input checked="" type="checkbox"/> Short Barreled Rifle & Shotgun	478.11	39
3. LICENSES		
<input checked="" type="checkbox"/> Engaged in the Business	478.11	36
<input checked="" type="checkbox"/> Correction of Error	478.48	47
<input checked="" type="checkbox"/> Posting of License	478.91	50
<input checked="" type="checkbox"/> Renewal/Duration	478.45 & 478.49	46 & 47
<input checked="" type="checkbox"/> Premises Covered	478.50	47
<input checked="" type="checkbox"/> Reporting Changes of Address / ATF F 5300.38**	478.52	48
<input checked="" type="checkbox"/> Reporting Changes in Trade Name	478.53	48
<input checked="" type="checkbox"/> Reporting Changes of Control	478.54	48
<input checked="" type="checkbox"/> Discontinuance of Business	478.57 & 478.127	48 & 67
4. MISCELLANEOUS PROVISIONS		
<input checked="" type="checkbox"/> Right of Entry and Examination	478.23	40
<input checked="" type="checkbox"/> Tracing Request from ATF	478.25a	41
<input checked="" type="checkbox"/> "Straw" Purchase	478.128	67-68
<input checked="" type="checkbox"/> Curios or Relics / ATF P 5300.11**	478.11	36
<input checked="" type="checkbox"/> Antique Firearm / Muzzleloader (Conversion Exceptions)	478.11 & General Info. # 7	35 & 185
<input checked="" type="checkbox"/> Consignment of Firearms	478.124(a), Q&A Section G22,	63 & 204
<input checked="" type="checkbox"/> Personal Firearms / ATF P 3312.8**	478.125a	66-67
<input checked="" type="checkbox"/> Firearm Transportation	478.38	44
5. STATE LAWS AND LOCAL ORDINANCES		
<input checked="" type="checkbox"/> Compliance with State Law Publication / ATF P 5300.5**	478.24	40-41
<input checked="" type="checkbox"/> Review of basic requirements including additional licenses, waiting periods, concealed carry permits, and firearms permits.		
6. GUNSMITH ACTIVITIES		
<input type="checkbox"/> Gunsmithing Definition	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Gunsmith Recordkeeping	478.11 - Engaged in the business (d)	36
<input type="checkbox"/> Return of Repaired Firearm	478.124(a) & .125(e), ATF Ruling 77-1	63 & 65, 124
<input type="checkbox"/> Firearms & Ammunition Excise Tax - Contact Tax & Trade Bureau	478.124(a), Q&A Section J www.itb.gov/firearms	63 & 206 877-882-3277

Acknowledgement of Federal Firearms Regulations

Page references are in Federal Firearms Regulations Reference Guide (ATF P 5300.4 - December 2014)

Licensee Name: Deb's Gun Range LLC	UI Number: 772085-2017-0164
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TOPIC	18 U.S.C., 27 CFR & Other References	Page Number
7. FIREARMS & AMMUNITION MANUFACTURERS	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Manufacturer Definition	478.11 and 479.11	37 & 81
<input type="checkbox"/> Markings	478.92, 479.102, Rulings 9-5, 12-1 & 13-3	50-51 & 89-90
<input type="checkbox"/> Records	478.123 & 478.125(i), ATF Ruling 10-8	63-66 & 156
<input type="checkbox"/> Annual Firearms Manufacturing & Exportation Report / ATF F 5300.11**		
<input type="checkbox"/> Firearms & Ammunition Excise Tax-Contact Tax & Trade Bureau	www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms	479.103	90
<input type="checkbox"/> Special Occupational Tax / ATF F 5630.7**	479.31-479.37	82-84
8. NFA DEALER	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Authorized Operations	ATF Ruling 76-22	124
<input type="checkbox"/> NFA Firearms	Part 479	78-97
<input type="checkbox"/> General Information / ATF P 5320.8**	Q&A Section N	208-210
<input type="checkbox"/> Forms used to transfer NFA items	NFA Forms 1,2,3,4 & 5**	
<input type="checkbox"/> Special Occupational Tax / ATF F 5630.7**	479.31-479.37	82-84
9. IMPORTER	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Importer Definition	478.11	37
<input type="checkbox"/> Importation Acquisition & Disposition Record	478.122 & 478.125(i), ATF Ruling 11-1	62 & 160
<input type="checkbox"/> Markings	478.92/112(d)(2) & 479.102, Ruling 13-3	50/57 & 89-90
<input type="checkbox"/> ATF Forms 6 and 6A**	478.112	56-57
<input type="checkbox"/> Firearm & Ammunition Excise Tax-Contact Tax & Trade Bureau	www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms	479.111-479.113	91-92
10. EXPORTATION	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Arms Export Control Act of 1976	22 U.S.C. 2778	95-106
11. SCHOOL ZONE	N/A <input checked="" type="checkbox"/>	ATF P 5310.1**
<input type="checkbox"/> If the FFL's premises falls within a school zone, firearms in possession of FFL's customers must be unloaded and placed in a locked container or in a locked firearms rack that is on a motor vehicle. Also, the FFL should use specific means to ensure that their customers are not in violation of 18 U.S.C. 922(q). For example, the FFL could advise the customer of State permits/license options or the use of lockable containers. Refer to 18 U.S.C. 921(a)25 on page 7 for the full definition of "School Zone".		
12. GENERAL QUESTIONS AND ANSWERS		191-217
<input checked="" type="checkbox"/>		

Investigator (b) (6) IOI explained this information to me on 06/07/2017 and answered my questions regarding this information. I have received a copy of this for my records as a reference. I understand that this is only a general overview of the regulations and that I will be responsible for familiarizing myself with all of the laws and regulations governing my licensed firearms business.

Edward P. [Signature] 06/07/2017
Applicant's/Licensee's Signature and Date

(b) (6) 06/07/2017
ATF Investigator Signature and Date

Federal Firearms Regulations Reference Guide: www.atf.gov/file/11241/download

ATF Website: www.atf.gov

ATF Office Contact #:

REPORTING THEFTS: 888-930-9275
800-800-3855

Federal Firearms Licensing Center	866-662-2750
Firearms Tracing Center	800-788-7133
Firearms Imports Branch	304-616-4550
National Firearms Act (NFA) Branch	304-616-4500
Firearms and Ammunition Technology Branch	304-260-1699
Firearms Industry Programs Branch	202-648-7190
Distribution Center / Forms	703-870-7526

Revised 9/21/2016

usbank.

St. Louis GA Lockbox

(b) (4)

Federal Firearms License (FFL) RENEWAL Application

July 1, 2020

FFL no.: **4-35-089-01-0G-07544**
 FFL Type: **01-DEALER IN FIREARMS OTHER THAN DESTRUCTIVE DEVICES**
 Renewal application DUE PRIOR TO: **July 1, 2020**
 RENEWAL FEE DUE: **\$90.00**

CHECK OR MONEY ORDER AMOUNT ENCLOSED (made payable to ATF) \$ **90.00**
 AMOUNT AUTHORIZED TO BE CHARGED TO THE CREDIT/DEBIT CARD: \$

Method of Payment (Check one):
 Check (Enclosed) Cashier's Check or Money Order (Enclosed)
 Visa MasterCard American Express Discover Diner's Club

Credit/Debit Card Number
 M M Y Y Expiration Date
 Name as it appears on the credit/debit card

MAIL APPLICATION & PAYMENT TO:
Federal Firearms Licensing Center
P.O. Box 6200-20
Portland, OR 97228-6200

I am requesting that a Letter of Authorization (LOA) be sent to me so I may continue the business/operations authorized by my license until my renewal application is processed and approved.

Credit/Debit Card Billing Address
 Signature of Cardholder Date
 Your credit/debit card will be charged the above stated amount upon receipt of your application and a charge from ATF Licensing Fee will be reflected on your credit/debit card statement. In the event a license/permit is NOT issued, the above amount will be credited to the credit/debit card noted above.

4-35-089-01-0G-07544

NOT RENEWING?

1. Return this application and your firearms records within 30 days of discontinuance of your business to:

ATF Out-of-Business Records Center
 244 Needy Road
 Martinsburg, WV 25405
 1(800)788-7133, x1590

(Collector's of Curios or Relics are NOT required to send their firearms records to ATF.)

2. Check the box below and sign & date on the line provided.

I am NOT renewing my license and will submit my records to ATF. I understand I may NOT engage in the business or operations authorized by my license on or after the expiration date of the license.

Signature Date

A. CURRENT FFL Information

DEBS GUN RANGE LLC

Licensee Name (NAME of Corporation, Partnership, OR Sole Proprietor)

DEBS GUN RANGE

Trade or Business Name*

REMISES Address (Physical location of business or collection): **6819 KENNEDY AVE HAMMOND, IN 46323-**

MAILING Address (The renewed license will be mailed to this address): **6819 KENNEDY AVE HAMMOND, IN 46323-**

Telephone Number (business) [REDACTED]
 Telephone Number (fax) [REDACTED]

24-hour Emergency Telephone Number **(b) (6)**

E-mail Address [REDACTED]

* Listing your trade or business name with ATF in no way registers such a name, you MUST comply with Federal, State, and local laws regarding trade or business name registration.

Check here for a change to your current FFL information AND complete the appropriate box below with the updated information.

NEW Licensee Name - Federal firearms licenses (FFLs) are NOT transferable. If there has been a CHANGE in ownership of the firearms business or collection activity, you may NOT use this form to obtain a renewed license. You MUST file a NEW application.

NEW Trade or Business Name
 NEW Premises Address**
 NEW Mailing Address
 NEW Telephone Number (business) [REDACTED]
 NEW Telephone Number (fax) [REDACTED]
 NEW 24-hour Emergency Telephone Number
 NEW E-mail Address

** You may NOT operate your business or conduct your collection activity at the NEW premises address until you have received a NEW License reflecting your new address.

B. HOURS OF OPERATION. Please indicate AM for morning hours and PM for afternoon/evening hours when stating your business hours.

NOTE: You do NOT have to list hours of operation if you are a Gunsmith. If this applies to you, please check the appropriate box below.

Gunsmithing activities ONLY

TIME	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Open	10 AM	CLOSED	10 AM	10 AM	10 AM	10 AM	10 AM
Close	3 pm		5 pm	5 pm	7 pm	7 pm	7 pm

Closed ALL day NO business hours. Closed ALL day NO business hours. Closed ALL day NO business hours. Closed ALL day NO business hours. Closed ALL day NO business hours. Closed ALL day NO business hours. Closed ALL day NO business hours.

WARNING: You may NOT continue the operations authorized by your Federal firearms license (FFL) on or after the expiration date of your license UNLESS you have filed this renewal application PRIOR TO **July 1, 2020**. There are criminal penalties for continuing your firearms business or collectors' activities without renewing your license.

4-35-089-01-0G-07544 July 1, 2020

FFL No.: **4-35-089-01-0G-07544**

FFL Name: **DEBS GUN RANGE LLC**

FFL Type: **01-DEALER IN FIREARMS OTHER THAN DESTRUCTIVE DEVICES**

Premises Address: **6819 KENNEDY AVE
HAMMOND, IN 46323-**

Expiration Date: **July 1, 2020**

C. Answer questions 1 - 6, and 8 by checking "yes" or "no" in the boxes to the right of the questions, or N/A, if applicable. Check YES or N O

1. Is the firearms or ammunition activity to be conducted under the Federal firearms license (FFL) at the "premises address" shown above and on the front of this renewal application permitted by State and local law?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Within thirty days after this application has been approved, will the firearms or ammunition activity comply with the requirements of State and local law applicable to the conduct of the firearms or ammunition business or collection of curios or relics?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Will the requirements of State and local law that are applicable to the firearms or ammunition activity or collection of curios or relics, be met prior to the start of the business or collection activity?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Has a completed <u>COPY</u> of this renewal application form (front & back) been sent or delivered to the Chief Law Enforcement Officer (CLEO) of the locality in which the premises is located?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. As required by 18 U.S.C. 923(d)(1)(G), will secure gun storage or safety devices be made available at any place in which firearms are sold under the FFL to persons who are NOT licensees. Check "N/A" if you are a Collector of Curios and Relics or a Manufacturer of Ammunition. N/A <input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Are there any new responsible persons to be added and/or any responsible persons to be removed from the license? If yes, please attach a separate sheet of paper to provide their identifying information as listed in #3 on the application Instruction Sheet that accompanied this application.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7 a. How many firearms have you bought or acquired with your firearms license over the past 3 years? If none, enter '0'. <small>03 Public Law 112-55 (125 Stat. 552), (b) (4)</small> * If you hold multiple FFLs, please only indicate the number of firearms relating to this FFL you are renewing.	MAILING ADDRESS DEBS GUN RANGE LLC DEBS GUN RANGE 6819 KENNEDY AVE HAMMOND, IN 46323-	
b. How many firearms have you sold or disposed of with your firearms license over the past 3 years? If none, enter '0'. <small>03 Public Law 112-55 (125 Stat. 552), (b) (4)</small> * If you hold multiple FFLs, please only indicate the number of firearms relating to this FFL you are renewing. (write "N/A" if you are solely a gunsmith or a manufacturer of ammunition.)		
8. Have you conducted or do you intend to conduct internet sales of firearms? If yes, list websites from which you conduct your internet business: <u>DEBSGUNRANGE.COM</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

D. The following questions apply to YOU and to any other responsible person who has the power to direct the management and policies of your firearms activities. Answer questions 9 - 19 by checking "yes" or "no" in the boxes to the right of the questions. Check YES or NO

9. Are you charged by information or under indictment in any court for a felony or any other crime for which the judge could imprison you for more than one year? An "information" is a formal accusation of a crime made by a prosecuting attorney.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10. Have you ever been convicted in any court of a felony or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence, including probation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
11. Are you presently appealing a conviction of a crime punishable by imprisonment for a term exceeding one year? (If "yes," attach an explanatory statement showing date of conviction, court in which convicted, and court in which appeal is pending.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
12. Are you a fugitive from justice?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
13. Are you an unlawful user of or addicted to marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
14. Have you ever been adjudicated mentally defective, (which includes a determination by a court, board, commission, or other lawful authority that you are a danger to yourself or to others or are incompetent to manage your own affairs) OR have you ever been committed to any mental institution?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
15. Have you been discharged from the Armed Forces under dishonorable conditions?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
16. Are you an alien illegally or unlawfully in the United States?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
17. Have you ever renounced your United States citizenship?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
18. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
19. Have you been convicted in any court of a misdemeanor crime of domestic violence? This includes any misdemeanor conviction involving the use or attempted use of physical force committed by a current or former spouse, parent, or guardian of the victim or by a person with a similar relationship with the victim.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Under penalties imposed by 18 U.S.C. 924, I certify that the statements contained in this renewal application, and any attached statements, are true and correct to the best of my knowledge and belief.

Authorized Signature: Edward D. Esigate Title: OWNER

Date: 4/22/2020

PRINTED NAME of signature above: EDWARD D. ESGATE

Telephone no. (b) (6)

FOR ATF USE ONLY - Application Status

Signature of Licensing Official: Wacey Robertson Date: 6/12/20

Reason for Denial: _____

4-35-38237



Deb's Gun Range

April 03, 2017

Request For Addition of Responsible Party

I, Deborah F. Gales, am requesting that Edward Daniel Esgate be added as a responsible party for my Federal Firearm License (FFL# 435-089-01-7M-38237), under the name Deb's Gun Range.

Attached is Edward's FD-258 Fingerprint Card, a copy of his drivers license and his 2x2 photo, as requested by the Federal Firearms Licensing Center Martinsburg office.

Regards,

Debora F. Gales
Owner, Deb's Gun Range

FEDERAL FIREARMS

APR 07 2017

LICENSING CENTER

Signature: Deborah F. Gales Date: 04/03/2017



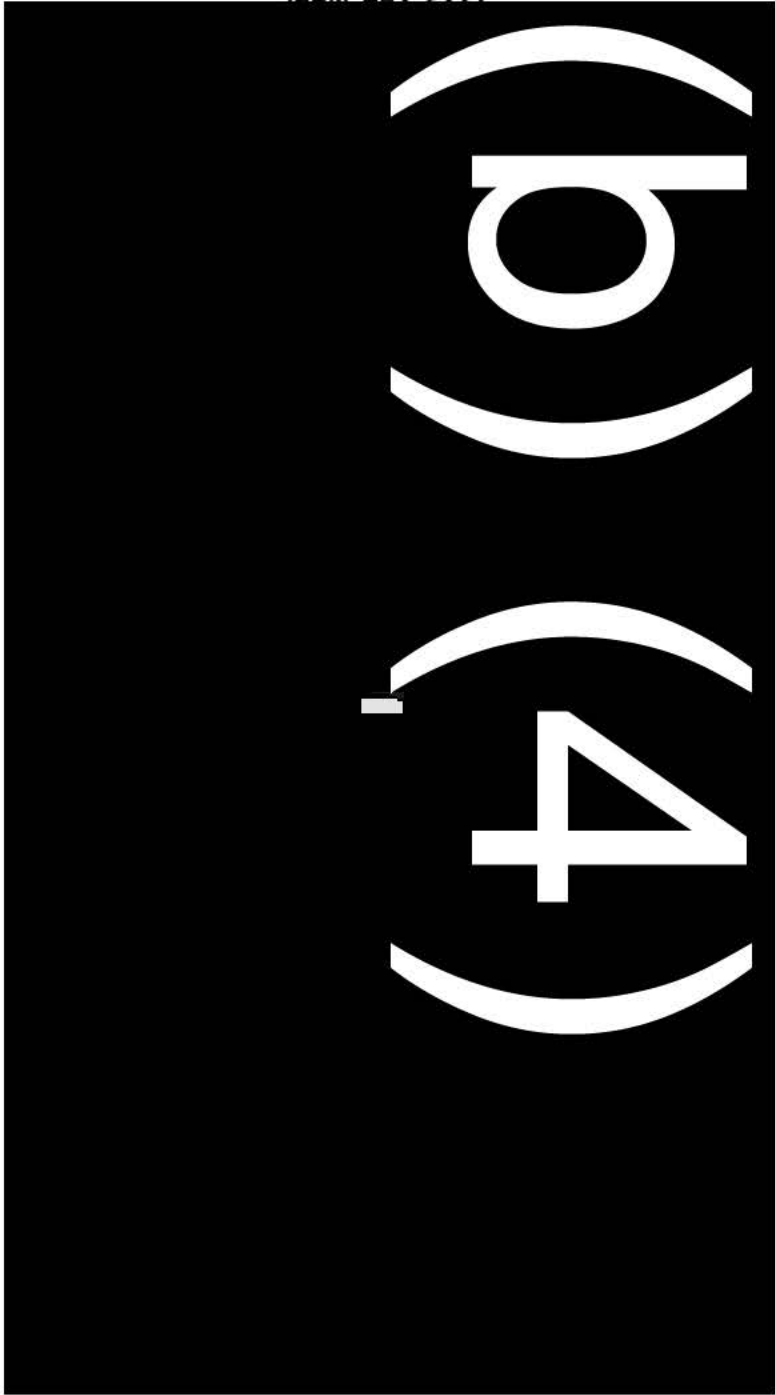
(b) (6)

(b) (6)

(b) (6)

(b) (6)

Bank of America
P.O. Box 100978
Atlanta, Georgia 30384
Lockbox Services Atlanta Governm



Federal Firearms License (FFL) RENEWAL Application

December 1, 2014

FFL no.: 4-35-089-01-4M-38237

FFL Type: 01-DEALER IN FIREARMS OTHER THAN
DESTRUCTIVE DEVICES

Renewal application DUE PRIOR TO: December 1, 2014

RENEWAL FEE DUE: \$90.00

CHECK OR MONEY ORDER
AMOUNT ENCLOSED
(made payable to ATF)

\$ 90.00
Check Amount

AMOUNT AUTHORIZED TO BE CHARGED
TO THE CREDIT/DEBIT CARD:

\$ [] [] [] [] [] [] [] []
Charge Amount

MAIL APPLICATION & PAYMENT TO:

ATF
P.O. Box 409567
Atlanta, GA 30384-9567

I am requesting that a Letter of Authorization (LOA) be sent to me so I
may continue the business/operations authorized by my license until my
renewal application is processed and approved

Method of Payment (Check one):

- Check (Enclosed) Cashier's Check or Money Order (Enclosed)
- Visa MasterCard American Express Discover Diner's Club

[] []

Credit/Debit Card Number

M M Y Y Expiration Date Name as it appears on the credit/debit card

[] []

Credit/Debit Card Billing Address

[] []

Signature of Cardholder Date

Your credit/debit card will be charged the above stated amount upon receipt of your application and a charge from ATF Licensing Fee will be reflected on your credit/debit card statement. In the event a license/permit is NOT issued, the above amount will be credited to the credit/debit card noted above.

4-35-089-01-4M-38237

NOT RENEWING?

1. Return this application and your firearms records within 30 days of discontinuance of your business to:

ATF Out-of-Business Records Center
244 Needy Road
Martinsburg, WV 25405
1(800)788-7133, x1590

Check Box (X)

2. Check the box below and sign & date on the line provided.

I am NOT renewing my license and will submit my records to ATF. I understand I may NOT engage in the business or operations authorized by my license on or after the expiration date of the license.

[] []

Signature Date

(Collector's of Curios or Relics are NOT required to send their firearms records to ATF)

A. CURRENT FFL Information

GALES, DEBORA F

Licensee Name (NAME of Corporation, Partnership, OR Sole Proprietor)

DEBS GUN RANGE

Trade or Business Name *

PREMISES Address (Physical location of business or collection) 6819 KENNEDY AVE
HAMMOND, IN 46323-0000

MAILING Address (The renewed license will be mailed to this address) 6819 KENNEDY AVE
HAMMOND, IN 46323-0000

Telephone Number (business) [REDACTED]
Telephone Number (fax) [REDACTED]

24-hour Emergency Telephone Number (b) (6)

E-mail Address [REDACTED]

* Listing your trade or business name with ATF in no way registers such a name, you MUST comply with Federal, State, and local laws regarding trade or business name registration.

Check here for a change to your current FFL information AND complete the appropriate box below with the updated information.

NEW Licensee Name - Federal firearms licenses (FFLs) are NOT transferable. If there has been a CHANGE in ownership of the firearms business or collection activity, you may NOT use this form to obtain a renewed license. You MUST file a NEW application.

NEW Trade or Business Name Federal Firearms

NEW Premises Address** OCT 08 2014

NEW Mailing Address Licensing Center

NEW Telephone Number (business)
NEW Telephone Number (fax)

NEW 24-hour Emergency Telephone Number

NEW E-mail Address

** You may NOT operate your business or conduct your collection activity at the NEW premises address until you have received a NEW License reflecting your new address

B. Hours Of Operation and/or Availability of Business/Activity (Please provide at least one hour in which you can be contacted by ATF personnel)

Gunsmithing activities ONLY - (Check if sole activity is gunsmithing)

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Hour(s):	10 AM 3 PM	Classes only	10 AM 5 PM	10 AM 5 PM	10 AM 7 PM	10 AM 7 PM	10 AM 7 PM
	<input type="checkbox"/> Closed ALL day NO business hours	<input checked="" type="checkbox"/> Closed ALL day NO business hours	<input type="checkbox"/> Closed ALL day NO business hours	<input type="checkbox"/> Closed ALL day NO business hours	<input type="checkbox"/> Closed ALL day NO business hours	<input type="checkbox"/> Closed ALL day NO business hours	<input type="checkbox"/> Closed ALL day NO business hours

WARNING: You may NOT continue the operations authorized by your Federal firearms license (FFL) on or after the expiration date of your license UNLESS you have filed this renewal application PRIOR TO December 1, 2014. There are criminal penalties for continuing your firearms business or collectors' activities without renewing your license.

4-35-089-01-4M-38237 December 1, 2014

FFL No: 4-35-089-01-4M-38237

FFL Name: GALES, DEBORA F

FFL Type: DEALER IN FIREARMS OTHER THAN DESTRUCTIVE DEVICES

Premises Address: 6819 KENNEDY AVE
HAMMOND, IN 46323-0000

Expiration Date: December 1, 2014

C. Answer questions 1 - 6, and 8 by checking "yes" or "no" in the boxes to the right of the questions, or N/A, if applicable. Check YES or NO

1. Is the firearms or ammunition activity to be conducted under the Federal firearms license (FFL) at the "premises address" shown above and on the front of this renewal application permitted by State and local law?	<input checked="" type="checkbox"/> <input type="checkbox"/>
2. Within thirty days after this application has been approved, will the firearms or ammunition activity comply with the requirements of State and local law applicable to the conduct of the firearms or ammunition business or collection of curios or relics?	<input checked="" type="checkbox"/> <input type="checkbox"/>
3. Will the requirements of State and local law that are applicable to the firearms or ammunition activity or collection of curios or relics, be met prior to the start of the business or collection activity?	<input checked="" type="checkbox"/> <input type="checkbox"/>
4. Has a completed COPY of this renewal application form (front & back) been sent or delivered to the Chief Law Enforcement Officer (CLEO) of the locality in which the premises is located?	<input checked="" type="checkbox"/> <input type="checkbox"/>
5. As required by 18 U.S.C. 923(d)(1)(G), will secure gun storage or safety devices be made available at any place in which firearms are sold under the FFL to persons who are NOT licensees. Check "N/A" if you are a Collector of Curios and Relics or a Manufacturer of Ammunition. N/A <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/>
6. Are there any new responsible persons to be added and/or any responsible persons to be removed from the license? If yes, please attach a separate sheet of paper to provide their identifying information as listed in #3 on the application instruction sheet that accompanied this application.	<input type="checkbox"/> <input checked="" type="checkbox"/>

7 a How many firearms have you bought or acquired with your firearms license over the past 3 years? If none, enter 0.
(b) (4)
 * If you hold multiple FFLs, please only indicate the number of firearms relating to this FFL you are renewing.

How many firearms have you sold or disposed of with your firearms license over the past 3 years? If none, enter 0.
(b) (4)
 * If you hold multiple FFLs, please only indicate the number of firearms relating to this FFL you are renewing.
 (solely a gunsmith or a manufacturer of ammunition.)

MAILING ADDRESS

**GALES, DEBORA F
 DEBS GUN RANGE
 6819 KENNEDY AVE
 HAMMOND, IN 46323-0000**

8. Have you conducted or do you intend to conduct internet sales of firearms?
 If yes, list websites from which you conduct your internet business: _____

D. The following questions apply to YOU and to any other responsible person who has the power to direct the management and policies of your firearms activities. Answer questions 9 - 19 by checking "yes" or "no" in the boxes to the right of the questions. Check YES or NO

9. Are you charged by information or under indictment in any court for a felony or any other crime for which the judge could imprison you for more than one year? An "information" is a formal accusation of a crime made by a prosecuting attorney.	<input type="checkbox"/> <input checked="" type="checkbox"/>
10. Have you ever been convicted in any court of a felony or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence, including probation?	<input type="checkbox"/> <input checked="" type="checkbox"/>
11. Are you presently appealing a conviction of a crime punishable by imprisonment for a term exceeding one year? (If "yes," attach an explanatory statement showing date of conviction, court in which convicted, and court in which appeal is pending.)	<input type="checkbox"/> <input checked="" type="checkbox"/>
12. Are you a fugitive from justice?	<input type="checkbox"/> <input checked="" type="checkbox"/>
13. Are you an unlawful user of or addicted to marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance?	<input type="checkbox"/> <input checked="" type="checkbox"/>
14. Have you ever been adjudicated mentally defective, (which includes a determination by a court, board, commission, or other lawful authority that you are a danger to yourself or to others or are incompetent to manage your own affairs) OR have you ever been committed to any mental institution?	<input type="checkbox"/> <input checked="" type="checkbox"/>
15. Have you been discharged from the Armed Forces under dishonorable conditions?	<input type="checkbox"/> <input checked="" type="checkbox"/>
16. Are you an alien illegally or unlawfully in the United States?	<input type="checkbox"/> <input checked="" type="checkbox"/>
17. Have you ever renounced your United States citizenship?	<input type="checkbox"/> <input checked="" type="checkbox"/>
18. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner?	<input type="checkbox"/> <input checked="" type="checkbox"/>
19. Have you been convicted in any court of a misdemeanor crime of domestic violence? This includes any misdemeanor conviction involving the use or attempted use of physical force committed by a current or former spouse, parent, or guardian of the victim or by a person with a similar relationship with the victim.	<input type="checkbox"/> <input checked="" type="checkbox"/>

Under penalties imposed by 18 U.S.C. 924, I certify that the statements contained in this renewal application, and any attached statements, are true and correct to the best of my knowledge and belief.

Authorized Signature: Deborah F Gales Title: owner Date: 09/26/2014
 PRINTED NAME of signature above: Deborah F GALES Telephone no: 219-845-8880

PAPEWORK REDUCTION ACT NOTICE

This request is in accordance with the Paperwork Reduction Act of 1995. The information collection is used to determine location and extent of operations, and to determine whether the operations will be in conformity with Federal laws and regulations. The information requested is required to retain a benefit and is mandatory by statute (18 U.S.C. 923).
 The average burden associated with this collection is 30 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to Reports Management Officer, Document Services Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226.
 An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

FOIA (b) (6) NY - Application Status
 Abandoned Withdrawn Signature of Licensing Officer: Tracy Robertson Date: 11/19/14
 Denied Reason for Denial: _____ ATF Form 8 (5310.11) Part II Revised (June 2014)



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Merrillville Area Office

Federal Firearms

OCT 02 2013

www.atf.gov

September 20, 2013

Licensing Center

773090 (b) (6)
5400

Mrs. Debora Gales
Deb's Gun Range
6819 Kennedy Ave.
Hammond, IN 46323

FFL 4-35-089-01-4M-38237

Dear Mrs. Gales:

During a recent compliance inspection at your firearms business covering the period of August 15, 2012 to August 15, 2013, you were cited for violations of 27 Code of Federal Regulations, Part 478. These violations were discussed with you during the inspection. A copy of ATF Form 5030.5, Report of Violations, issued at the time of the inspection is enclosed.

The violations of failing to complete an ATF Form 3310.4, Report of Multiple Sale, when transferring two (2) or more handguns within a five (5) day period to an unlicensed person on occasion, failing to properly date Item 36, transfer date, in section D on Form 4473 on occasion, failing to completely and accurately record information from the NICS on ATF Form 4473 on occasion, and failing to properly maintain your Acquisition and Disposition Record on occasions which resulted in firearms being reported as missing inventory, were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact ATF Industry Operations Investigator (b) (6)

(b) (6)

Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center
Director, Industry Operations

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Debora Gales Deb's Gun Range 6819 Kennedy Ave Hammond, IN 46323, Lake	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 772085-2015-0061-B1B <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 435089017M38237</td> <td style="width: 33%;">4a. TARGET DATE</td> <td style="width: 33%;">4b. TARGET HOURS</td> </tr> </table> 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)	3. PERMIT/LICENSE NUMBER 435089017M38237	4a. TARGET DATE	4b. TARGET HOURS
3. PERMIT/LICENSE NUMBER 435089017M38237	4a. TARGET DATE	4b. TARGET HOURS		

6. ATF OFFICER(S) ASSIGNED
 (b) (6) Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
 (b) (6) Area Supervisor, 10/01/2014

8. PURPOSE/SPECIAL INSTRUCTIONS
 Please conduct a full firearms recall inspection of this FFL. This is to include a full inventory of firearms and a full review of ATF Forms 4473 completed since the last inspection.

This inspection may be initiated anytime after 9/11/14.
 This inspection will also include the Used Firearm Objective Worknote.

9. INSPECTION RESULTS	<input type="checkbox"/>	CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)
NO. OF VIOLATIONS	6	NO. OF REFERRALS	2111 - PER DIEM
NO. OF TECS CHECKS	7	NO. OF TECS HITS	2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES	2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS	2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION
 Submitted by (b) (6) Industry Operations Investigator

Submitted on: 03/17/2015
 This inspection was conducted on February 25, 2015. IO (b) (6) held a closing conference with Mrs. Gales on March 17, 2015, at which time she issued Mrs. Gales a Report of Violations.

ROV only – no recall, no referrals

Changes to Federal Licensing System:
 * Responsible Person Debora Foreman:
 **Name changed in August 2000 to Debora Faith Gales;
 **Aliases: Debora Foreman, Workman, Esgate
 **Phone Nbr: (b) (6) (cell)
 Viols ROV ONLY No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)	
OCT 2014	.50	
NOV 2014	.25	
DEC 2014	1.00	
FEB 2015	32.00	
MAR 2015	18.00	
ATF OFFICER'S SUBTOTAL	51.75	ATF OFFICER'S SIGNATURE (b) (6)
TOTAL HOURS	51.75	

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Debora Gales Deb's Gun Range 6819 Kennedy Ave Hammond, IN 46323, Lake	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 772085-2015-0081-B1B		
	3. PERMIT/LICENSE NUMBER 435089017M38237	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

(b) (6)

CONCUR SEE COMMENTS FINAL DISPOSITION

dg REVIEW DATE *5/26/15*

ROUTING SEQUENCE AND DATE

- 1. *file*
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Merrillville Area Office

www.atf.gov

23 February 2015

MEMORANDUM TO: (b) (6) Acting Resident Agent in Charge
Merrillville Field Office

FROM: (b) (6) Industry Operations Investigator
Merrillville Area Office

SUBJECT: Notification of intent to inspect

I received an assignment to inspect the following Federal Firearms Licensee:

Firearms Compliance Inspection (Recall):

Name	FFL	County
Debora Gales (DOB (b) (6)) dba/Deb's Gun Range 6819 Kennedy Avenue Hammond, IN 46323	4-35-38237 (Type 01 to deal in firearms, including gunsmithing)	Lake

I intend to conduct this inspection within the next week. If your office has no contact or open investigations on the above applicant, no action is necessary. If, however, you are involved in any way with the above applicant and contact with Industry Operations personnel would be detrimental, please notify me with a 'Do Not Contact'.

(b) (6)
Cell: (b) (6)

AD-2
1061

*AD-3
Narrative*

*AD-3
Narrative*

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

Address: 6819 Kennedy Avenue
Hammond, IN 46323

Lake County

1 - Introduction

Inspection Profile:

On February 23, 2015, Industry Operations Investigator (IOI) (b) (6) notified Acting Resident agent in Charge (b) (6), Merrillville Field Office, of Industry Operations' intent to conduct an inspection at Deb's Gun Range (AD-2, RAC Notification).

On February 25, 2015, IOI (b) (6) and Investigative Analyst (b) (6) (b) (6) conducted an unannounced compliance inspection (recall) of Federal Firearms License (FFL) holder Mrs. Debora Gales who operates Deb's Gun Range (FFL# 4-35-38237). The onsite inspection concluded the same day. The inspection period started after the last compliance inspection (September 11, 2013) and ended on February 25, 2015. Mrs. Gales, owner, was identified and interviewed during the inspection.

IOI (b) (6) held a closing conference with Debora Gales on March 17, 2015, at which time an ATF Form 5030.5, Report of Violations, was issued.

Business Profile:

Mrs. Gales is the owner and sole proprietor of Debs Gun Range located in urban Hammond, IN. The premise is in a commercial location in the downtown district of Hammond. On the main floor of the store there is the firearm sales area and a simulator machine for practicing different shooting scenarios. The office space directly next door at 6821 Kennedy Ave, is where Mrs. Gales offers NRA training classes. In the basement of the building is a gun range for handgun use only.

Mrs. Gales was licensed under her current FFL in 1999. However, Mrs. Gales has been involved with the business in some capacity for approximately 20 years. In addition to the current FFL, the following FFLs have been held at the same premises:

- 1995 – 2005 *Foreman's Gun Range* FFL 4-35-37397
Mrs. Gales (as Debora Foreman) listed as Partner.
- 1996 – 1999 *F E W Shots* FFL 4-35-37432
Mrs. Gales (as Debora Workman) listed as Partner.
- 1992 – 2005 *Don Foreman Gunsmith* FFL 4-35-35688
Mrs. Gales (as Debora Workman) listed as a Responsible Person.

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

Deb's Gun Range sells new and used long guns and handguns. Approximately (b) (4) of the firearms Mrs. Gales acquires are handguns (b) (4) are long guns and less than (b) (4) are receivers. Approximately (b) (4) of the firearms are new, (b) (4) are used and (b) (4) are transfers. During the inspection period of 9/11/2013 through 2/25/2015, Mrs. Gales acquired (b) (4) firearms and disposed of approximately (b) (4) (approximately (b) (4) on Forms 447 (b) (4) to personal collection and (b) (4) to an Illinois FFL for interstate transfers). For the twelve months immediately preceding this inspection, Mrs. Gales acquired (b) (4) firearms and disposed of approximately (b) (4). Mrs. Gales has (b) (4) firearms in physical inventory at the time of this inspection and (b) (4) open entries in the A&D Book.

Mrs. Gales' primary suppliers are (b) (4)

(b) (4) Mrs. Gales also transfers and receives a significant number of firearms from (b) (4)

(b) (4)

residents are transferred through Mrs. Gales.

Mrs. Gales does not participate in gun shows, consignments, mail order, or internet sales. She does accept occasional transfers from other FFLs for customers who purchase firearms over the internet from various websites (cheaperthandirt.com, gunbroker.com, etc.). In addition to buying and selling firearms, Mrs. Gales operates an indoor shooting range and offers firearm safety courses at the premises. Mrs. Gales also hosts recreational shooting leagues. She does not store firearms off-site. Mrs. Gales, her husband and employees all store firearms on the premises, but all personal firearms are identified by a tag indicating the firearms are not for sale.

Mrs. Gales has a current Registered Retail Merchant Certificate (Control Number 1300105900084) valid from 12/1/2013 through 11/30/2015. She also has a current State of Indiana License to Sell Handguns #49014, which expires 09/30/2017.

While the Federal Licensing System (FLS) indicates that Mrs. Gales uses her social security number as her EIN, the business has its own EIN of (b) (3)-(26 USC 6103). The following information also needs to be updated in FLS for Responsible Person Debora Foreman:

Last Name: Gales

Middle Name: Faith

Phone Number: (b) (6)

Other names used: Debora Foreman, Debora Workman and Debora Esgate

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

Ownership and Control:

Debora Gales (formerly known as Debora Workman, Debora Foreman and Debora Esgate) is the owner and sole proprietor of Deb's Gun Range; she also owns the business premises at 6819 Kennedy Avenue, Hammond, IN. There are no other responsible persons and none were added as a result of this inspection. Mrs. Gales has 2 full-time employees – Ed Esgate (Deb's son) and (b) (6) (keyholder). She also has 6 part-time employees. Not all employees are authorized to transfer firearms; some employees just handle range duties. (b) (6) (b) (6) Debora's husband, occasionally helps out at the store, but for the past 2 years has been working full-time at Horseshoe Casino in marine operations (mechanical).

Hidden ownership is not suspected.

Variance:

Mrs. Gales does not have any variances, nor did she request any.

2 - Recommendation

This inspection was conducted on February 25, 2015. IOI (b) (6) held a closing conference with Mrs. Gales on March 17, 2015, at which time she issued Mrs. Gales a Report of Violations.

ROV only – no recall, no referrals

Changes to Federal Licensing System:

Responsible Person Debora Foreman:

- Name changed in August 2000 to Debora Faith Gales;
- Aliases: Debora Foreman, Workman, Esgate
- Phone Nbr: (b) (6) (cell)

3 - Inspection History

Date and Type of Inspection: 9/11/2013, Firearms Compliance (unannounced)
UI#: 773090-2013-0243
Inspection Results: Violations, Warning Letter only, Recall Inspection
Cited Violations: 27 CFR 478.126(a) REPEAT - 2005
In ^{83 Public Law} _{112-55 (125 Stat. 552)} transaction, the licensee failed to complete and submit a report of multiple handgun sale.

27 CFR 478.124(c)(5) – REPEAT – 2011

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

b3 Public Law 112-55 (125 Stat. 552)
In [redacted] transaction, the licensee failed to properly date Item 36, Transfer Date, in Section D of the Form 4473.

27 CFR 478.124(c)(3)(iv) – REPEAT – 2005, 2010, 2011

b3 Public Law 112-55 (125 Stat. 552)
In [redacted] transaction, the licensee failed to record a date in Item 21d (NICS final disposition).

27 CFR 478.125(e) – REPEAT – 2005, 2010, 2011

b3 Public Law 112-55 (125 Stat. 552)
In [redacted] instances, the licensee failed to properly record acquisitions and / or dispositions of firearms resulting in a theft/loss report of b3 Public Law 112-55 (125 Stat. 552) firearms.

Date and Type of Inspection: 12/15/2011, Firearms Inventory & Reconciliation due a theft of firearms
UI#: 773090-2012-0084
Inspection Results: See Related CE UI #773055-12-0026
Cited Violations: None

Date and Type of Inspection: 3/16/2011, Firearms Compliance - Recall (unannounced)
UI#: 773090-2011-0110
Inspection Results: Violations, ROV only, No Recall Inspection
Cited Violations: 27 CFR 478.124(c)(1)

b3 Public Law 112-55 (125 Stat. 552)
In [redacted] instances, the licensee failed to obtain a complete and accurate Form 4473 in that:

b3 Public Law 112-55 (125 Stat. 552)
[redacted]

27 CFR 478.125(e)

The licensee failed to consistently record the address of the person to whom firearms were transferred in the bound book disposition.

27 CFR 478.124(c)(3)(iv) – REPEAT – 2005, 2010

b3 Public Law 112-55 (125 Stat. 552)
In [redacted] transaction, the licensee failed to record a

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

correct date in Item 21a (NICS initiation date).

27 CFR 478.124(c)(4)

83 Public Law 112-55 (125 Stat. 552) instances, the licensee failed to record the firearm serial number in Item 28 on the Form 4473.

27 CFR 478.124(c)(5)

83 Public Law 112-55 (125 Stat. 552) instance, the licensee failed to properly date Item 36, transfer date, in Section D on the Form 4473.

Date and Type of Inspection: 1/27/2010, Firearms Compliance (unannounced)
UI#: 773075-2009-0265
Inspection Results: While the IOIs recommended revocation of Mrs. Gales' license, the DIO recommended a warning conference in lieu of revocation. Warning conference was held on 7/13/2010, with DIO Marcia Lambert. Follow-up letter mailed on 7/14/2010. Recall inspection recommended
Cited Violations:

27 CFR 478.124(c)(3) – REPEAT

83 Public Law 112-55 (125 Stat. 552) instances, the licensee failed to obtain a complete ATF Form 4473 prior to transferring firearms.

27 CFR 478.21(a)

83 Public Law 112-55 (125 Stat. 552) instances, the licensee failed to provide information called for by the headings and instructions on the Form 4473.

27 CFR 478.96(c)

83 Public Law 112-55 (125 Stat. 552) instances, the licensee failed to observe State law, specifically the State of Illinois requirement for a one (1) day waiting period for Illinois residents, when transferring long guns to out-of-state residents.

27 CFR 478.125(e) – REPEAT

- 83 Public Law 112-55 (125 Stat. 552) instances, the license failed to record a disposition;
- 83 Public Law 112-55 (125 Stat. 552) instances, the licensee failed to record acquisitions of firearms;
- 83 Public Law 112-55 (125 Stat. 552) instances, the licensee failed to record complete and accurate acquisition information;

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

- In instances, the licensee failed to record complete disposition information.

27 CFR 478.99(c)

In instance, the licensee failed to ensure that the transferee was not prohibited prior to transferring a firearm to an individual who answered "yes" to question 11.i. indicating he had been convicted of a misdemeanor crime of domestic violence.

Date and Type of Inspection: 2/23/20015, Firearms Compliance
UI#: 773075-2005-0105
Inspection Results: Warning conference held 4/29/2005; follow-up letter sent to Mrs. Gales on 5/5/2005
Cited Violations: 27 CFR 478.124(b)
The licensee failed to have Forms 4473 retained alphabetically, chronologically or numerically. The Forms 4473 were filed by year.

27 CFR 478.124(c)(3)(i); (c)(3)(ii) and (c)(3)(iii)

In instances, the licensee failed to ensure proper completion of the Form 4473 (ID, NICS information, Section D information).

27 CFR 478.125(e)

The license failed to record dispositions (to personal collection) and acquisitions. The licensee could not account for a firearm that was missing from inventory.

27 CFR 478.126a

For transactions involving handguns, the licensee failed to complete and submit a multiple handgun sale form.

4 - Acquisition & Disposition (A&D) Record - Inventory

Upon completing a full physical inventory at Mrs. Gales premise, it was discovered that there were firearms in inventory and open entries in the A&D Record. Each firearm was physically identified by the serial number and matched to the

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

corresponding A&D Record entry.

A&D Record discrepancies were cited as violations of 27 CFR 478.125(e).
Specifically:

- a. In ^{03 Public Law 112-55 (125 Stat. 552)} instance, the licensee failed to timely record the disposition of a firearm that was transferred on 12/12/2014;
- b. In ^{03 Public Law 112-55 (125 Stat. 552)} instance, the licensee failed to accurately record the serial number of a firearm in inventory;
- c. In ^{03 Public Law 112-55 (125 Stat. 552)} instances, the licensee failed to properly record all required information in firearm dispositions;
- d. In ^{03 Public Law 112-55 (125 Stat. 552)} instances, the licensee failed to record the manufacturer and/or importer of firearms in inventory.

Mrs. Gales corrected the above discrepancies during the inspection on 2/25/2015.

During the inspection period, the licensee did not submit any theft/loss reports, and none were submitted as a result of this inspection. ATF Officers trace ^{03 Public Law 112-55 (125 Stat. 552)} firearms from acquisition to disposition with no discrepancies noted. IOIs did not find any firearms with obliterated serial numbers or any illegal weapons.

Mrs. Gales advised that Deb's Gun Range takes a physical inventory every 3-4 months. Keyholder ^{(b) (6)} or Deb's son, Ed Esgate, is generally in charge of the inventories. Mrs. Gales stated that ideally they conduct inventories in the same manner as ATF, but she believes that sometimes the store will go by tag numbers rather than verifying the information on the firearm with the information in the A&D record. If they find an inventory discrepancy, they start looking through Forms 4473 to find any unrecorded dispositions. They work on reconciliation until all issues are resolved. IOIs did not find any illegal firearms or firearms with obliterated serial numbers during the inspection.

5 - ATF Forms 4473 – NICS & Other Dispositions

Forms 4473 are filed chronologically by date. Each years' Forms are filed in an accordion file by month with the denied/cancelled transactions in the front of each accordion file for that particular year. The licensee incorporates additional documents in the Form 4473 by including a copy of the buyer's driver's license, an internal sales slip, any transfer records to or from other FFLs, and hand-written information from NICS responses (usually written on the driver's license copy).

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

The licensee has ^{03 Public Law 112-55 (125 Stat. 552)} Forms 4473 on file for the inspection period ^{03 Public Law 112-55 (125 Stat. 552)} for the previous 12 months) including denials. ATF Officers reviewed all Forms 4473 on file for the inspection period noting the following discrepancies:

- a. 27 CFR 478.124(c)(1): ^{03 Public Law 112-55 (125 Stat. 552)} instances, the licensee failed to ensure that Section A of the Form 4473 was complete and accurate prior to transferring firearms. **Reference Violation 2 below, Exhibits 2a-2c, and Worksheet 2.**
- b. 27 CFR 478.124(c)(3)(iv): ^{03 Public Law 112-55 (125 Stat. 552)} instances, the licensee failed to record all required NICS information on the ATF Form 4473, including the date NICS was initiated, the NICS Transaction Number (NTN), and the NICS response(s). **Reference Violation 3 below, Exhibits 3a-3b and Worksheet 2.**
- c. 27 CFR 478.21(a): ^{03 Public Law 112-55 (125 Stat. 552)} instances, the licensee failed to ensure that the ATF Form 4473 was completed in accordance with the instructions and headings on the Form. **Reference Violation 4 below, Exhibits 4a-4c, and Worksheet 2.**
- d. 27 CFR 478.124(c)(4): ^{03 Public Law 112-55 (125 Stat. 552)} instance, the licensee failed to record an accurate firearm manufacturer in Item 26 on the Form 4473. **Reference Violation 5 below, Exhibit 5 and Worksheet 2.**
- e. 27 CFR 478.124(c)(5): ^{03 Public Law 112-55 (125 Stat. 552)} instances, the licensee failed to record an accurate "transfer Date" in Item 36 on the Form 4473. **Reference Violation 6 below, Exhibit 6 and Worksheet 2.**

ATF Officers verified ^{03 Public Law 112-55 (125 Stat. 552)} NICS transaction numbers from the FFL Audit Report (Exhibit B, FFL Audit) with no discrepancies. Deb's Gun Range occasionally ^{(b) (4)} Deb's properly records these dispositions in her A&D record. Sales to law enforcement officers were not identified during the inspection period.

Mrs. Gales advised that not all employees are authorized to transfer firearms or supervise the completion of ATF paperwork. When the store hires a new employee who will be transferring firearms, current employees work with the new employee at the counter until the licensee is satisfied that the new employee knows what is required to properly execute ATF paperwork. ^{(b) (6)} Keyholder, generally guides any new employees ^{(b) (6)} has been employed with Deb's Gun Range for 6-7 years. The store does not have a double-check policy, but when two employees are present who are authorized to complete paperwork, they will double-check Forms 4473 prior to guns going out the door. The store does not, however, have a specific policy on which items on the Form 4473 to double-check. Generally the employee who sells a firearm and completes the Form 4473 is responsible for recording the disposition in the A&D record.

6 – Multiple Sales

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

The Violent Crime Analysis Branch FFL Master Query indicates that Deb's Gun Range reported multiple handgun sales during the inspection period for a total of [REDACTED] firearms (Exhibit C, VCAB Report). This inspection did not identify any unreported multiple handgun sales.

IO (b) (6) inquired as to how Deb's Gun Range tracks handgun transfers in order to identify when a multiple has occurred. Mrs. Gales stated that the store does not have a specific method but instead the employees rely on each other to know when a handgun was sold. IO (b) (6) suggested that the store manually maintain a small notebook with handgun purchasers and dates of purchase for employees to look at in order to know when a multiple handgun sale form needs to be completed.

7 - Suspicious/Prohibited Purchasers

No suspicious or prohibited purchasers were noted during this inspection.

8 - Trace Activity

During the inspection period, Deb's Gun Range was associated with [REDACTED] successful traces – zero (0) unsuccessful traces (Exhibit C, VCAB Report). For the 12 months immediately preceding this inspection, Deb's was associated with [REDACTED] successful traces. Deb's Gun Range is on the [REDACTED] program and quarterly makes a report to ATF disclosing all used firearms purchased; therefore, a secondary market analysis was not completed.

9 - NFA/Importer/Collector/Manufacturer

Deb's Gun Range does not deal in NFA weapons, and is not an importer, manufacturer or collector.

10 - Referrals

No referrals of information were generated during this inspection.

11 - Other

Youth Handgun Safety Act (YHSA) Poster & Pamphlets: Deb's Gun Range provides a YHSA pamphlet with every handgun transferred. The store has a YHSA poster displayed on the door going to the range.

Gun Storage / Safety Devices: Deb's provides a gun storage or safety device with every handgun transferred. Deb's provides these free of charge.

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

12 - Closing Actions

IO (b) (6) held a closing conference with Debora Gales on March 17, 2015. IO (b) (6) verified corrective actions for violations and issued Mrs. Gales a Report of Violations (AD-4). IO (b) (6) also reviewed regulations with Mrs. Gales after which she signed an Acknowledgement of Federal Firearms Regulations (**Exhibit A**). IO (b) (6) reminded Mrs. Gales that the regulation review was a general overview and that she was responsible to provide oversight to ensure employees were complying with Federal laws and regulations. IO (b) (6) also provided Mrs. Gales with the following reference materials:

Important Telephone Numbers and Addresses, including CLEO address for 3310.4, Copy 2
ATF F 4473, Firearms Transaction Record (2012 revision)
ATF F 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers
ATF F 3310.11, Federal Firearms Licensee Firearms Inventory Theft/Loss Report
ATF I 3310.3, Misdemeanor Crimes of Domestic Violence and Federal Firearms Prohibitions
ATF I 3310.2, Protection Orders and Federal Firearms Prohibitions
ATF I 3310.4, Persons Adjudicated as a Mental Defective or Committed to a Mental Institution
NICS Misdemeanor Crimes of Domestic Violence (November 2007)
NICS Delay/Deny card
ATF I 5300.2, ATF I 5300.1, Youth Handgun Safety Act Poster and brochures
Child Safety Lock Act of 2005
ATF Fact Sheet regarding FFL Compliance Inspections
Don't Lie for the Other Guy Frequently Asked Questions regarding straw purchases
Antique Firearms under the GCA of 1968 and the Arms Export Control Act of 1976
ATF P 3312.8 (12-03), Personal Firearms Record
Illinois State Police Fact Sheet
Copy of Acknowledgement of Federal Firearms Regulations

13 - Violations

1. 27 CFR 478.125(e)

REPEAT FROM 2011, 2010 AND 2005 INSPECTIONS

Nature of Violation:

(b) (6) instances, the licensee failed to maintain an accurate acquisition and disposition record in that:

- a. (b) (6) instance, the licensee failed to timely record a firearm disposition (b) (6)
b3 Public Law 112-55 (125 Stat. 552)
b3 Public Law 112-55 (125 Stat. 552) Reference **Exhibit 1a.**
- b. (b) (6) instance, the licensee failed to record an accurate firearm serial number (b) (6)
b3 Public Law 112-55 (125 Stat. 552) Reference **Exhibit 1b.**

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

- c. In ^{(b) (6)} instances, the licensee failed to record all required information in the disposition ^{(b) (6)} **Public Law 112-55 (125 Stat. 552)** ^{(b) (6)} **Public Law 112-55 (125 Stat. 552)** Reference Exhibit 1c.
- d. In ^{(b) (6)} instances, the licensee failed to record all required information in the acquisition record ^{(b) (6)} **Public Law 112-55 (125 Stat. 552)** ^{(b) (6)} **Public Law 112-55 (125 Stat. 552)** ^{(b) (6)} **Public Law 112-55 (125 Stat. 552)** Reference Worksheet 1 and Attachment 1 to the ROV.

Corrective Action:

At the end of the inspection, IO ^{(b) (6)} explained to Debora Gales that all acquisition information should be taken from the firearm and not from an invoice or box. IO ^{(b) (6)} asked that Mrs. Gales take a full inventory and compare the information in the acquisition record to the information on the firearm and to correct any discrepancies. During the closing conference, IO ^{(b) (6)} verified that corrections were made.

Licensee's Response:

Mrs. Gales stated that no one ever told her to take information from the gun and no one ever explained that both the manufacturer and importer were required in the acquisition. Mrs. Gales stated that she would hold a meeting with employees to be sure they all understood the requirements.

Reference Worksheet 1, Report of Violations, Attachment 1 to ROV, and Exhibits 1a-1c.

2. 27 CFR 478.124(c)(1)

REPEAT FROM 2011 INSPECTION

Nature of Violation:

^{(b) (6)} instances, the licensee failed to ensure that Section A of the Form 4473 was complete and accurate prior to transferring firearms. Specifically:

- a. In ^{(b) (6)} instance, Item 13 (State of residence) was blank ^{(b) (6)} **Public Law 112-55 (125 Stat. 552)** Reference Exhibit 2a.
- b. In ^{(b) (6)} instance, Item 17 (Certification Date) was blank ^{(b) (6)} **Public Law 112-55 (125 Stat. 552)** Reference Exhibit 2b.

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

c. In ^{b3 Public Law 112-55 (125 Stat. 552)} instances, Item 17 (Certification Date) was incorrect ^{b3 Public Law 112-55 (125 Stat. 552)}
^{b3 Public Law 112-55 (125 Stat. 552)} Reference Exhibit

2c.

Corrective Action:

During the inspection, IOI ^{(b) (6)} reviewed the Form 4473 discrepancies with Debora Gales and explained what was wrong with each Form. IOI ^{(b) (6)} advised that no corrective action should be taken at this time. In the future, the licensee will ensure that Forms 4473 are reviewed for accuracy prior to transferring firearms.

Licensee's Response:

Mrs. Gales stated that she would like to think that all Forms 4473 are reviewed for accuracy prior to firearms being transferred, but she does not always have two employees working who are authorized to conduct firearm transactions so it would not be beneficial to have an untrained employee review a Form 4473. She stated that she will have a meeting with her employees to reiterate the problems that were found on the Forms 4473 in hopes that employees will pay closer attention to Form 4473 execution.

Reference Worksheet 2, Report of Violations, Exhibits 2a-2c.

3. 27 CFR 478.124(c)(3)(iv)

REPEAT FROM 2011, 2010 AND 2005 INSPECTIONS

Nature of Violation:

In ^{b3 Public Law 112-55 (125 Stat. 552)} instances, the licensee failed to record all required NICS information on the ATF Form 4473 including the date NICS was initiated, the NICS Transaction Number and the NICS response(s). Specifically:

b3 Public Law 112-55 (125 Stat. 552)

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

Corrective Action:

During the inspection, IOI (b) (6) reviewed the Form 4473 discrepancies with Debora Gales and explained what was wrong with each Form. IOI (b) (6) advised that no corrective action should be taken at this time. In the future, the licensee will ensure that Forms 4473 are reviewed for accuracy prior to transferring firearms.

Licensee's Response:

Mrs. Gales stated that she would like to think that all Forms 4473 are reviewed for accuracy prior to firearms being transferred, but she does not always have two employees working who are authorized to conduct firearm transactions so it would not be beneficial to have an untrained employee review a Form 4473. She stated that she will have a meeting with her employees to reiterate the problems that were found on the Forms 4473 in hopes that employees will pay closer attention to Form 4473 execution.

Reference Worksheet 2, Report of Violations, and Exhibits 3a-3b.

4. 27 CFR 478.21(a)

REPEAT FROM 2010 INSPECTION

Nature of Violation:

In (b) (6) instances, the licensee failed to ensure that the ATF Form 4473 was completed in accordance with the instructions and headings on the Form. Specifically:

b3 Public Law 112-55 (125 Stat. 552)

Corrective Action:

During the inspection, IOI (b) (6) reviewed the Form 4473 discrepancies with Debora Gales and explained what was wrong with each Form. IOI (b) (6) advised that no corrective action should be taken at this time. In the future, the licensee will ensure that

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

Forms 4473 are reviewed for accuracy prior to transferring firearms.

Licensee's Response:

Mrs. Gales stated that she would like to think that all Forms 4473 are reviewed for accuracy prior to firearms being transferred, but she does not always have two employees working who are authorized to conduct firearm transactions so it would not be beneficial to have an untrained employee review a Form 4473. She stated that she will have a meeting with her employees to reiterate the problems that were found on the Forms 4473 in hopes that employees will pay closer attention to Form 4473 execution.

Reference Worksheet 2, Report of Violations and Exhibits 4a-4c.

5. 27 CFR 478.124(c)(4)

REPEAT FROM 2011 INSPECTION

Nature of Violation:

In 03 Public Law 112-55 (125 Stat. 552) instance, the licensee failed to record an accurate firearm manufacturer in Item 26 on the Form 4473 03 Public Law 112-55 (125 Stat. 552). The licensee recorded "pistol" when the actual manufacturer was Glock. Reference Exhibit 5.

Corrective Action:

IO **(b) (6)** advised Debora Gales to make a copy of the incorrect Form 4473 (page 3), correct Item 26 on the copy, initial and date the correction and attach the corrected copy to the original Form 4473 and maintain as part of her permanent records. In the future, Mrs. Gales will attempt to ensure that Forms 4473 are correct prior to transferring firearms. Corrective action was taken on February 25, 2015.

Licensee's Response:

Mrs. Gales laughed in surprise when she saw this error. She mentioned that the particular employee made several errors and she would have to have a separate conversation with him about his attention to detail.

Reference Worksheet 2, Report of Violations, and Exhibit 5.

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

6. 27 CFR 478.124(c)(5)

REPEAT FROM 2011 and 2013 INSPECTIONS

Nature of Violation:

b3 Public Law 112-55 (125 Stat. 552) instances, the licensee failed to record an accurate "Transfer Date" in Item 36 on the Form 4473. Specifically (Exhibit 6):

b3 Public Law 112-55 (125 Stat. 552)

Corrective Action:

During the inspection, IOI (b) (6) reviewed the Form 4473 discrepancies with Debora Gales and explained what was wrong with each Form. IOI (b) (6) advised that no corrective action should be taken at this time. In the future, the licensee will ensure that Forms 4473 are reviewed for accuracy prior to transferring firearms.

Licensee's Response:

Mrs. Gales stated that she would like to think that all Forms 4473 are reviewed for accuracy prior to firearms being transferred, but she does not always have two employees working who are authorized to conduct firearm transactions so it would not be beneficial to have an untrained employee review a Form 4473. She stated that she will have a meeting with her employees to reiterate the problems that were found on the Forms 4473 in hopes that employees will pay closer attention to Form 4473 execution.

Reference Worksheet 2, Report of Violations and Exhibit 6.

(b) (6)

Signature

Senior Industry Operations
Investigator

Title

3/5/2015

Date of Report

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Deb's Gun Range

UI#: 772085-2015-0061 B1B
FFL#: 4-35-089-01-7M-38237

Index of Worksheets and Exhibits

ADMINISTRATIVE

AD-1 ATF EF 5700.14, Assignment and Report
AD-2 RAC Notification
AD-3 Narrative
AD-4 Report of Violations

WORKSHEETS

Firearms Inspection Informational Page
WS-1 Inventory and A&D Review Worksheet
WS-2 ATF Form 4473 Review Worksheet
Statistical Page
Info & Stats for Report Prep & Other Program Info Worknote

GENERAL EXHIBITS

Ex-A Acknowledgement of Federal Firearms Regulations
Ex-B FFL Audit Report
Ex-C VCAB Master Query

VIOLATION EXHIBITS

Ex-1 Documentation of violations of 27 CFR 478.125(e)
a Unrecorded disposition with correction
b Incorrectly recorded firearm serial number
c Dispositions with missing information
Ex-2 Documentation of violations of 27 CFR 478.124(c)(1)
a Item 13 (State of residence) blank
b Item 17 (Certification Date) blank
c Items 17 (Certification Date) incorrect
Ex-3 Documentation of violations of 27 CFR 478.124(c)(3)(iv)
a Item 21.a. (Date NICS initiated) incorrect
b Item 21.c. (NICS response) blank
Ex-4 Documentation of violations of 27 CFR 478.21(a)
a Section C (Items 24-25, Recertification) blank
b Item 30.a. (Total Number of Firearms) blank
c Item 11.a. (Are you the actual buyer of the firearm) answered "no"
Ex-5 Documentation of a violation of 27 CFR 478.124(c)(4) – incorrect firearm manufacturer
Ex-6 Documentation of violations of 27 CFR 478.124(c)(5) – Item 36 (Transfer Date)
incorrect

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debora Gales Deb's Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 1 of 6 Pages
License/Permit/Registry Number (If any) 435089017M38237	Expiration Date 12/1/2017	Date(s) or Period of Inspection 09/11/2013 through 02/25/2015				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

27 CFR 478.125(e) REPEAT from 2011, 2010 and 2005 inspections

^{03 Public Law 112-55 (125 Stat. 552)} In ^{03 Public Law 112-55 (125 Stat. 552)} instances, the licensee failed to maintain an accurate acquisition and disposition record in that (reference Attachment 1):

- a. In ^{03 Public Law 112-55 (125 Stat. 552)} instance, the licensee failed to timely record a firearm disposition ^{03 Public Law 112-55 (125 Stat. 552)}
- b. In ^{03 Public Law 112-55 (125 Stat. 552)} instance, the licensee failed to record an accurate firearm serial number ^{03 Public Law 112-55 (125 Stat. 552)}
- c. In ^{03 Public Law 112-55 (125 Stat. 552)} instances, the licensee failed to record all required information in the disposition ^{03 Public Law 112-55 (125 Stat. 552)}
- d. In ^{03 Public Law 112-55 (125 Stat. 552)} instances, the licensee failed to record all required information in the acquisition ^{03 Public Law 112-55 (125 Stat. 552)} record.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made: 03/09/2015
(If not corrected immediately)

Corrective Action to be Taken: On 2/25/2015, IO ^{(b) (6)} explained to Debora Gales that all acquisition information should be taken from the firearm and not from an invoice or box. IO ^{(b) (6)} asked that Mrs. Gales take a full inventory and compare the information in the acquisition record to the information on the firearm and to correct any discrepancies. During the closing conference, IO ^{(b) (6)} verified that corrections were made.

AD-4
1027

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debora Gales Deb's Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 2 of 6 Pages
---	------------------------------------	-----------------	-------------	--------------------	----------------	----------------------

License/Permit/Registry Number (If any) 435089017M38237	Expiration Date 12/1/2017	Date(s) or Period of Inspection 09/11/2013 through 02/25/2015
--	------------------------------	--

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

27 CFR 478.124(c)(1) REPEAT from inspection in 2011

03 Public Law 112-55 (125 Stat. 552)
In 03 Public Law 112-55 (125 Stat. 552) instances, the licensee failed to ensure that Section A of the Form 4473 was complete and accurate prior to transferring firearms. Specifically:

a. In 03 Public Law 112-55 (125 Stat. 552) instance, Item 13 (State of residence) was blank 03 Public Law 112-55 (125 Stat. 552)

b. In 03 Public Law 112-55 (125 Stat. 552) instance, Item 17 (Certification Date) was blank 03 Public Law 112-55 (125 Stat. 552)

c. In 03 Public Law 112-55 (125 Stat. 552) instances, Item 17 (Certification Date) was incorrect 03 Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

During the inspection, IOI 03 Public Law 112-55 (125 Stat. 552) viewed the Form 4473 discrepancies with Debora Gales and explained what was wrong with each Form. IOI 03 Public Law 112-55 (125 Stat. 552) advised that no corrective action should be taken at this time. In the future, the licensee will ensure that Forms 4473 are reviewed for accuracy prior to transferring firearms.

AD-4
2017

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debora Gales Deb's Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 3 of 6 Pages
License/Permit/Registry Number (If any) 435089017M38237	Expiration Date 12/1/2017	Date(s) or Period of Inspection 09/11/2013 through 02/25/2015				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

27 CFR 478.124(c)(3)(iv) REPEAT from inspections in 2011, 2010 and 2005

03 PUBLIC LAW 112-55 (125 Stat. 552)
In instances, the licensee failed to record all required NICS information on the ATF Form 4473 including the date NICS was initiated, the NICS Transaction Number and the NICS response(s). Specifically,

03 PUBLIC LAW 112-55 (125 Stat. 552)
a. In instances, the licensee failed to record an accurate NICS initiation date in Item 21.a.

03 PUBLIC LAW 112-55 (125 Stat. 552)
b. In instance, the licensee failed to record a NICS response in Item 21.c. 03 PUBLIC LAW 112-55 (125 Stat. 552)

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, IO (b) (6) reviewed the Form 4473 discrepancies with Debora Gales and explained what was wrong with each Form. IO (b) (6) advised that no corrective action should be taken at this time. In the future, the licensee will ensure that Forms 4473 are reviewed for accuracy prior to transferring firearms.

AD-4
3087

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debora Gales Deb's Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 4 of 6 Pages
License/Permit/Registry Number (If any) 435089017M38237	Expiration Date 12/1/2017	Date(s) or Period of Inspection 09/11/2013 through 02/25/2015				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

27 CFR 478.21(a) REPEAT violation from 2010 inspection

03 Public Law 112-55 (125 Stat. 552) instances, the licensee failed to ensure that the ATF Form 4473 was completed in accordance with the instructions and headings on the Form. Specifically:

b3 Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, IO (b) (6) reviewed the Form 4473 discrepancies with Debora Gales and explained what was wrong with each Form. IO (b) (6) advised that no corrective action should be taken at this time. In the future, the licensee will ensure that Forms 4473 are reviewed for accuracy prior to transferring firearms.

AD-4
497

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debora Gales Deb's Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 5 of 6 Pages
License/Permit/Registry Number (If any) 435089017M38237	Expiration Date 12/1/2017	Date(s) or Period of Inspection 09/11/2013 through 02/25/2015				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

27 CFR 478.124(c)(4) REPEAT violation from 2011 inspection

In ^{b3 Public Law 112-55 (125 Stat. 552)} instance, the licensee failed to record an accurate firearm manufacturer in Item 26 on the Form 4473. The licensee recorded "pistol" when the actual manufacturer was Glock.

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made: 03/09/2015

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

IO ^{(b) (6)} advised Debora Gales to make a copy of the incorrect Form 4473 (page 3), correct Item 26 on the copy, initial and date the correction, attach the corrected copy to the original Form 4473, and maintain as part of her permanent records. In the future, Mrs. Gales will attempt to ensure that Forms 4473 are correct prior to transferring firearms.

Number: 6

Nature of Violation:

27 CFR 478.124(c)(5) REPEAT of violation cited in 2011 and 2013

In ^{b3 Public Law 112-55 (125 Stat. 552)} instances, the licensee failed to record an accurate "Transfer Date" in Item 36 on the Form 4473. Specifically:

b3 Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, IO ^{(b) (6)} reviewed the Form 4473 discrepancies with Debora Gales and explained what was wrong with each Form. IO ^{(b) (6)} advised that no corrective action should be taken at this time. In the future, the licensee will ensure that Forms 4473 are reviewed for accuracy prior to transferring firearms.

AD-4
5087

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debora Gales Deb's Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 6 of 6 Pages
License/Permit/Registry Number (If any) 435089017M38237	Expiration Date 12/1/2017	Date(s) or Period of Inspection 09/11/2013 through 02/25/2015				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(5)

AD-4
6077

I Have Received a Copy of This Report of Violations (Proprietor's signature and title) <i>Debora F. Gales</i>	Date 03/17/2015
Signature and Title of A (b) (6) SK 101	Date 3-17-2015

Attachment 1 to Report of Violations

27 CFR 478.125(e)

b3 Public Law 112-55 (125 Stat. 552)



FIREARMS INSPECTION
Informational Page

Enter the Licensee's name and UI# in the boxes below to populate all worksheets in the Workbook.

Licensee Name:	UI Number:
Debora Gales, Deb's Gun Range	772085-2015-0061 BIB

Enter the Investigator's name and date to populate all worksheets in the Workbook.

Prepared By:	Date:
(b) (6)	02/25/15

Figures for the Results Tab in N-Spect		
478.99	Total Transactions With Violations:	0
478.100	Total Number of Transactions with Violations:	0
478.102	Total Number of Transactions with Violations:	0
478.124	Total Number ATF Forms 4473 Reviewed:	0
478.124	Total No. With Violations on F 4473 Certification:	0
478.124	Total No. With Violations on F 4473 Section A:	0
478.124	Total Number with Other Violations:	0
478.125	Firearms in Phys. Inventory Verified w A/D Book:	0
478.125	Firearms in A/D Bk Verified w Phys Inventory:	0
478.125	**Number of Discrepancies:	0
478.125	Firearms Missing/Stolen after Reconciliation:	0
478.125	Firearm transactions completed but not recorded in required records	0
478.126a	Total Number of Unreported Multiple Sales:	0
478.126a	Total Number of Guns Involved:	0

Violation Summary for Report of Violations and Violation Tab in Nspect				
Violation		Worksheet 2		Number of Times Regulation Violated
Cite	Type	2008 Edition	2012 Edition	
478.21(a)	Administrative	0	0	0
478.124(c)(1)	Records & Reports	0	0	0
478.124(c)(3)(i)	Records & Reports	0	0	0
478.124(c)(3)(iii)	Records & Reports	0	0	0
478.124(c)(3)(iv)	Records & Reports	0	0	0
478.124(c)(4)	Records & Reports	0	0	0
478.124(c)(5)	Records & Reports	0	0	0
478.102(a)	Conduct of Business	0	0	0
478.102(a)(2)(ii)	Conduct of Business	0	0	0
478.126a	Records & Reports	0	0	0
923(g)(5)(A)	Records & Reports	0	0	0
478.131(a)(2)	Records & Reports	0	0	0
478.99(a)	Conduct of Business	0	0	0
478.99(b)	Conduct of Business	0	0	0
478.99(c)	Conduct of Business	0	0	0
478.124(a)	Records & Reports	0	0	0
478.129(b)	Records & Reports	0	0	0
* 478.125(c)	Records & Reports	0	0	0

* If the licensee is an importer or manufacturer, cite the appropriate 478.122 or 478.123 violation(s).
 **Discrepancies include firearms acquisitions not recorded in the A&D record prior to the inspection, firearms recorded as disposed of when found in inventory, dispositions not recorded but reconciled, duplicate entries and firearms missing from inventory. The number of discrepancies may differ from the number of 478.125(e) violations.

NOTE: Light Green shaded cells contain formulas; do not type in these cells.

Revised 05/15/2014

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

FIREARMS INSPECTION
Statistical Page

(b) (6)

(b) (6)

Section Analyst

Licensee Name: Debora Gales, Deb's Gun Range		UI Number: 772085-2015-0061 B1B			
Prepared by: (b) (6)		Date: 02/25/15			
<p>NOTE: The numbers and percentages below are provided only to help apply ATF O 5370.1B, Federal Firearms Administrative Action Policy and Procedures. It is NOT meant to be all inclusive. Items such as the FFL participating in a straw purchase, the transfer of a firearm to a prohibited person, etc., must be evaluated by the IOI and Supervisors and applied to the order appropriately.</p>					
		(2008 edition)	(2012 edition)		
A & D Bound Book and Inventory Violations:					
Failure to timely and/or correctly record acquisition entries.	b3 Public Law 112-55 (125 Stat. 552)			b3 Public Law 112-55 (125 Stat. 552)	
Failure to timely and/or correctly record disposition entries.					
Missing firearms after reconciliation	0			0	
Forms 4473 Violations:					
Fails to execute ATF Forms 4473		0	0	0	
Missing ATF Forms 4473		0	0	0	
Fails to obtain complete or accurate information in certification section		0	b3 Public Law 112-55 (125 Stat. 552)		
Fails to record any ID		0	0	0	0%
Fails to record valid and complete ID		0	0	0	0%
NICS Violations:					
Acceptance of non-qualifying State permit as substitute for NICS check.		0	0	0	
Fails to conduct NICS check or obtain alternative permit		0	0	0	
Failure to initiate a new NICS check when the transaction is not completed within the 30 day period.		0	0	0	
Prohibited Sales Violations:					
Transfer of long gun to a resident in another state that violates state law		0	0	0	
Transfer of handgun to out-of-state resident		0	0	0	
Transfer of a receiver to a person under the age of 21.		0	0	0	
Transfer of firearm to a purchaser, who at the time of the transfer appeared to be prohibited				0	
Conduct of Business:					
Fails to report required multiple sales				0	

ROV only

*ROV
ROV*

ROV

FIREARMS INSPECTION
Information and Statistics for
N-Spect, Report Preparation and Other Program Information
Worknote

Licensee Name:		UI Number:	
Debora Gales, Deb's Gun Range		772085-2015-0061 B1B	
Information for General Results Tab in N-Spect			
NICS/POC Checks - Compared to 4473s		No. 4473 on file (last 12 months):	
CCH Checks--Guns:		Trace Results:	
CCH Checks--Persons:		Trace - Successful: (last 12 mos.)	
CCH HITS--Guns:		Trace - Unsuccessful: (last 12 mos.)	
CCH HITS--Persons:		Trace - Resolved by Inspector:	
Total Number of Acquisitions (in the last 12 months):			
Total Number of Dispositions (in the last 12 months):			
Total Number of Forms 4473 Reviewed:			
Information for Firearms Results Tab in N-Spect			
Total number of firearms traced in the last 12 months regardless of when they were sold:			
Total number of firearms reported stolen/missing in the last 12 months:		0	
Number of Firearms in Inventory:		Notes: one unrecorded dispo	
Number of Open Dispositions in A&D Record:			
Number of Inventory Discrepancies:			
Firearms missing/stolen after Reconciliation:			
Firearm transactions completed but not recorded in ANY required records:			
Total Number of Unreported Multiple Sales:			
Total Number of Guns Involved:			
Information for Report Preparation and other Program Considerations			
Business Profile: (estimate percentage of business)			
Wholesale	0%	New	
Retail	100%	Used	
Pawnbroker	0%	Handguns	
Gunsmith	0%	Long guns	
NFA	0%	Primary Supplier(s)	(b) (4)
Gun Show	0%		
Internet Transfers	0%		
Internet Transfer Website(s) Utilized: Occasionally accepts transfers but does not transfer firearms for customers and does not sell on the internet.			
Inspection Period: 09/11/13 through 02/25/15			
Prepared By:		Date:	
(b) (6)		02/25/15	

Exhibit A
Acknowledgement of Federal Firearms Regulations

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4** (Sept. 2005)

Licensee Name:	UI Number:
Deborah Gales, Deb's Gun Range	772085-2015-0061 B1B

TOPIC	18 U.S.C., 27 CFR & Other References	Page Number
1. REQUIRED RECORDS & REPORTS		
<input checked="" type="checkbox"/> Acquisition and Disposition Record	478.125(e)	66
<input checked="" type="checkbox"/> Computerized Acquisition and Disposition Record	ATF Ruling 2013-5	
<input checked="" type="checkbox"/> Transaction Record/ATF F 4473**	478.124	63-65
<input checked="" type="checkbox"/> Identification Document	478.11	37
<input checked="" type="checkbox"/> Report of Multiple Handgun Sales/ATF F 3310.4**	478.126u	68
<input checked="" type="checkbox"/> Report of Multiple Rifle Sales/ATF F 3310.12** (CA, AZ, NM, and TX FFLs ONLY)	923(g)(5)(A) & Demand Letter 3	18
<input checked="" type="checkbox"/> Reporting Thefts or Losses/ATF F 3310.11**	478.39u	44
<input checked="" type="checkbox"/> Retention of Records	478.129	68-69
2. CONDUCT OF BUSINESS		
<input checked="" type="checkbox"/> Firearm Frame or Receiver	478.11	36
<input checked="" type="checkbox"/> NICS Requirements	478.102 & 478.131 www.fbi.gov/hq/cjis/nics/index.htm	54-55 & 69
<input checked="" type="checkbox"/> Secure Gun Storage or Safety Device	921(a), 922(z), & 923(d)(1)(G)	4 & 15-16
<input checked="" type="checkbox"/> Child Safety Lock Act	18 U.S.C. 922(z)	15-16
<input checked="" type="checkbox"/> Sales or Deliveries between Licensees	478.94 & 478.95	52
<input checked="" type="checkbox"/> FFL EZ Check - <i>marshawe FFL w/ gun - does not use</i>	www.atfonline.gov/fflezcheck	
<input checked="" type="checkbox"/> Gun Show Guidelines**	478.100	54
<input checked="" type="checkbox"/> Out of State/Mail Order and Internet Sales	478.96	52
<input checked="" type="checkbox"/> Prohibited Sales and Deliveries	478.99	53-54
<input checked="" type="checkbox"/> Ammunition - Age Requirements for Handgun Ammunition	478.99(b)(1)	53
<input checked="" type="checkbox"/> Sales to Law Enforcement Officers	478.134	69-70
<input checked="" type="checkbox"/> Youth Handgun Safety Act- Sales of Handguns / Poster and Notices	478.103	55-56
<input checked="" type="checkbox"/> Obliterated Serial Number	478.34	43
<input checked="" type="checkbox"/> Short Barreled Rifle & Shotgun	478.11	39
3. LICENSES		
<input checked="" type="checkbox"/> Engaged in the Business	478.11	36
<input checked="" type="checkbox"/> Correction of Error	478.48	48
<input checked="" type="checkbox"/> Posting of License	478.91	50
<input checked="" type="checkbox"/> Renewal/Duration (b) (6) <i>handle</i>	478.45 & 478.49	47 & 48
<input checked="" type="checkbox"/> Premises Covered	478.50	48
<input checked="" type="checkbox"/> Reporting Changes of Address / ATF F 5300.38**	478.52	48
<input checked="" type="checkbox"/> Reporting Changes in Trade Name	478.53	48
<input checked="" type="checkbox"/> Reporting Changes of Control	478.54	48
<input checked="" type="checkbox"/> Discontinuance of Business	478.57 & 478.127	49 & 68
4. MISCELLANEOUS PROVISIONS		
<input checked="" type="checkbox"/> Right of Entry and Examination	478.23	40
<input checked="" type="checkbox"/> Tracing Request from ATF <i>keyholders can do e-fax - fax unclassified</i>	478.25a	41
<input checked="" type="checkbox"/> "Straw" Purchase <i>let customers know illegal</i>	478.128 & General Info. # 15	68 & 165
<input checked="" type="checkbox"/> Curios or Relics / ATF P 5300.11** <i>4473 regid</i>	478.11	35
<input checked="" type="checkbox"/> Antique Firearm / Muzzleloader (Conversion Exceptions) <i>puts in TED</i>	478.11 & General Info. # 8(C)	35-36 & 161
<input checked="" type="checkbox"/> Compliance with State Law Publication / ATF P 5300.5**	478.24	40-41
<input checked="" type="checkbox"/> Consignment of Firearms	478.124(a), Q&A Section F15,	63 & 182-183
<input checked="" type="checkbox"/> Personal Firearms / ATF P 3312.8** <i>marked</i>	478.125u	67
<input checked="" type="checkbox"/> Firearm Transportation	478.38	44
5. STATE LAWS AND LOCAL ORDINANCES		
<input checked="" type="checkbox"/> Review of basic requirements including additional licenses, waiting periods, concealed carry permits, and firearms permits		
6. GUNSMITH ACTIVITIES <i>None</i>		
<input type="checkbox"/> Gunsmithing Definition	478.11 - Engaged in the business (d)	36
<input type="checkbox"/> Gunsmith Recordkeeping	478.124(a) & .125(e), ATF Ruling 77-1	63,66 & 125-126
<input type="checkbox"/> Return of Repaired Firearm	478.124(u), Q&A Section 1	63 & 184
<input type="checkbox"/> Firearms & Ammunition Excise Tax - Contact Tax & Trade Bureau	www.ttb.gov/firearms	877-882-3277

EV-A

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4** (Sept. 2005)

Licensee Name:	UI Number:
Debora Gales, Deb's Gun Range	772085-2015-0061 BIB

<u>TOPIC</u>	<u>18 U.S.C., 27 CFR & Other References</u>	<u>Page Number</u>
7. FIREARMS & AMMUNITION MANUFACTURERS	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Manufacturer Definition	478.11 and 479.11	37 & 82
<input type="checkbox"/> Markings	478.92, 479.102, Rulings 9-5, 12-1 & 13-3	50-51 & 91-92
<input type="checkbox"/> Records	478.123 & 478.125(i), ATF Ruling 10-8	63 & 184
<input type="checkbox"/> Annual Firearms Manufacturing & Exportation Report / ATF F 5300.11**		
<input type="checkbox"/> Firearms & Ammunition Excise Tax-Contact Tax & Trade Bureau	www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms	479.103	92
<input type="checkbox"/> Special Occupational Tax / ATF F 5630.7**	479.31-479.37	84-86
8. NFA DEALER	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Authorized Operations	ATF Ruling 76-22	125
<input type="checkbox"/> NFA Firearms	Part 479	79-97
<input type="checkbox"/> General Information / ATF P 5320.8**	Q&A Section M	186-189
<input type="checkbox"/> Forms used to transfer NFA items	NFA Forms 1,2,3,4 & 5**	
<input type="checkbox"/> Special Occupational Tax / ATF F 5630.7**	479.31-479.37	84-86
9. IMPORTER	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Importer Definition	478.11	37
<input type="checkbox"/> Importation Acquisition & Disposition Record	478.122 & 478.125(i), ATF Ruling 11-1	62 & 67
<input type="checkbox"/> Markings	478.92/112(d)(2) & 479.102, Ruling 13-3	50-51, 59 & 91-92
<input type="checkbox"/> ATF Forms 6 and 6A**	478.112	57-58
<input type="checkbox"/> Firearm & Ammunition Excise Tax-Contact Tax & Trade Bureau	www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms	479.111-479.113	93-95
10. EXPORTATION	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Arms Export Control Act of 1976	22 U.S.C. 2778	98-100
11. SCHOOL ZONE	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> If the FFL's premises falls within a school zone, firearms in possession of FFL's customers must be unloaded and placed in a locked container or in a locked firearms rack that is on a motor vehicle. Also, the FFL should use specific means to ensure that their customers are not in violation of 18 U.S.C. 922(q). For example, the FFL could advise the customer of State permits/license options or the use of lockable containers. Refer to 18 U.S.C. 921(a)25 on page 7 for the full definition of "School Zone".	ATF P 5310.1**	
12. GENERAL QUESTIONS AND ANSWERS		169-201

Investigator (b) (6) explained this information to me on 3, 17, 2015 and answered my questions regarding this information. I have received a copy of this for my records as a reference. I understand that this is only a general overview of the regulations and that I will be responsible for familiarizing myself with all of the laws and regulations governing my licensed firearms business.

Debora Gales 03/17/2015
Applicant's/Licensee's Signature and Date

(b) (6) 3-17-2015

ATF Office Contact #: (b) (6)
ATF Website: www.atf.gov

REPORTING THEFTS: 888-930-9275
800-800-3855

Federal Firearms Licensing Center 866-662-2750
Firearms Tracing Center 800-788-7133
Firearms Imports Branch 304-616-4550
National Firearms Act (NFA) Branch 304-616-4500
Firearms Technology Branch 304-260-1699
Firearms Industry Programs Branch 202-648-7190
Distribution Center / Forms 703-870-7526

Revised 5/15/2014

Firearms Compliance Inspection
FFL Audit Report

RDS KEY: 43538237

Report Date: 02/24/2015 08: All Times are Eastern

DENIED/DENIED UNDER APPEAL STATUS DATE RANGE
FROM DATE: 11/30/1998
TO DATE: 02/24/2015

Verified 40 NTN's during inspection.

NON-DENIED STATUS DATE RANGE
FROM DATE: 12/26/2014
TO DATE: 02/24/2015

Number Of Denied Transactions: [REDACTED]
Number Of Denied Under Appeal Transactions: [REDACTED]
Number Of Proceed Responses: [REDACTED]
Number Of Currently Delayed Transactions: 0
Number Of Currently Open Transactions: [REDACTED]
Number Of Cancelled Transactions: 0
Number Of Non-Denied Under Appeal Transactions: 0

(b) (6)
2/25/15

Total Number Of Transactions: [REDACTED]

<<<< 43538237 >>>>

Created	Request	Notify											
Date & Time	NTN	STN	Transferee's Name	Sex	Rac	DOB	SOR	SOP	SSN	PURPOSE ID	Status	Source	Date

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

Exhibit C
VCAB Master Query

(b) (6)

From: (b) (6)
Sent: Tuesday, February 24, 2015 2:23 PM
To: (b) (6)
Subject: VCAB Query Request #151998
Attachments: 43538237.docx

Good Afternoon IO (b) (6)

The Violent Crime Analysis Branch has processed your request to provide a FFL history query for Debora Gales, d/b/a, Deb's Gun Range (FFL # 4-35-38237).

Attached is a Word document revealing the activity associated with this FFL for the time period of September 11, 2013 to February 24, 2015. The results are as follows:

Debora Gales, d/b/a Deb's Gun Range (FFL # 4-35-38237) was associated with ^{b3 Public Law 112-55 (125 Stat. 552)} firearms trace requests, including ^{b3 Public Law 112-55 (125 Stat. 552)} duplicate. Of the ^{b3 Public Law 112-55 (125 Stat. 552)} firearms trace requests, excluding the duplicate, ^{b3 Public Law 112-55 (125 Stat. 552)} were not completed to an individual purchaser. This FFL was also associated with ^{b3 Public Law 112-55 (125 Stat. 552)} multiple sales involving ^{b3 Public Law 112-55 (125 Stat. 552)} firearms.

If you have any questions or need additional information, please contact the Violent Crime Analysis Branch at 304-616-4100.

Please be advised that Consolidated and Further Continuing Appropriations Act of 2012, (PL 112-55) which became effective on November 18, 2011, restricts the disclosure of any part of the contents of the Firearms Tracing System or any information required to be kept by Federal Firearms Licensees pursuant to 18 USC 923(g), or required to be reported pursuant to 18 USC 923(g)(3) and 923(g)(7).

The information, which is being provided per your request, is either for (1) a Federal, State, local, or tribal law enforcement agency, or a Federal, State, or local prosecutor; or (2) a foreign law enforcement agency solely in connection with or for use in a criminal investigation or prosecution; or (3) a Federal agency for a national security or intelligence purpose. This proviso shall not be construed to prevent the sharing or exchange of such information among and between Federal, State, local, or foreign law enforcement agencies, Federal, State, or local prosecutors, and Federal national security, intelligence, or counterterrorism officials or the publication of statistical aggregate data regarding firearms traffickers and trafficking channels, firearms misuse, felons, and trafficking investigations. Congress has enacted restrictions regarding the further dissemination of this material outside of law enforcement and ATF recommends that you discuss these restrictions with your legal counsel prior to any data-sharing.

Thank you,

(b) (6)

Contractor - Team Rolling Bay
ATF - Violent Crime Analysis Branch

(b) (6)

Ex-C

Exhibit 1a-1c
Violations of 27 CFR 478.125(e)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

Exhibits 2a-2c
Violations of 27 CFR 478.124(c)(1)

Exhibits 3a-3b
Violations of 27 CFR 478.124(c)(3)(iv)

Exhibits 4a-4c
Violations of 27 CFR 478.21(a)

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Debora Gales Deb's Gun Range 6819 Kennedy Ave Hammond, IN 46323, Lake	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773090-2013-0243-B1B 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS 435089014M38237 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)
---	---

6. ATF OFFICER(S) ASSIGNED
 (b) (6) - Assigned Inspector
 (b) (6) - Lead Inspector

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
 (b) (6) Area Supervisor, 08/07/2013

8. PURPOSE/SPECIAL INSTRUCTIONS
 Please conduct a full firearms inspection of this FFL. This inspection is to include a full inventory of firearms and a records review of all ATF Fs 4473 for the inspection period.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	4	NO. OF REFERRALS	2111 - PER DIEM	
NO. OF TECS CHECKS		NO. OF TECS HITS	2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES	2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS	2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION

On August 15, 2013, Industry Operations Investigators (IOIs) (b) (6) and (b) (6) conducted an unannounced compliance inspection of Federal Firearms License (FFL) holder Mrs. Debora Gales who operates Debs Gun Range (FFL# 4-35-38237). The onsite inspection concluded the same day. The inspection period was the previous twelve months, from August 15, 2012 to August 15, 2013. Present for the inspection was owner, Mrs. Gales, who was identified and interviewed.

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA

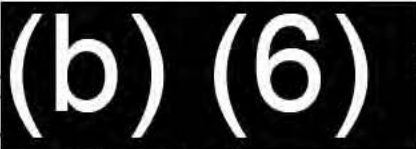
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)		(b) (6)
AUG 2013	9.00	
SEP 2013	5.00	
ATF OFFICER'S SUBTOTAL	14.00	ATF OFFICER'S SIGNATURE
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)		(b) (6)
AUG 2013	23.50	
SEP 2013	23.00	
ATF OFFICER'S SUBTOTAL	46.50	ATF OFFICER'S SIGNATURE
TOTAL HOURS	60.50	

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Debora Gales Deb's Gun Range 6819 Kennedy Ave Hammond, IN 46323, Lake	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773090-2013-0243-B1B		
	3. PERMIT/LICENSE NUMBER 435089014M38237	4a. TARGET DATE	4b. TARGET HOURS
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

SIGNATURE 	<input checked="" type="checkbox"/> CONCUR	<input type="checkbox"/> SEE COMMENTS	<input checked="" type="checkbox"/> FINAL DISPOSITION
	<i>AB</i>		REVIEW DATE 9/20/13

ROUTING SEQUENCE AND DATE

<input type="checkbox"/> 1.	<i>PCU</i>	_____
<input type="checkbox"/> 2.	<i>NO FB</i>	_____
<input type="checkbox"/> 3.		_____
<input type="checkbox"/> 4.		_____

CONTROL FILE POSTED DATE _____

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

Address: 6819 Kennedy Ave
Hammond, IN 46323
Lake County

1 - Introduction

Inspection Profile:

On August 15, 2013, Industry Operations Investigators (IOIs) (b) (6) (b) (6) conducted an unannounced compliance inspection of Federal Firearms License (FFL) holder Mrs. Debora Gales who operates Debs Gun Range (FFL# 4-35-38237). The onsite inspection concluded the same day. The inspection period was the previous twelve months, from August 15, 2012 to August 15, 2013. Present for the inspection was owner, Mrs. Gales, who was identified and interviewed.

IO (b) (6) and (b) (6) held a closing conference with Debora Gales and her son (b) (6) on September 11, 2013 at which time an ATF Form 5030.5, Report of Violations, was issued.

Business Profile:

Mrs. Gales is the owner and sole proprietor of Debs Gun Range located in urban Hammond, IN. The premise is in a commercial location in the downtown district of Hammond. On the main floor of the store there is the firearm sales area and a simulator machine for practicing different shooting scenarios. The office space directly next door at 6821 Kennedy Ave, is where Mrs. Gales offers NRA training classes. In the basement of the building is a gun range for handgun use only.

Mrs. Gales was licensed under her current FFL in 1999. However, Mrs. Gales has been involved with the business in some capacity for approximately 20 years. In addition to the current FFL, the following FFLs have been held at the same premises:

- 1995 – 2005 *Foreman's Gun Range* FFL 4-35-37397
Mrs. Gales (as Debora Foreman) listed as Partner.
- 1996 – 1999 *F E W Shots* FFL 4-35-37432
Mrs. Gales (as Debora Workman) listed as Partner.
- 1992 – 2005 *Don Foreman Gunsmith* FFL 4-35-35688
Mrs. Gales (as Debora Workman) listed as a Responsible Person.

Debs Gun Range sells new and used long guns and handguns.
Approximatel (b) (4) of the firearms Mrs. Gales acquires are handguns,

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

(b) (4) are long guns and (b) (4) are receivers. Approximately (b) (4) of the firearms are new (b) (4) are used and (b) (4) are transfers. During the inspection period of 8/15/2013 to 8/15/2013 Mrs. Gales acquired (b) (4) firearms and disposed of (b) (4) Mrs. Gales has (b) (4) firearms in physical inventory at the time of this inspection and (b) (4) open entries in the A&D Book.

Mrs. Gales' primary suppliers are (b) (4) (b) (4) Mrs. Gales also transfers and receives a significant number of firearms from (b) (4) (b) (4) (b) (4) are transferred through Mrs. Gales.

Mrs. Gales does not participate in gun shows, consignments, mail order, or internet sales. In addition to buying and selling firearms, Mrs. Gales operates an indoor shooting range and offers firearm safety courses at the premises. Mrs. Gales also hosts recreational shooting leagues. She does not store firearms off-site.

Mrs. Gales has a current Registered Retail Merchant Certificate (Control Number 1200091740708) valid through 11/30/2013. She also has a current State of Indiana License to Sell Handguns #49014, which expires 09/30/2017.

Ownership and Control:

Debora Gales (formerly known as Debora Workman and Debora Foreman) is the owner and sole proprietor of Debs Gun Range. Mrs. Gales owns the business premises. There are no other responsible persons and none were added as a result of this inspection. Mrs. Gales has 10 employees, including her son Ed Esagate and husband (b) (6)

No hidden ownership is suspected.

Variance:

Mrs. Gales does not have any variances, nor did she request any.

2 - Recommendation

Violations with Warning Letter and Recall Inspection.

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

3 - Inspection History

December 15, 2011, Firearms Inventory and Reconciliation

Debs Gun Range reported a theft of firearms and IOI (b) (6) and Area Supervisor (b) (6) conducted a full firearms inventory and reconciliation.

March 16, 2011, Recall Inspection

The following violations were cited on a Report of Violations:

27 CFR 478.124(c)(1)

The licensee failed in ^{03 Public Law 112-55 (125 Stat. 552)} instances to obtain a complete and accurate Form 4473 prior to transferring firearms on ^{03 Public Law 112-55 (125 Stat. 552)} occasions.

27 CFR 478.125(e)

Failure to consistently record the address of the person to whom firearms are transferred in the bound book disposition when Forms 4473 are maintained chronologically. Reference for copies of bound book pages from 2008, 2009 and 2010 reflecting this violation.

27 CFR 478.124(c)(3)(iv)

REPEAT VIOLATION FROM 2005 AND 2010 COMPLIANCE INSPECTIONS

In ^{03 Public Law 112-55 (125 Stat. 552)} instance ^{03 Public Law 112-55 (125 Stat. 552)}, the licensee recorded an incorrect date in Item 21a as the date NICS was contacted for a background check.

27 CFR 478.124(c)(4)

The licensee failed, in ^{03 Public Law 112-55 (125 Stat. 552)} instance, to record the firearm serial number in Item 28 on Form 4473 for a transfer on ^{03 Public Law 112-55 (125 Stat. 552)}

27 CFR 478.124(c)(5)

In ^{03 Public Law 112-55 (125 Stat. 552)} instance, the licensee failed to properly date Item 36, transfer date, in section D on Form 4473 for a transfer to ^{03 Public Law 112-55 (125 Stat. 552)}

January 13, 2010, Compliance Inspection

The following violations were cited on a Report of Violations:

27 CFR 478.124(c)(3), Repeat Violation

The Licensee failed to obtain a complete ATF Form 4473 prior to transferring a firearm on ^{03 Public Law 112-55 (125 Stat. 552)} occasions.

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

27 CFR 478.21(a)

The Licensee failed to provide information called for by the headings and instructions on ATF Form 4473 on [REDACTED] occasions

27 CFR 478.96(c)

The Licensee failed to observe state law, specifically the State of Illinois requirement for a one (1) day waiting period for Illinois residents, when transferring a long gun to an out-of-state resident on [REDACTED] occasions

27 CFR 478.125(e), Repeat Violation

The Licensee failed to record the disposition of [REDACTED] firearms. The Licensee failed to record [REDACTED] acquisitions of firearms. The Licensee failed to record complete and accurate acquisition information on [REDACTED] occasions. The Licensee failed to record complete disposition information on [REDACTED] occasions.

27 CFR 478.99(c)

On [REDACTED] occasion, the Licensee failed to ensure that the transferee was not prohibited prior to transferring a firearm to an individual who answered "yes" to question 11.i. indicating he had been convicted of a misdemeanor crime of domestic violence.

Mrs. Gales signed an Acknowledgement of Federal Firearms Regulations. While the IOIs recommended revocation of Mrs. Gales' license, the DIO recommended a warning conference in lieu of revocation. ATF sent a letter to Mrs. Gales requesting her presence at a warning conference to be held on July 8, 2010. DIO Marcia Lambert held a conference with Mrs. Gales on July 13, 2010. On July 14, 2010, Area Supervisor (b) (6) sent Mrs. Gales a letter summarizing the warning conference as well as reminding Mrs. Gales that future violations may be considered willful.

February 23, 2005. Compliance Inspection

The following violations were cited on a Report of Violations:

27 CFR 478.124(b)

The Licensee failed to have ATF Form 4473, Firearms Transaction Record, retained alphabetical (by name of purchaser) chronological (by date of disposition), or numerical (by transaction serial number) order. The forms were filed by year.

27 CFR 478.124(c)(3)(i); 27 CFR 478.124(c)(3)(ii); 27 CFR 478.124(c)(3)(iii)

The Licensee failed to ensure proper completion of ATF Form 4473 Firearms Transaction Record, in [REDACTED] forms reviewed. On [REDACTED]

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

occasion, Item 18a was not completed. On [redacted] occasion, Item 18b was not completed. On [redacted] occasions, Item 19a was not completed. On [redacted] occasions, Item 19d was not completed following a delayed response. On [redacted] occasions, Item 29 and Item 30 were not completed. On [redacted] occasions, Item 34 was not completed.

27 CFR 478.125(e)

Verification of the A&D Record to the physical inventory disclosed unrecorded dispositions [redacted] unrecorded dispositions to the licensee's personal collection [redacted] firearm missing from inventory, and unrecorded acquisitions.

27 CFR 478.126a

The licensee failed to complete and submit ATF 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, on transfers to individuals for a total of [redacted] handguns.

A review of federal firearms regulations was conducted with Mrs. Gales on February 23, 2005. Mrs. Gales signed and dated an Acknowledgement of Federal Firearms Regulations.

On April 12, 2005, ATF sent Mrs. Gales a letter requesting her presence at a warning conference. The Warning Conference was held on April 29, 2005 at the Merrillville Field Office. During the Warning Conference, Mrs. Gales again acknowledged her responsibilities as a licensee and detailed steps she would take to avoid repeat violations. A letter summarizing the conference was sent to Mrs. Gales on May 5, 2005.

October 07, 1999: Qualification Inspection
FFL 4-35-38237 was issued.

4 - Internal Controls Evaluation

Mrs. Gales was questioned throughout the inspection in an effort to gauge her control of the firearm sales activities conducted at the store. She is responsible, along with her 10 employees who deal with firearms for all transactions including completion of the disposition in the bound book. Mrs. Gales or one of her employees will contact NICS and complete ATF Form 4473 at the time of the transaction. Forms 4473 are reviewed for completeness and accuracy.

Mrs. Gales stated that there is usually an inventory conducted every year, but that it had been a little longer than that since the last one. She also stated that once a year, the shop is closed for repairs, cleaning, organization, and any other

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

needed maintenance. There is a tagging system in place. All firearms have a tag on them, that corresponds to a book and page number with it acquisition in the bound book and a number for Form 4473 disposition. This system was useful in locating the specific firearm information more quickly and with some exceptions was accurate. At the closing conference Mrs. Gales stated that she has implemented a double check system for all ATF Forms 4473 and the A&D Book. There are always two employees working at one time, and they will check each other's work.

Firearms are not organized in one place and during the inspection firearms were found in several different rooms in the store. This is how it has been for several inspections. There were firearms in cabinets around the store, buried under empty boxes in storage and just lying around. Mrs. Gales stated during the closing conference that she has purchased a new safe for all of her long guns to eliminate some of the disorganization.

Mrs. Gales has security cameras set up to record to a DVR which she can also view from any computer. The store has a metal roll-bar that comes down in front of the store to prevent break-ins through the front of the store. The store is alarmed and the alarm, if triggered, goes directly to the Hammond Police Department rather than through a monitoring service.

IOI (b) (6) did not find any significant internal control deficiencies.

5 - Acquisition & Disposition (A&D) Record - Inventory

Upon completing a full physical inventory at Mrs. Gales premise, it was discovered that there were ^{b3 Public Law 112-55 (125 Stat. 552)} firearms in inventory and ^{b3 Public Law 112-55 (125 Stat. 552)} open entries in the A&D Record. Each firearm was physically identified by the serial number and matched to the corresponding A&D Record entry.

b3 Public Law 112-55 (125 Stat. 552)

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

b3 Public Law 112-55 (125 Stat. 552)



6 - ATF Forms 4473 – NICS & Other Dispositions

Mrs. Gales has ^{b3 Public Law 112-55 (125 Stat. 552)} ATF Forms 4473 on file for the inspection period of 8/15/2012 to 8/15/2013, including ^{b3 Public Law 112-55 (125 Stat. 552)} denied and cancelled transactions, IOIs reviewed all forms. The forms are maintained by an assigned inventory number that corresponds to when they were acquired and the book and page number they corresponded to in the A&D record. Denied and cancelled transactions are maintained in a separate folder. The forms were compared for similar last names,

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

types of firearms purchased, and addresses to screen for straw and suspicious transactions.

The FFL Audit Log (see Exhibit 5, Audit Log) was used to verify NICS Transaction Numbers on ATF Forms 4473. No discrepancies were noted.

The following ATF Form 4473 discrepancies were cited as violations:

b3 Public Law 112-55 (125 Stat. 552)

7 - Suspicious/Prohibited Purchasers

suspicious purchaser was queried in E-Trace/NCIC. No derogatory information was obtained.

8 - Trace Activity

Mrs. Gales was associated with firearm trace requests during the inspection period. All traces were successful (see Exhibit 6, VCAB). None of the traced firearms were sold during the inspection period of 8/15/2012 to 8/15/2013.

IOI (b) (6) queried E-Trace firearms acquired during the past year to determine if any had been unsuccessfully traced, were recovered or stolen. firearm had a successful trace done on it, none were reported as stolen

9 - Multiple Sales

During the inspection period, Mrs. Gales submitted to ATF multiple handgun sale reports involving firearms (see Exhibit 6, VCAB). There was unreported multiple handgun sale, involving (see Section 14, Violation 01)

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

10 - NFA/Importer/Collector/Manufacturer

Mrs. Gales is not an Importer, Collector, or Manufacturer. She does not deal in NFA weapons.

11 - Referrals

No referrals were generated as a result of this inspection.

12 - Other

Debora Gales was in compliance with the Youth Handgun Safety Act notice and poster requirements. She stated that secure gun locks are provided with every handgun transferred.

13 - Closing Actions

On September 11, 2013 a closing conference was held with Mrs. Gales and her son Ed Esgate. A Report of Violations was issued to Mrs. Gales and Mr. Esgate, who signed and dated the ROV (see Exhibit 7, ROV). A full Acknowledgement of Federal Firearms Regulations was conducted with Mrs. Gales and Mr. Esgate. Debora Gales signed and dated the Acknowledgment of Federal Firearms Regulations (see Exhibit 8, Acknowledgement) and indicated that she understood what was expected of her as a Federal Firearms Licensee. She was informed that the review was a general overview, and that she is responsible to know all of the laws and regulations pertaining to the firearms business. She was provided with a copy of the Acknowledgement of Federal Firearms Regulations for their records.

The following materials were discussed and provided: ATF P 5300.4, Federal Firearms Regulations Reference Guide; ATF I 5300.23A, Important Notice to Dealers and Other Participants at this Gun Show; ATF Rul. 2008-2; Open Letter to All FFLs 01/13/2009; Open Letter to All FFLs 07/07/2009; Open Letter to All FFLs 08/29/2011; Open Letter to All FFLs 04/21/2006; Open Letter to All Federally Collectors of Curio or Relic Firearms; ATF FFL Quick reference and Best Practices Guide; FFL Newsletter, February 2011; ATF F 3310.11, Federal Firearms Licensee Firearms Inventory Theft/Loss Report; ATF F 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers; ATF Form 4473 Part I, Firearms Transaction Record Part I – Over-the-Counter; ATF I 5300.2, Youth Handgun Safety Act Notice; ATF I 5300.1, Youth Handgun Safety Act poster; ATF P 3317.2, Safety and Security Information for Federal Firearms Licensees; ATF P 3312.8, Personal Firearms Record; NICS Guide for Appealing a Firearm Transfer Denial; NICS Index; NICS enrollment instructions for FFLs; ATF M 1200.31, "Cans" and "Cant's" of the Gun Control Act; ATF I

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

3310.4, Persons Adjudicated as a Mental Defective or Committed to a Mental Institution; ATF I 3310.2, Protection Orders and Federal Firearms Prohibitions; ATF I 3310.3, Misdemeanor Crimes of Domestic Violence and Federal Firearms Prohibitions; ATF P 5300.18, Nonimmigrant Aliens Purchasing Firearms and Ammunition in the United States; ATF Fact Sheet, FFL Compliance Inspections; ATF Publication 3312.0, National Tracing Center Division Information for Industry Members; Illinois State Police FAQ sheet; ATF P 5300.1, Gun Free School Zone Notice; ATF Form 1370.2, Requisition for Firearms/Explosives Forms, and a sample Firearms Acquisition and Disposition Record.

A list of important addresses and phone numbers were also provided with instructions to call with any questions or concerns

14 - Violations

1. **27 CFR 478.126(a)**
REPEAT VIOLATION FROM 2005 COMPLIANCE INSPECTION.

Nature of Violation: On b3 Public Law 112-55 (125 Stat. 552) occasion involving b3 Public Law 112-55 (125 Stat. 552) firearms, Licensee failed to complete an ATF Form 3310.4, Report of Multiple Sale, when transferring two (2) or more handguns within a five (5) day period to an unlicensed person.

b3 Public Law 112-55 (125 Stat. 552)

Licensee's Response: Licensee stated they this had never happened before and that they did not know how it could have happened. Licensee stated a part-time employee completed the sale and that they have been talked to about the situation.

Corrective Action: Licensee immediately completed and submitted all missing ATF Forms 3310.4, Report of Multiple Sale. In the future, Licensee is to ensure that all transfers of two (2) or more handguns within a five day period to an unlicensed person are timely and accurately reported.

Reference Worksheet 2, Exhibit 1.

2. **27 CFR 478.124(c)(5)**
REPEAT VIOLATION FROM 2011 COMPLIANCE INSPECTION.

Nature of Violation: On b3 Public Law 112-55 (125 Stat. 552) occasion, Licensee failed to properly date Item

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

36, transfer date, in section D on Form 4473.

b3 Public Law 112-55 (125 Stat. 552)

Licensee's Response: Licensee said they did not know how this had happened, but they take the issue very seriously. Licensee stated they have had discussion with the employee that made the mistake.

Corrective Action: The licensee corrected the error immediately on a copy of ATF Form 4473. In the future, Licensee is to date ATF Form 4473 if Licensee does now know or have reasonable cause to believe that the transferee is disqualified by law from receiving the firearm.

Reference Worksheet 2, Exhibit 2.

3. **27 CFR 478.124(c)(3)(iv)**
REPEAT VIOLATION FROM 2005, 2010 AND 2011 COMPLIANCE INSPECTIONS.

Nature of Violation: On b3 Public Law 112-55 (125 Stat. 552) occasion, Licensee failed to completely and accurately record information from the NICS on ATF Form 4473.

b3 Public Law 112-55 (125 Stat. 552)

Licensee's Response: Licensee said they did not know how this had happened, but they take the issue very seriously. Licensee stated they have had discussion with the employee that made the mistake.

Corrective Action: In the future, Licensee is to review all ATF Forms 4473 for complete and accurate information prior to transferring a firearm to an unlicensed person.

Reference Worksheet 2, Exhibit 3.

4. **27 CFR 478.125(e)**
REPEAT VIOLATION FROM 2005, 2010 AND 2011 COMPLIANCE INSPECTIONS.

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

Nature of Violation: On b3 Public Law 112-55 (125 Stat. 552) occasions licensee failed to properly maintain its Acquisition and Disposition (A&D) Record.

b3 Public Law 112-55 (125 Stat. 552)

Licensee's Response: Licensee stated that the lines are hard to read and that an error was made in logging out the firearm. Licensee stated she tells all of her employees to use a ruler as to not make mistakes. Licensee stated they believe

b3 Public Law 112-55 (125 Stat. 552)

Licensee stated they try to complete an inventory every six months and will be trying to increase that to once a month if possible. Licensee had a meeting with all of her employees to discuss importance of A&D Book and has implemented new policy to have all forms and record double checked.

Corrective Action: Licensee immediately corrected its A&D Record. In the future, Licensee is to ensure that all entries into its A&D Record are timely and accurately made. On 8/29/2013 the missing firearms were reported as missing inventory on an ATF Form 3310.11.

Reference Worksheet 2, Exhibit 4.

FIREARMS INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2013-0243
FFL#: 4-35-089-01-4M-38237

(b) (6)

Signature

IOI
Title

09/11/2013
Date of Report

Index of Worksheets and Exhibits

Worksheets (WS)

- WS 01: A&D Review
- WS 02: 4473 Review
- WS 03: Informational
- WS 04: Statistical

Exhibits (EX):

EX 1: Violation 1 - 27 CFR 478.126(a)

Multiple Sales Report

b3 Public Law 112-55 (125 Stat. 552)

EX 2: Violation 2 - 27 CFR 478.124(c)(5)

b3 Public Law 112-55 (125 Stat. 552)

EX 3: Violation 3 - 27 CFR 478.124(c)(3)(iv)

b3 Public Law 112-55 (125 Stat. 552)

EX 4: Violation 4 - 27 CFR 478.125(e)

b3 Public Law 112-55 (125 Stat. 552)

Theft/Loss Report

EX 5: Audit Log

EX 6: VCAB

EX 7: ROV

EX 8: Acknowledgement of Regulations

Worksheet 01

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

Worksheet 02

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

Worksheet 03

FIREARMS INSPECTION
Informational Page

Enter the Licensee's name and UI# in the boxes below to populate all worksheets in the Workbook.

Licensee Name:	UI Number:
Debs Gun Range	773090-2013-0243

Enter the Investigator's name and date to populate all worksheets in the Workbook.

Prepared By:	Date:
(b) (6)	08/20/13

Figures for the Results Tab in N-Spect		
478.99	Total Transactions With Violations:	0
478.100	Total Number of Transactions with Violations:	0
478.102	Total Number of Transactions with Violations:	0
478.124	Total Number ATF Forms 4473 Reviewed:	03 Public Law 112-55 (125 Stat. 552)
478.124	Total No. With Violations on F 4473 Certification:	0
478.124	Total No. With Violations on F 4473 Section A:	0
478.124	Total Number with Other Violations:	03 Public Law 112-55 (125 Stat. 552)
478.125	Firearms in Phys. Inventory Verified w A/D Book:	
478.125	Firearms in A/D Bk Verified w Phys Inventory:	
478.125	Number of Discrepancies:	
478.125	Firearms Missing/Stolen after Reconciliation:	
478.125	Firearm transactions completed but not recorded in required records	0
478.126a	Total Number of Unreported Multiple Sales:	03 Public Law 112-55 (125 Stat. 552)
478.126a	Total Number of Guns Involved:	

Violation Summary for Report of Violations and Violation Tab in Nspect				
Violation		Worksheet 2		Number of Times Regulation Violated
Cite	Type	2008 Edition	2012 Edition	
478.21(a)	Administrative	0	0	0
478.124(c)(1)	Records & Reports	0	0	0
478.124(c)(3)(i)	Records & Reports	0	0	0
478.124(c)(3)(iii)	Records & Reports	0	0	0
478.124(c)(3)(iv)	Records & Reports	0	1	03 Public Law 112-55 (125 Stat. 552)
478.124(c)(4)	Records & Reports	0	0	0
478.124(c)(5)	Records & Reports	0	03 Public Law 112-55 (125 Stat. 552)	03 Public Law 112-55 (125 Stat. 552)
478.102(a)	Conduct of Business	0	0	0
478.102(a)(2)(ii)	Conduct of Business	0	0	0
478.126a	Records & Reports	0	03 Public Law 112-55 (125 Stat. 552)	03 Public Law 112-55 (125 Stat. 552)
923(g)(5)(A)	Records & Reports	0	0	0
478.131(a)(2)	Records & Reports	0	0	0
478.99(a)	Conduct of Business	0	0	0
478.99(b)	Conduct of Business	0	0	0
478.99(c)	Conduct of Business	0	0	0
* 478.99	Conduct of Business	0	0	0
** 478.125(e)	Records & Reports	Worksheet 1		03 Public Law 112-55 (125 Stat. 552)
478.124(a)	Records & Reports	Worksheet 1		0
478.129(b)	Records & Reports	Worksheet 1		0

* This cell is the sum of 478.99(a), 478.99(b), and 478.99(c). 478.99 should not be cited. Instead, cite 478.99(a), 478.99(b), and/or 478.99(c) on the Report of Violations.

** If the licensee is an importer or manufacturer, cite the appropriate 478.122 or 478.123 violation(s).

NOTE: Light Green shaded cells contain formulas; do not type in these cells.

Revised 08/06/2013

Worksheet 04

FIREARMS INSPECTION
Statistical Page

Licensee Name:	UI Number:
Debs Gun Range	773090-2013-0243
Prepared by:	Date:
(b) (6)	08/20/13

NOTE: The numbers and percentages below are provided only to help apply ATF O 5370.1B, Federal Firearms Administrative Action Policy and Procedures. It is NOT meant to be all inclusive. Items such as the FFL participating in a straw purchase, the transfer of a firearm to a prohibited person, etc., must be evaluated by the IOI and Supervisors and applied to the order appropriately.

		(2008 edition)	(2012 edition)		
A & D Bound Book and Inventory Violations:					
Failure to timely and/or correctly record acquisition entries.	03 Public Law 112-55 (125 Stat. 552)			03 Public Law 112-55 (125 Stat. 552)	03 Public Law 112-55 (125 Stat. 552)
Failure to timely and/or correctly record disposition entries.					
Missing firearms after reconciliation					
Forms 4473 Violations:					
Fails to execute ATF Forms 4473		0		0	
Missing ATF Forms 4473		0		0	
Fails to obtain complete or accurate information in certification section		0	0	0	0%
Fails to record any ID		0	0	0	0%
Fails to record valid and complete ID		0	0	0	0%
NICS Violations:					
Acceptance of non-qualifying State permit as substitute for NICS check.		0	0	0	
Fails to conduct NICS check or obtain alternative permit		0	0	0	
Failure to initiate a new NICS check when the transaction is not completed within the 30 day period.		0	0	0	
Prohibited Sales Violations:					
Transfer of long gun to a resident in another state that violates state law.		0	0	0	
Transfer of handgun to out-of-state resident		0	0	0	
Transfer of a receiver to a person under the age of 21.		0	0	0	
Transfer of firearm to a purchaser, who at the time of the transfer appeared to be prohibited				0	
Conduct of Business:					
Fails to report required multiple sales				03 Public Law 112-55 (125 Stat. 552)	

Revised 08/06/2013

Exhibit 1

Exhibit 2

Exhibit 3

Exhibit 4

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

Exhibit 5

Firearms Compliance Inspection
FFL Audit Report

RDS KEY: 43538237

Report Date: 08/12/2013 08: All Times are Eastern

DENIED/DENIED UNDER APPEAL STATUS DATE RANGE

FROM DATE: 11/30/1998

TO DATE: 08/12/2013

NON-DENIED STATUS DATE RANGE

FROM DATE: 06/13/2013

TO DATE: 08/12/2013

Number Of Denied Transactions:

03 Public Law 112-55 (125 Stat. 552)

Number Of Denied Under Appeal Transactions: 0

Number Of Proceed Responses:

03 Public Law 112-55 (125 Stat. 552)

Number Of Currently Delayed Transaction: 0

Number Of Currently Open Transactions:

03 Pub L Law 112-55 (125 Stat. 552)

Number Of Cancelled Transactions: 0

Number Of Non-Denied Under Appeal Transactions: 0

Total Number Of Transactions:

03 Pub L Law 112-55 (125 Stat. 552)

<<<< 43538237 >>>>

Created	Request	Notify											
Date & Time	NTN	STN	Transferee's Name	Sex	Rac	DOB	SOR	SOP	SSN	PURPOSE ID	Status	Source	Date

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

Exhibit 6

Exhibit 7

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debora Gales Deb's Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 1 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 435089014M38237	Expiration Date	Date(s) or Period of Inspection				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

REPEAT VIOLATION FROM 2005 COMPLIANCE INSPECTION.

On b3 Public Law 112-55 (125 Stat. 552) occasion involvin b3 Public Law 112-55 (125 Stat. 552) firearms, Licensee failed to complete an ATF Form 3310.4, Report of Multiple Sale, when transferring two (2) or more handguns within a five (5) day period to an unlicensed person.

b3 Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

27 CFR 478.126(a) states, in part, "Each licensee shall prepare a report of multiple sales or other disposition whenever the licensee sells or otherwise disposes of, at one time or during any five consecutive business days, two or more pistols, or revolvers, or any combination of pistols and revolvers totaling two or more, to an unlicensed person".

Licensee is to immediately complete and submit all missing ATF Forms 3310.4, Report of Multiple Sale. In the future, Licensee is to ensure that all transfers of two (2) or more handguns within a five day period to an unlicensed person are timely and accurately reported.

Citation: 27 CFR 478.126a

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debora Gales Deb's Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 2 of 4 Pages
License/Permit/Registry Number (If any) 435089014M38237	Expiration Date	Date(s) or Period of Inspection				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

REPEAT VIOLATION FROM 2011 COMPLIANCE INSPECTION.

On b3 Public Law 112-55 (125 Stat. 552) occasion, Licensee failed to properly date Item 36, transfer date, in section D on Form 4473.

b3 Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

27 CFR 478.124(c)(5) states that "The licensee shall sign and date the form if the licensee does not know or have reasonable cause to believe that the transferee is disqualified by law from receiving the firearm and transfer the firearm described on the Form 4473."

In the future, Licensee is to sign and date the ATF Form 4473 if Licensee does not know or have reasonable cause to believe that the transferee is disqualified by law from receiving the firearm and transfer the firearm described on the Form 4473.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debra Gales Deb's Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 3 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 435089014M38237	Expiration Date	Date(s) or Period of Inspection				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

REPEAT VIOLATION FROM 2005, 2010 AND 2011 COMPLIANCE INSPECTIONS.

On b3 Public Law 112-55 (125 Stat. 552) occasion, Licensee failed to completely and accurately record information from the NICS on ATF Form 4473.

b3 Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

27 CFR 478.124(c)(3)(iv) states, in part, that the licensee "shall comply with the requirements of 478.102 and record on the form the date on which the licensee contacted the NICS, as well as any response provided by the system, including any identification number provided by the system."

In the future, Licensee is to review all ATF Forms 4473 for complete and accurate information prior to transferring a firearm to an unlicensed person.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debora Gales Deb's Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 4 of 4 Pages
License/Permit/Registry Number (If any) 435089014M38237	Expiration Date	Date(s) or Period of Inspection				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

REPEAT VIOLATION FROM 2005, 2010 AND 2011 COMPLIANCE INSPECTIONS.

(b) (3) PUBLIC LAW 112-55 (125 Stat. 552)
On [redacted] occasions licensee failed to properly maintain its Acquisition and Disposition (A&D) Record.

b3 Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

27 CFR 478.125(e) states in part, "The sale or other disposition of a firearm shall be recorded by the licensed dealer not later than 7 days following the date of such transaction."

Licensee is to correct its record of acquisition and disposition to reflect accurate and complete acquisition information. In the future, Licensee is to ensure that all acquisition and disposition entries are timely and accurately made.

Citation: 27 CFR 478.125(e)

I Have Received a Copy of This Report of Violations: (Proprietor's signature and title)

Debora F. Gales

Owner

Date
09/11/2013

Signature and Title of ATF Officer

(b) (6)

101

Date
11 SEP 13

Exhibit 8

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4** (Sept. 2005)

Licensee Name: DEBORA GALES	UI Number: 773090-2013-0243
---------------------------------------	---------------------------------------

	<u>TOPIC</u>	<u>18 U.S.C., 27 CFR & Other References</u>	<u>Page Number</u>
1.	REQUIRED RECORDS & REPORTS		
<input checked="" type="checkbox"/>	Acquisition and Disposition Record	478.125(e)	66
<input checked="" type="checkbox"/>	Computerized Acquisition and Disposition Record	ATF Ruling 2008-2	
<input checked="" type="checkbox"/>	Transaction Record/ATF F 4473**	478.124	63-65
<input checked="" type="checkbox"/>	Identification Document	478.11	37
<input checked="" type="checkbox"/>	Report of Multiple Handgun Sales/ATF F 3310.4**	478.126a	68
<input checked="" type="checkbox"/>	Report of Multiple Rifle Sales/ATF F 3310.12** (CA, AZ, NM, and TX FFLs ONLY)	923(g)(5)(A) & Demand Letter 3	18
<input checked="" type="checkbox"/>	Reporting Thefts or Losses/ATF F 3310.11**	478.39a	44
<input checked="" type="checkbox"/>	Retention of Records	478.129	68-69
2.	CONDUCT OF BUSINESS		
<input checked="" type="checkbox"/>	Firearm Frame or Receiver	478.11	36
<input checked="" type="checkbox"/>	NICS Requirements	478.102 & 478.131	54-55 & 69
<input checked="" type="checkbox"/>	Secure Gun Storage or Safety Device	921(a), 922(z), & 923(d)(1)(G)	4 & 15-16
<input checked="" type="checkbox"/>	Child Safety Lock Act	18 U.S.C. 922(z)	15-16
<input checked="" type="checkbox"/>	Sales or Deliveries between Licensees	478.94 & 478.95	52
<input checked="" type="checkbox"/>	FFL EZ Check	www.atfonline.gov/fflezcheck	
<input checked="" type="checkbox"/>	Gun Show Guidelines**	478.100	54
<input checked="" type="checkbox"/>	Out of State/Mail Order and Internet Sales	478.96	52
<input checked="" type="checkbox"/>	Prohibited Sales and Deliveries	478.99	53-54
<input checked="" type="checkbox"/>	Ammunition - Age Requirements for Handgun Ammunition	478.99(b)(1)	53
<input checked="" type="checkbox"/>	Sales to Law Enforcement Officers	478.134	69-70
<input checked="" type="checkbox"/>	Youth Handgun Safety Act- Sales of Handguns / Poster and Notices**	478.103	55-56
<input checked="" type="checkbox"/>	Obliterated Serial Number	478.34	43
<input checked="" type="checkbox"/>	Short Barreled Rifle & Shotgun	478.11	39
3.	LICENSES		
<input checked="" type="checkbox"/>	Engaged in the Business	478.11	36
<input checked="" type="checkbox"/>	Correction of Error	478.48	48
<input checked="" type="checkbox"/>	Posting of License	478.91	50
<input checked="" type="checkbox"/>	Renewal/Duration	478.45 & 478.49	47 & 48
<input checked="" type="checkbox"/>	Premises Covered	478.50	48
<input checked="" type="checkbox"/>	Reporting Changes of Address / ATF F 5300.38**	478.52	48
<input checked="" type="checkbox"/>	Reporting Changes in Trade Name	478.53	48
<input checked="" type="checkbox"/>	Reporting Changes of Control	478.54	48
<input checked="" type="checkbox"/>	Discontinuance of Business	478.57 & 478.127	49 & 68
4.	MISCELLANEOUS PROVISIONS		
<input checked="" type="checkbox"/>	Right of Entry and Examination	478.23	40
<input checked="" type="checkbox"/>	Tracing Request from ATF	478.25a	41
<input checked="" type="checkbox"/>	"Straw" Purchase	478.128 & General Info. # 15	68 & 165
<input checked="" type="checkbox"/>	Curios or Relics / ATF P 5300.11**	478.11	35
<input checked="" type="checkbox"/>	Antique Firearm	478.11	35-36
<input checked="" type="checkbox"/>	Compliance with State Law Publication / ATF P 5300.5**	478.24	40-41
<input checked="" type="checkbox"/>	Consignment of Firearms	478.124(a), Q&A Section F15,	63 & 182-183
<input checked="" type="checkbox"/>	Personal Firearms / ATF P 3312.8**	478.125a	67
<input checked="" type="checkbox"/>	Firearm Transportation	478.38	44
5.	STATE LAWS AND LOCAL ORDINANCES		
<input checked="" type="checkbox"/>	Review of basic requirements including additional licenses, waiting periods, concealed carry permits, and firearms permits.		
6.	GUNSMITH ACTIVITIES		
<input type="checkbox"/>	Gunsmithing Definition	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/>	Gunsmith Recordkeeping	478.11 - Engaged in the business (d)	36
<input type="checkbox"/>	Return of Repaired Firearm	478.124(a) & .125(e), ATF Ruling 77-1	63,66 & 125-126
<input type="checkbox"/>	Firearms & Ammunition Excise Tax - Contact Tax & Trade Bureau	478.124(a), Q&A Section I	63 & 184
		www.ttb.gov/firearms	877-882-3277

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4** (Sept. 2005)

Licensee Name: DEBORAH GALES	UI Number: 773090-2013-0243
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<u>TOPIC</u>	<u>18 U.S.C., 27 CFR & Other References</u>	<u>Page Number</u>
7. FIREARMS & AMMUNITION MANUFACTURERS	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Manufacturer Definition	478.11 and 479.11	37 & 82
<input type="checkbox"/> Markings	478.92 & 479.102	50-51 & 91-92
<input type="checkbox"/> Records	478.123 and 478.125(i)	63 & 184
<input type="checkbox"/> Annual Firearms Manufacturing & Exportation Report / ATF F 5300.11**		
<input type="checkbox"/> Firearms & Ammunition Excise Tax-Contact Tax & Trade Bureau	www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms	479.103	92
<input type="checkbox"/> Special Occupational Tax / ATF F 5630.7**	479.31-479.37	84-86
8. NFA DEALER	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Authorized Operations	ATF Ruling 76-22	125
<input type="checkbox"/> NFA Firearms	Part 479	79-97
<input type="checkbox"/> General Information / ATF P 5320.8**	Q&A Section M	186-189
<input type="checkbox"/> Forms used to transfer NFA items	NFA Forms 1,2,3,4 & 5**	
<input type="checkbox"/> Special Occupational Tax / ATF F 5630.7**	479.31-479.37	84-86
9. IMPORTER	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Importer Definition	478.11	37
<input type="checkbox"/> Importation Acquisition & Disposition Record	478.122 and 478.125(i)	62 & 67
<input type="checkbox"/> Markings	478.92 & 479.102	50-51 & 91-92
<input type="checkbox"/> ATF Forms 6 and 6A**	478.112	57-58
<input type="checkbox"/> Firearm & Ammunition Excise Tax-Contact Tax & Trade Bureau	www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms	479.111-479.113	93-95
10. EXPORTATION	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> Arms Export Control Act of 1976	22 U.S.C. 2778	98-100
11. SCHOOL ZONE	N/A <input checked="" type="checkbox"/>	
<input type="checkbox"/> If the FFL's premises falls within a school zone, firearms in possession of FFL's customers must be unloaded and placed in a locked container or in a locked firearms rack that is on a motor vehicle. Also, the FFL should use specific means to ensure that their customers are not in violation of 18 U.S.C. 922(q). For example, the FFL could advise the customer of State permits/license options or the use of lockable containers. Refer to 18 U.S.C. 921(a)25 on page 7 for the full definition of "School Zone".	ATF P 5310.1**	
12. GENERAL QUESTIONS AND ANSWERS		169-201

Investigator **(b) (6)** explained this information to me on 09/11/2013 and answered my questions regarding this information. I have received a copy of this for my records as a reference. I understand that this is only a general overview of the regulations and that I will be responsible for familiarizing myself with all of the laws and regulations governing my licensed firearms business.

Deborah Gales 09/11/2013
 Applicant's/Licensee's Signature and Date
Edward D. Esch 09/11/2013
 ATF Website: www.atf.gov
 ATF Office Contact #: ()

(b) (6) 11SEP13
 Date

Additional ATF Publications**

ATF P 3317.2 - Safety Security Information for Federal Firearms Licensees

ATF P 5300.15 - Federal Firearms Licensee Quick Reference and Best Practices Guide

Federal Firearms Licensing Center	866-662-2750
Firearms Tracing Center	800-788-7133
Firearms Imports Branch	304-616-4550
National Firearms Act (NFA) Branch	304-616-4500
Firearms Technology Branch	304-260-1699
Firearms Industry Programs Branch	202-648-7190
Distribution Center / Forms	202-648-6420
REPORTING THEFTS	888-930-9275
	800-800-3855

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Debora Gales Debs Gun Shop 6819 Kennedy Ave Hammond, IN 46323, Lake	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773090-2012-0084-B1B 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS 435089014M38237 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)
---	---

6. ATF OFFICER(S) ASSIGNED
 (b) (6) Lead Inspector

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
 (b) (6) Area Supervisor, 12/15/2011

8. PURPOSE/SPECIAL INSTRUCTIONS
 Assist CE in open investigation of theft of firearms from Debs Gun Range.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS		NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION

On December 15, 2011, Industry Operations Investigator (IOI) (b) (6) and Area Supervisor (A/S) (b) (6) went to the business premises of Debora Gales, d/b/a Debs Gun Range, to conduct a full firearms inventory and reconciliation. The inventory was completed at the request of Debora Gales after the business suffered a "smash and grab" burglary and several firearms were found missing.

See Related CE UI #773055-12-0026.
 See Related CE UI

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)	
DEC 2011	16.00	(b) (6)
JAN 2012	8.00	
ATF OFFICER'S SUBTOTAL	24.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	24.00	

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

(b) (6)

CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

REVIEW DATE: 2/2/12

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Debora Gales Debs Gun Shop 6819 Kennedy Ave Hammond, IN 46323, Lake	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773090-2012-0084-B1B		
	3. PERMIT/LICENSE NUMBER 435089014M38237	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

ROUTING SEQUENCE AND DATE

- 1. FFU
- 2. AIO au
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE 2/2/12

FIREARMS SPECIAL INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2012-0084
FFL#: 4-35-38237

Address: 6819 Kennedy Ave.
Hammond, IN 46323
Lake County

1 - Introduction

On December 15, 2011, Industry Operations Investigator (IOI) (b) (6) and Area Supervisor (A/S) (b) (6) went to the business premises of Debora Gales, d/b/a Debs Gun Range, to conduct a full firearms inventory and reconciliation. The inventory was completed at the request of Debora Gales after the business suffered a "smash and grab" burglary and several firearms were found missing.

2 - Recommendation

See Related CE UI #773055-12-0026.

3 - Action/Results

On December 15, 2011, at approximately 9 am CST, an initial inventory was conducted by IOI (b) (6) and A/S (b) (6). After reconciliation, it was found that (b) (6) firearms were missing from inventory (EX 01, Inventory notes).

After further search and reconciliation, Mrs. Gales submitted a completed ATF Form 3310.11, Federal Firearms Licensee Firearms Inventory Theft/Loss Report (Theft/Loss Report) on December 15, 2011 (EX 02, Original Theft/Loss Report). The Theft/Loss report stated (b) (6) firearms were found missing. The following (b) (6) firearms were reconciled from the original inventory taken by IOI (b) (6) and A/S (b) (6) and excluded from the report:

(b) (6)
b3 Public Law 112-55 (125 Stat. 552)

A Significant Information Report (SIR) was completed by Special Agent (SA) (b) (6) on December 15, 2011 regarding the incident (EX 04, SIR).

FIREARMS SPECIAL INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2012-0084
FFL#: 4-35-38237

On December 21, 2011, a revised Theft/Loss report was submitted by Mrs. Gales that includes additional firearms not reported on the Original Theft/Loss report and that were not originally found missing during the inventory conducted by IO (b) (6) and A/S (b) (6) EX 03, Revised Theft/Loss Report). The following firearms were added:

b3 Public Law 112-55 (125 Stat. 552)

Mrs. Gales stated that these were shop rental firearms that were listed in her Acquisition and Disposition records appropriately and overlooked during the initial inventory.

4 - Referrals

No referrals were generated as a result of this inspection.

5 - Closing Actions

On December 23, 2011, IO (b) (6) had a follow-up conversation with Special Agent (b) (6). SA (b) (6) stated that no further IOI assistance was needed or requested.

On December 30, 2012, a voicemail message was left for IO (b) (6) by Ed Gales, manager of Deb's Gun Range. Mr. Gales reported that the gun shop had received a written note with information on individuals that may have been involved in the burglary. IO (b) (6) received this message on January 3, 2012 and immediately reported the call to SA (b) (6). She stated that she had a copy of the letter in her possession and was working on the lead. SA (b) (6) said that no further IOI assistance was needed.

On January 09, 2012, IO (b) (6) made a follow-up phone call to Mrs. Gales. Mrs. Gales stated that no further IOI assistance was needed or requested. IO (b) (6) provided his contact information and instructed Mrs. Gales to call with any additional questions.

6 - Violations

No Violations Found.

FIREARMS SPECIAL INSPECTION REPORT

Name: Debora Gales
Trade Name: Debs Gun Range

UI#: 773090-2012-0084
FFL#: 4-35-38237

(b) (6)

IOI

10/30/12

Title

Date of Report

Index of Worksheets and Exhibits

Exhibits

- EX 01- Inventory notes prepared by IO (b) (6) and A/S (b) (6)
- EX 02-Original Theft/Loss report Completed after reconciliation by Debs on 12/15/11
- EX03-Revised Theft/Loss report Completed on 12/21/2011
- EX 04- Significant Information Report (SIR)

EXHIBIT 01

EXHIBIT 02

EXHIBIT 03

EXHIBIT 04

DEPARTMENT OF JUSTICE - BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES
SIGNIFICANT INFORMATION REPORT

DATE: December 15, 2011
FROM: Columbus Field Division
FIELD OFFICE: Merrillville Field Office

CASE INFORMATION

CASE NUMBER: 773055-12-0026
CASE TITLE: Deb's Burglary
SPECIAL AGENT: (b) (6)
TELEPHONE NUMBER: (b) (6)

SYNOPSIS OF INCIDENT/ACTIVITY:

On 12/15/2011 at approximately 04:05, Debs' Gun Range 6819 Kennedy Ave., Hammond, IN was the victim of a smash and grab burglary in which at least 03 Public Law 112-55 (125 Stat. 552) firearms were stolen.

NARRATIVE OF INCIDENT/ACTIVITY:

On 12/15/2011 at approximately 04:05, Debs' Gun Range 6819 Kennedy Ave., Hammond, IN was the victim of a smash and grab burglary in which at least 03 Public Law 112-55 (125 Stat. 552) firearms were stolen.

At approximately 04:13 Hammond Police Department units arrived to find the front glass door smashed to Deb's Gun Range. Display cases inside the store were smashed and numerous firearms were missing. The business owner Debora Gales arrived and confirmed that firearms were missing.

Ms. Gales arrived and has begun 03 Public Law 112-55 (125 Stat. 552) a complete inventory of firearms. At this time it is believed that at least 03 Public Law 112-55 (125 Stat. 552) firearms were stolen.

ATF Merrillville Field Office, ATF Task Force, ATF Merrillville IOI, and Hammond Police Department are involved in this investigation.

Deb's Gun Range has video surveillance footage that shows three persons wearing hooded jackets/sweatshirts and were able to obtain the firearms in approximately 2 minutes.

The investigation is continuing.

Assignment and Report

ALO COPY

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Debra Gales Debs Gun Range 6819 Kennedy Ave Hammond, IN 46323, Lake	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773090-2011-0110-B1B		
	3. PERMIT/LICENSE NUMBER 435089011M38237	4a. TARGET DATE	4b. TARGET HOURS
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			

6. ATF OFFICER(S) ASSIGNED
(b) (6) - Lead Inspector

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b) (6) Area Supervisor, 02/23/2011

8. PURPOSE/SPECIAL INSTRUCTIONS
Please conduct a full scope firearms recall inspection of this Type 01 FFL. This is to include a full inventory of firearms and a full review of ATF Forms 4473 completed since the last inspection. Please be sure not to initiate this inspection until 12 months has lapsed from the previous inspection. This inspection can be initiated February 1, 2011.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	5	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	23	NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION

IO (b) (6) issued the licensee a Report of Violations on March 23, 2011. By April 7, 2011, all violations had been corrected. No further action recommended.

FLS Change: Business hours have changed to Tue-Wed, 10am-5pm; Thu-Sat 10am-7pm; Sun noon-4pm.
Viols ROV ONLY No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
MAR 2011	45.50
APR 2011	3.00
ATF OFFICER'S SUBTOTAL	48.50
ATF OFFICER'S SIGNATURE	(b) (6)
TOTAL HOURS	48.50

13. REVIEW AND ROUTING
REVIEW COMMENTS AND RECOMMENDATION

(b) (6) CONCUR SEE COMMENTS FINAL DISPOSITION
REVIEW DATE 4/13/11

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Debora Gales Debs Gun Range 6819 Kennedy Ave Hammond, IN 46323, Lake	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773090-2011-0110-B1B	
	3. PERMIT/LICENSE NUMBER 435089011M38237	4a. TARGET DATE 4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)	

ROUTING SEQUENCE AND DATE

- 1. FPL
- 2. NO FILE
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE 4/13/11

FIREARMS INSPECTION REPORT

Name: Debora F. Gales
Trade Name: Debs Gun Range

UI#: 773090-2011-0110 B1B
FFL#: 4-35-089-01-1M-38237

Address: 6819 Kennedy Ave
Hammond, IN 46323

Lake Co.

1 - Introduction

Inspection Profile:

On March 16, 2011, Industry Operations Investigator (IOI) (b) (6) (b) (6) initiated an unannounced recall inspection at the premises of Federal Firearms Licensee Debora Gales, dba/Debs Gun Range. Ed Esgate, Debora Gales' son, was identified and he contacted Debora Gales at home. Debora Gales arrived at the store within one hour of IOI (b) (6) arrival. IOI (b) (6) also identified Mrs. Gales and continued the inspection with the assistance of Mrs. Gales, (b) (6) husband of Debora, and Ed Esgate, son of Debora, were both present for parts of this inspection.

The inspection period was January 13, 2010 through March 15, 2011. IOI (b) (6) held a closing conference with Debora Gales on March 23, 2011 at which time an ATF Form 5030.5, Report of Violations, was issued.

Business Profile:

Mrs. Gales is the owner and sole proprietor of Debs Gun Range located in urban Hammond, IN. The premises is in a commercial location in the downtown district of Hammond. On the main floor of the store is the firearms sales area and classrooms where Mrs. Gales offers NRA training. In the basement of the building is a gun range for handgun use only.

Mrs. Gales was licensed under her current FFL in 1999. However, Mrs. Gales has been involved with the business in some capacity for approximately 20 years. In addition to the current FFL, the following FFLs have been held at the same premises:

- 1995 – 2005 *Foreman's Gun Range* FFL 4-35-37397
Mrs. Gales (as Debora Foreman) listed as Partner.
- 1996 – 1999 *F E W Shots* FFL 4-35-37432
Mrs. Gales (as Debora Workman) listed as Partner.
- 1992 – 2005 *Don Foreman Gunsmith* FFL 4-35-35688
Mrs. Gales (as Debora Workman) listed as a Responsible Person.

Deb's Gun Range sells new and used handguns and long guns. Mrs. Gales estimates that (b) (4) of her business is in handguns and (b) (4) of her business involves selling new firearms. During the inspection period (1/13/10-3/15/2011), Mrs. Gales acquire (b) (4) firearms and sold (b) (4)

FIREARMS INSPECTION REPORT

Name: Debora F. Gales
Trade Name: Debs Gun Range

UI#: 773090-2011-0110 BIB
FFL#: 4-35-089-01-1M-38237

firearms. During the twelve (12) months immediately preceding this inspection, Mrs. Gales acquired (b) (4) firearms and sold (b) (4) firearms. Mrs. Gales had (b) (4) firearms in the physical inventory at the time of this inspection.

Mrs. Gales' primary suppliers are (b) (4)

(b) (4)

Mrs. Gales also transfers and receives a significant number of firearms from (b) (4)

(b) (4)

(b) (4)

are transferred through Mrs. Gales.

Mrs. Gales does not participate in gun shows, consignments, mail order, or internet sales. In addition to buying and selling firearms, Mrs. Gales operates an indoor shooting range and offers firearm safety courses at the premises. Mrs. Gales also hosts recreational shooting leagues. She does not store firearms off-site.

Mrs. Gales has a current Registered Retail Merchant Certificate (Control Number 0900066767655) valid through 11/30/2011. She also has a current State of Indiana License to Sell Handguns #49014, which expires 10/5/2011.

Ownership & Control:

Debora Gales (formerly known as Debora Workman and Debora Foreman) is the owner and sole proprietor of Debs Gun Range. Mrs. Gales owns the business premises. There are no other responsible persons and none were added as a result of this inspection. No prohibiting information was discovered regarding Mrs. Gales. Ms. Gales has five (5) employees – two full-time (her husband and son) and three part-time. During previous inspections (2005 and 2010), Mrs. Gales stated that she would be either retiring or turning over her business to her son, Ed Esgate, but she is still running the business full-time with the help of her husband and son. Ed Esgate questioned IOI (b) (6) during the current inspection about how long it would take him to get his own license as he was in the process of completing an application, but he did not have a date by which he hoped to be in the business.

Variance:

Mrs. Gales does not have any variances, nor did she request any.

FIREARMS INSPECTION REPORT

Name: Debora F. Gales
Trade Name: Debs Gun Range

UI#: 773090-2011-0110 B1B
FFL#: 4-35-089-01-1M-38237

2 - Recommendation

IO (b) (6) issued the licensee a Report of Violations on March 23, 2011. By April 7, 2011, all violations had been corrected. No further action recommended.

FLS Change: Business hours have changed to Tue-Wed, 10am-5pm; Thu-Sat 10am-7pm; Sun noon-4pm.

3 - Inspection History

January 13, 2010, Compliance Inspection

The following violations were cited on a Report of Violations (**Exhibit 9a**):

27 CFR 478.124(c)(3), Repeat Violation

The Licensee failed to obtain a complete ATF Form 4473 prior to transferring a firearm on [REDACTED] occasions.

27 CFR 478.21(a)

The Licensee failed to provide information called for by the headings and instructions on ATF Form 4473 on [REDACTED] occasions.

27 CFR 478.96(c)

The Licensee failed to observe state law, specifically the State of Illinois requirement for a one (1) day waiting period for Illinois residents, when transferring a long gun to an out-of-state resident on [REDACTED] occasions.

27 CFR 478.125(e), Repeat Violation

The Licensee failed to record the disposition of [REDACTED] firearms. The Licensee failed to record [REDACTED] acquisitions of firearms. The Licensee failed to record complete and accurate acquisition information on [REDACTED] occasions. The Licensee failed to record complete disposition information on [REDACTED] occasions.

27 CFR 478.99(c)

On [REDACTED] occasion, the Licensee failed to ensure that the transferee was not prohibited prior to transferring a firearm to an individual who answered "yes" to question 11.i. indicating he had been convicted of a misdemeanor crime of domestic violence.

Mrs. Gales signed an Acknowledgement of Federal Firearms Regulations (**Exhibit 9b**). While the IOIs recommended revocation of Mrs. Gales' license, the DIO recommended a warning conference in lieu of revocation. ATF sent a letter to Mrs. Gales requesting her presence at a warning conference to be held

FIREARMS INSPECTION REPORT

Name: Debora F. Gales
Trade Name: Debs Gun Range

UI#: 773090-2011-0110 B1B
FFL#: 4-35-089-01-1M-38237

on July 8, 2010 (Exhibit 9c). DIO Marcia Lambert held a conference with Mrs. Gales on July 13, 2010 (Exhibit 9d). On July 14, 2010, Area Supervisor (b) (6) sent Mrs. Gales a letter summarizing the warning conference as well as reminding Mrs. Gales that future violations may be considered willful (Exhibit 9e).

February 23, 2005, Compliance Inspection

The following violations were cited on a Report of Violations (Exhibit 10a):

27 CFR 478.124(b)

The Licensee failed to have ATF Form 4473, Firearms Transaction Record, retained alphabetical (by name of purchaser) chronological (by date of disposition), or numerical (by transaction serial number) order. The forms were filed by year.

27 CFR 478.124(c)(3)(i); 27 CFR 478.124(c)(3)(ii); 27 CFR 478.124(c)(3)(iii)

The Licensee failed to ensure proper completion of ATF Form 4473, Firearms Transaction Record, in [redacted] forms reviewed. On [redacted] occasion, Item 18a was not completed. On [redacted] occasion, Item 18b was not completed. On [redacted] occasions, Item 19a was not completed. On [redacted] occasions, Item 19d was not completed following a delayed response. On [redacted] occasions, Item 29 and Item 30 were not completed. On [redacted] occasions, Item 34 was not completed.

27 CFR 478.125(e)

Verification of the A&D Record to the physical inventory disclosed [redacted] unrecorded dispositions, [redacted] unrecorded dispositions to the licensee's personal collection, [redacted] firearm missing from inventory, and [redacted] unrecorded acquisitions.

27 CFR 478.126a

The licensee failed to complete and submit ATF 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, on transfers to [redacted] individuals for a total of [redacted] handguns.

A review of federal firearms regulations was conducted with Mrs. Gales on February 23, 2005. Mrs. Gales signed and dated an Acknowledgement of Federal Firearms Regulations (Exhibit 10b).

On April 12, 2005, ATF sent Mrs. Gales a letter requesting her presence at a warning conference (Exhibit 10c). The Warning Conference was held on April 29, 2005 at the Merrillville Field Office (Exhibit 10d). During the Warning Conference, Mrs. Gales again acknowledged her responsibilities as a licensee

FIREARMS INSPECTION REPORT

Name: Debora F. Gales
Trade Name: Debs Gun Range

UI#: 773090-2011-0110 B1B
FFL#: 4-35-089-01-1M-38237

and detailed steps she would take to avoid repeat violations. A letter summarizing the conference was sent to Mrs. Gales on May 5, 2005 (Exhibit 10e).

October 07, 1999: Qualification Inspection
FFL 4-35-38237 was issued.

4 - Internal Controls Evaluation

Mrs. Gales conducted two full physical inventories in the last 12 months (November 2010 and August 2010). Generally, she conducts an inventory every six months. Since the last inspection, Mrs. Gales has been strict about employees keeping their personal firearms in their personal lockers (which are actually cupboards without locks in the sales area). During the inspection, Mrs. Gales could not find [REDACTED] firearms and she said her son had been looking at it. She checked his "locker" and it was in the locker. Then she remembered that he handed it to her on the day this inspection was initiated as he left the store and she thought he meant it was his personal firearm when he actually meant it was to be placed back in the sales cabinet. For the most part, the "locker" system has been working well to segregate personal firearms from store firearms.

Mrs. Gales stated that IO [REDACTED] (b) (6) and Supervisor [REDACTED] (b) (6) conducted a seminar with employees after the 2010 inspection which was very helpful to her and her employees. She has not hired any new employees since the 2010 inspection. Mrs. Gales double-checks Forms 4473 for completeness and accuracy prior to filing the Forms 4473 in their respective folders. If she finds an error, she calls the customer to come back to the store to correct the error.

Mrs. Gales has security cameras set up to record to a DVR which she can also view from any computer. The store has a metal roll-bar that comes down in front of the store to prevent break-ins through the front of the store. [REDACTED] (b) (7)(E)

[REDACTED] (b) (7)(E)

IO [REDACTED] (b) (6) did not find any significant internal control deficiencies.

5 - Acquisition & Disposition (A&D) Record - Inventory

On March 16, 2011, IO [REDACTED] (b) (6) conducted a full physical inventory at Debs Gun Range. IO [REDACTED] (b) (6) inventoried [REDACTED] firearms and found [REDACTED] open entries in the bound book which corresponded with the firearms in inventory. No discrepancies were noted.

FIREARMS INSPECTION REPORT

Name: Debora F. Gales
Trade Name: Debs Gun Range

UI#: 773090-2011-0110 BIB
FFL#: 4-35-089-01-1M-38237

Each employee has a "locker" area in which to keep his/her personal firearms so that personal firearms are segregated from business firearms. This system seems to be working well for Mrs. Gales. During the inspection only one firearm could not be located and upon looking in her son's "locker" she found the missing firearm. She later questioned him about the firearm and he reminded her that as he was leaving the store the day this inspection was initiated, he handed her a firearm to be placed back in the sales cabinet. Instead, she said she placed the gun in her son's locker.

During a review of Mrs. Gales' bound books, IOI (b) (6) noted that occasionally the licensee recorded in the disposition the name of the transferee along with the transferee's address, but most often the transferee's address was not listed. Instead, Mrs. Gales listed a Form 4473 number. Later, IOI (b) (6) found that Forms 4473 were filed chronologically. Therefore, based on the requirements of 27 CFR 478.125(e), the licensee should have been recording the transferee's name and address in the disposition. Mrs. Gales stated that she would begin doing this immediately. She stated she was not aware of this requirement.

Reference **Exhibit 8, Violation 2, and AD-4.**

6 - ATF Forms 4473 – NICS & Other Dispositions

IOI (b) (6) reviewed all ATF Forms 4473 for the inspection period which included denied and cancelled transactions; for the last twelve months, Mrs. Gales has filed Forms 4473. Mrs. Gales files Forms 4473 chronologically. She assigns each firearm a book/log number upon recording the acquisition in the bound book. Each firearm tag includes the book/log number to easily locate the firearm in the bound book. In addition, the book/log number, along with the book number and page number are written on the top of page one of each Form 4473 so that Mrs. Gales knows exactly where to record each disposition.

IOI (b) (6) utilized the FFL Audit Log (**Exhibit 3**) to verify NICS Transaction Numbers. No discrepancies were noted.

Forms 4473 for denied transactions are filed in the front of each accordion folder before the January file. Mrs. Gales has separate accordion folders for 2010 and 2011. No sales to law enforcement officers were noted.

Of the forms reviewed, IOI (b) (6) found the following discrepancies:

- In instances, the licensee failed to obtain a complete and accurate Form 4473 prior to transferring firearms, a violation of 27 CFR 478.124(c)(1). (See **Violation 1, Worksheet 2, Exhibit 4**);

FIREARMS INSPECTION REPORT

Name: Debora F. Gales
Trade Name: Debs Gun Range

UI#: 773090-2011-0110 B1B
FFL#: 4-35-089-01-1M-38237

- In ^{b3 Public Law 112-55 (125 Stat. 552)} instance, the licensee recorded an incorrect date in Item 21a as the date NICS was contacted for a background check, a violation of 27 CFR 478.124(c)(3)(iv). (See Violation 3, Worksheet 2, Exhibit 5);
- In ^{b3 Public Law 112-55 (125 Stat. 552)} instance, the licensee failed to record the firearm serial number in Item 28 on the Form 4473, a violation of 27 CFR 478.124(c)(4). (See Violation 4, Worksheet 2, Exhibit 6);
- In ^{b3 Public Law 112-55 (125 Stat. 552)} instance, the licensee failed to properly date Item 36, transfer date, in Section D on the Form 4473, a violation of 27 CFR 478.124(c)(5). (See Violation 5, Worksheet 2, Exhibit 7).

All ATF Form 4473 errors were reviewed with Mrs. Gales on March 16 and March 21, 2011.

7 - Suspicious/Prohibited Purchasers

IO ^{b3 Public Law 112-55 (125 Stat. 552)} (b) (6) queried NCIC/NLETS for ^{b3 Public Law 112-55 (125 Stat. 552)} purchaser who had failed to answer two certification questions. No derogatory information was obtained and no further investigation was necessary. IO ^{b3 Public Law 112-55 (125 Stat. 552)} (b) (6) also reviewed Mrs. Gales' bound books for purchases made by those who were associated with traces. No additional purchases were found within the last two years.

8 - Trace Activity

Mrs. Gale's was associated with ^{b3 Public Law 112-55 (125 Stat. 552)} firearm trace requests during the inspection period. All traces were successful (Exhibit 2) ^{b3 Public Law 112-55 (125 Stat. 552)} of the ^{b3 Public Law 112-55 (125 Stat. 552)} traced firearms were sold within the last 12 months. IO ^{b3 Public Law 112-55 (125 Stat. 552)} (b) (6) reviewed the bound book records for the past two years looking for additional purchases by those associated with traces with negative results.

IO ^{b3 Public Law 112-55 (125 Stat. 552)} (b) (6) queried NCIC/NLETS and E-Trace for ^{b3 Public Law 112-55 (125 Stat. 552)} used rifles acquired during the past two years to determine if any had been unsuccessfully traced, were recovered or stolen. ^{b3 Public Law 112-55 (125 Stat. 552)} firearm was reported stolen after it was purchased at Debs Gun Range; the purchaser was not associated with any other traces or multiple sales. None of the ^{b3 Public Law 112-55 (125 Stat. 552)} firearms were unsuccessfully traced.

9 - Multiple Sales

Mrs. Gales reported ^{b3 Public Law 112-55 (125 Stat. 552)} multiple sale transactions involving ^{b3 Public Law 112-55 (125 Stat. 552)} firearms during the inspection period (Exhibit 2). No unreported multiple sales were disclosed during this inspection.

FIREARMS INSPECTION REPORT

Name: Debora F. Gales
Trade Name: Debs Gun Range

UI#: 773090-2011-0110 B1B
FFL#: 4-35-089-01-1M-38237

10 - NFA/Importer/Collector/Manufacturer

Mrs. Gales is not an Importer, Collector, or Manufacturer. She does not deal in NFA weapons.

11 - Referrals

No referrals were generated as a result of this inspection.

12 - Other

General: Mrs. Gales displayed a Youth Handgun Safety Act Poster and pamphlets were available. Firearm safety devices were available for purchase.

ETAPS: Mrs. Gales does not sell reloading supplies or smokeless powders. ETAPS outreach activities were not conducted.

Used rifles of greater than .22 caliber: A review of Mrs. Gales' bound books disclosed the acquisition of ^{b3 Public Law 112-55 (125 Stat. 552)} used rifles in the last two years with calibers greater than .22. IO ^{b3 Public Law 112-55 (125 Stat. 552)} (b) (6) queried each of these firearms in E-Trace to determine if any had been unsuccessfully traced; no hits were disclosed.

13 - Closing Actions

On March 23, 2011, IO ^{b3 Public Law 112-55 (125 Stat. 552)} (b) (6) met with Debora Gales at the licensed premises for a closing conference. IO ^{b3 Public Law 112-55 (125 Stat. 552)} (b) (6) reviewed pertinent Federal firearm regulations with Mrs. Gales and Mrs. Gales signed an Acknowledgement of Federal Firearms Regulations (Exhibit 1). In addition, IO ^{b3 Public Law 112-55 (125 Stat. 552)} (b) (6) provided Mrs. Gales with a current list of firearms related telephone numbers and a copy of the FFL Quick Reference and Best Practices Guide. Mrs. Gales stated that she is trying to do everything correctly and she understands her responsibilities as a Federal firearms licensee.

14 - Violations

1. 27 CFR 478.124(c)(1)

Nature of Violation: The licensee failed in ^{b3 Public Law 112-55 (125 Stat. 552)} instances to obtain a complete and accurate Form 4473 prior to transferring firearms as follows:

b3 Public Law 112-55 (125 Stat. 552)

FIREARMS INSPECTION REPORT

Name: Debora F. Gales
Trade Name: Debs Gun Range

UI#: 773090-2011-0110 B1B
FFL#: 4-35-089-01-1M-38237

b3 Public Law 112-55 (125 Stat. 552)

Reference Worksheet 2 and Exhibit 4.

Licensee's Response: Mrs. Gales stated that she did not know what happened on these transactions, but stated that she had been trying to double-check every transaction.

Corrective Action: Prior to returning to Deb's Gun Range on 3/21/2011, the licensee had already had correct his respective ATF Form 4473. IOI (b) (6) advised Mrs. Gales to attempt to have the other transferees return to the store to make corrections to Section A of the Form 4473 as noted in this violation.

On April 7, 2011, Deb Gales faxed IOI (b) (6) the corrections for so all of the above instances have been corrected.

IOI (b) (6) queried transferees in NCIC/NLETS with negative results.

Reference Worksheet 2 and Exhibit 4.

2. 27 CFR 478.125(e)

Nature of Violation: Failure to consistently record the address of the person to whom firearms are transferred in the bound book disposition when Forms 4473 are maintained chronologically. Reference Exhibit 8 for copies of bound book pages from 2008, 2009 and 2010 reflecting this violation.

Licensee's Response: Mrs. Gales stated that she did not know she needed to be recording the transferee's address in the disposition record. She stated she would start doing so immediately.

Corrective Action: IOI (b) (6) instructed Mrs. Gales to immediately begin recording the address of each transferee in the disposition record rather than just a Form 4473 number.

Reference Worksheet 1 and Exhibit 8.

FIREARMS INSPECTION REPORT

Name: Debora F. Gales
Trade Name: Debs Gun Range

UI#: 773090-2011-0110 B1B
FFL#: 4-35-089-01-1M-38237

3. 27 CFR 478.124(c)(3)(iv)

REPEAT VIOLATION FROM 2005 AND 2010 COMPLIANCE INSPECTIONS

Nature of Violation: In ^{b3 Public Law 112-55 (125 Stat. 552)} instance ^{b3 Public Law 112-55 (125 Stat. 552)} the licensee recorded an incorrect date in Item 21a as the date NICS was contacted for a background check.

Licensee's Response: Mrs. Gales did not have an explanation for this violation.

Corrective Action: The licensee had corrected this Form 4473 by 3/21/2011 during a second field visit.

Reference Worksheet 2 and Exhibit 5.

4. 27 CFR 478.124(c)(4)

Nature of Violation: The licensee failed, in ^{b3 Public Law 112-55 (125 Stat. 552)} instance, to record the firearm serial number in Item 28 on the Form 4473 for a transfer ^{b3 Public Law 112-55 (125 Stat. 552)} (Exhibit 6).

Licensee's Response: Mrs. Gales had a receipt attached to the Form 4473 which included the firearm serial number. She is not sure why she forgot to record the serial number on the Form 4473.

Corrective Action: The licensee corrected this violation on 3/16/2011.

Reference Worksheet 2 and Exhibit 6.

5. 27 CFR 478.124(c)(5)

Nature of Violation: In ^{b3 Public Law 112-55 (125 Stat. 552)} instance, the licensee failed to properly date Item 36, transfer date, in section D on the Form 4473 for a transfer ^{b3 Public Law 112-55 (125 Stat. 552)} (Exhibit 7).

Licensee's Response: Mrs. Gales thought this was just an error.

Corrective Action: The licensee corrected this violation immediately.

Reference Worksheet 2 and Exhibit 7.

FIREARMS INSPECTION REPORT

Name: Debora F. Gales
Trade Name: Debs Gun Range

UI#: 773090-2011-0110 B1B
FFL#: 4-35-089-01-1M-38237

(b) (6)

Industry Operations Investigator
Title

3/22/2011
Date of Report

Index of Worksheets and Exhibits

Administrative

- AD-1 ATF EF 5700.14, Assignment and Report
- AD-2 Narrative
- AD-3 Statistical Sheets from NSpect
- AD-4 Report of Violations

Worksheets

- WS-1 Inventory/A&D Book Discrepancies
- WS-2 ATF Form 4473 Review

Exhibits

- Ex-1 Acknowledgement of Federal Firearms Regulations signed March 23, 2011
- Ex-2 Firearm Trace History for Debs Gun Range dated March 9, 2011
- Ex-3 FFL Audit Log
- Ex-4 ATF Forms 4473 showing violations of 27 CFR 478.124(c)(1)
- Ex-5 ATF Form 4473 reflecting a violation of 27 CFR 478.124(c)(3)(iv)
- Ex-6 ATF Form 4473 reflecting a violation of 27 CFR 478.124(c)(4)
- Ex-7 ATF Form 4473 reflecting a violation of 27 CFR 478.124(c)(5)
- Ex-8 Copies of pages from Mrs. Gales' bound book records from 2008 through 2010 showing incomplete disposition entries, a violation of 27 CFR 478.125(e)
- Ex-9a Report of Violations from 2010 compliance inspection
- Ex-9b Acknowledgement of Federal Firearms Regulations signed by Debora Gales on 1/27/10
- Ex-9c Warning Conference invite letter with proof of delivery
- Ex-9d Warning conference notes dated July 13, 2010
- Ex-9e Follow-up letter to the warning conference dated July 14, 2010
- Ex-10a Report of Violations issued to Debora Gales 2/23/2005
- Ex-10b Acknowledgement of Federal Firearms Regulations signed 2/23/05
- Ex-10c Letter dated 4/12/2005 inviting Debora Gales to a warning conference
- Ex-10c Warning Conference Notes dated 4/29/2005
- Ex-10e Follow-up letter to Debora Gales mailed 5/5/2005 with a summary of the warning conference

Worknotes (Area Office Copy Only)

- WN-1 Firearms Safety and Security Profile
- WN-2 Inventory Worksheets

FIREARMS INSPECTION
Informational Page

Enter the Licensee's Name and UI# in the boxes below to populate all worksheets in the Workbook.

Licensee Name:	UI Number:
Debora F Gales, dba/Debs Gun Range	773090-2011-0110 B1B

Enter the Investigator's Name and Date to populate all worksheets in the Workbook.

Prepared By:	Date:
(b) (6)	03/16/11

Figures for the Results Tab in N-Spect		
478.99	Total Transactions With Violations:	0
478.100	Total Number of Transactions with Violations:	0
478.102	Total Number of Transactions with Violations:	0
478.124	Total Number ATF Forms 4473 Reviewed:	0
478.124	Total No. With Violations on F 4473 Certification:	0
478.124	Total No. With Violations on F 4473 Section A:	0
478.124	Total Number with Other Violations:	0
478.125	Firearms in Phys. Inventory Verified w A/D Book:	0
478.125	Firearms in A/D Bk Verified w Phys Inventory:	0
478.125	Number of Discrepancies:	0
478.125	Firearms Missing/Stolen after Reconciliation:	0
478.126a	Total Number of Unreported Multiple Sales:	0
478.126a	Total Number of Guns Involved:	0

Violation Summary for Report of Violations and Violation Tab in Nspect			
Violation	Worksheet 2		Number of Times Regulation Violated
	2008 Edition	2007 Edition	
478.21(a)	0	0	0
478.124(c)(1)	0	0	0
478.124(c)(3)(i)	0	0	0
478.124(c)(3)(ii)	0	0	0
478.124(c)(3)(iii)	0	0	0
478.124(c)(3)(iv)	0	0	0
478.124(c)(4)	0	0	0
478.124(c)(5)	0	0	0
478.102(a)	0	0	0
478.102(a)(2)(ii)	0	0	0
478.126a	0	0	0
478.99(a)	0	0	0
478.99(b)	0	0	0
478.99(c)	0	0	0
* 478.99	0	0	0
** 478.125(e)	Worksheet 1		0

* This cell is the sum of 478.99(a), 478.99(b), and 478.99(c). 478.99 should not be cited. Instead, cite 478.99(a), 478.99(b), and/or 478.99(c) on the Report of Violations.

** If the licensee is an importer or manufacturer, cite the appropriate 478.122 or 478.123

NOTE: Cells highlighted in light green contain formulas.

Revised 10/12/10

AD-3
103

FIREARMS INSPECTION
Statistical Page

Licensee Name: Debora F Gales, dba/Debs Gun Range	UI Number: 773090-2011-0110 B1B
Prepared by: (b) (6)	Date: 03/16/11

Type of Violation	Worksheet			Number of Times Regulation Violated	Percentages Based on ATF O 5370.1A
	1	2	2		
		(2008 edition)	(2007 edition)		
A & D Bound Book and Inventory Violations:					
Failure to timely and/or correctly record the acquisition and disposition entries	<small>03 Public Law 112-55 (125 Stat. 552)</small>			<small>03 Public Law 112-55 (125 Stat. 552)</small>	
Missing firearms after reconciliation	0			0	0%
Forms 4473 Violations:					
Fails to execute ATF Forms 4473 or missing Forms 4473	0			0	
Fails to obtain complete or accurate information in certification section		<small>03 Public Law 112-55 (125 Stat. 552)</small>	0	<small>03 Public Law 112-55 (125 Stat. 552)</small>	
Fails to record any ID		0	0	0	0%
Fails to record valid and complete ID		0	0	0	0%
NICS Violations:					
Fails to conduct NICS check or obtain alternative permit		0	0	0	
Prohibited Sales Violations:					
Sale of long gun to a resident in another state that violates state law		0	0	0	
Transfer of handgun to out-of-state resident		0	0	0	
Transfer of firearm to a purchaser, who at the time of the transfer appeared to be prohibited				0	
Conduct of Business:					
Fails to report multiple handgun sales				0	

Revised 10/12/10

AD-3
2013

FIREARMS INSPECTION
Information and Statistics for
N-Spect, Report Preparation and Other Program Information
Worknote

Licensee Name:		UI Number:		
Debora F Gales, dba/Debs Gun Range		773090-2011-0110 B1B		
Information for General Results Tab in N-Spect				
NICS Checks: (verified by inspector)	03 Public Law 112-55 (125 Stat. 552)	No. 4473 on file (last 12 months):	03 Public Law 112-55 (125 Stat. 552)	
TECS Checks--Guns:		Trace Results:		
TECS Checks--Persons:		Traces-Successful: (last 12 mos.)		
TECS HITS--Guns:		Traces-Unsuccessful: (last 12 mos.)		0
TECS HITS--Persons:		Traces-Resolved by Inspector:		0
	0			
Information for Firearms Results Tab in N-Spect				
Total number of firearms traced that were sold by this dealer in the last 12 months:		03 Public Law 112-55 (125 Stat. 552)		
Total number of firearms reported stolen/missing in the last 12 months:		0		
Total Number of Firearms in Inventory:	03 Public Law 112-55 (125 Stat. 552)	Notes:		
Total Number of Firearms by Bound Book:				
Difference/Number of Discrepancies:				0
Firearms Missing/Stolen After Reconciliation:				0
Total Number of Unreported Multiple Sales:				0
Total Number of Guns Involved:	0			
Information for Report Preparation and other Program Considerations				
Business Profile: (estimate percentage of business)				
Wholesale	03 Public Law 112-55 (125 Stat. 552)	New	03 Public Law 112-55 (125 Stat. 552)	
Retail		Used		
Pawnbroker	0%	Handguns		
Gunsmith	0%	Long guns		
NFA	0%	Primary Suppliers: (b) (4)		
Gun Show	0%			
Number of Firearms Acquired in the last 12 months:		03 Public Law 112-55 (125 Stat. 552)		
Percent of firearms that are new:	03 Public Law 112-55 (125 Stat. 552)	Percent of firearms that are used:	03 Public Law 112-55 (125 Stat. 552)	
Inspection Period:	1/13/2010-3/16/2011			
Number of Firearms in Inventory:	Actual:	03 Public Law 112-55 (125 Stat. 552)	Estimate:	
ATF Forms 4473 Review				
Total Number of Forms 4473 Reviewed:		03 Public Law 112-55 (125 Stat. 552)		
478.124 - Sect. A - Certification of Transferee Blank or Incomplete:	03 Public Law 112-55 (125 Stat. 552)	Percent of Total:	03 Public Law 112-55 (125 Stat. 552)	
478.99 - "Yes" (not prohibited)		0		0%
478.124 - Section A - Other Discrepancies:		03 Public Law 112-55 (125 Stat. 552)		
478.124 - Section B - ID & NICS:				
Blank (ID/NICS verified)	0	Percent of Total	0%	
Failure to obtain ID	0	Percent of Total	0%	
<i>(The percentage is derived by dividing the # of discrepancies by the # of forms reviewed multiplied by 100.)</i>				
Prepared By:		Date:		
(b) (6)		03/16/11		

AD-3
3083

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debora Gales Debs Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 1 of 3 Pages
License/Permit/Registry Number (If any) 435089011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 03/16/2011 through 03/23/2011				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

27 CFR 478.124(c)(1): The licensee failed in ^{b3 Public Law 112-55 (125 Stat. 552)} instances to obtain a complete and accurate Form 4473 prior to transferring firearms as follows:

b3 Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made: 04/06/2011

(If not corrected immediately)

Corrective Action to be Taken: (If not corrected immediately) Prior to returning to Deb's Gun Range on 3/21/2011, the licensee had already had ^{b3 Public Law 112-55 (125 Stat. 552)} correct his respective ATF Form 4473. Attempt to have the other ^{b3 Public Law 112-55 (125 Stat. 552)} transferees return to the store to make corrections to Section A of the Form 4473 as noted in this violation.

Citation: 27 CFR 478.124(c)(1)

Number: 2

Nature of Violation:

27 CFR 478.125(e): Failure to consistently record the address of the person to whom firearms are transferred in the bound book disposition when Forms 4473 are maintained chronologically.

Date Corrections to be Made: 03/16/2011

(If not corrected immediately)

Corrective Action to be Taken: (If not corrected immediately) Immediately begin recording the address of each transferee in the disposition record rather than just a Form 4473 number.

AD-4
10/23

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debora Gales Debs Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 2 of 3 Pages
License/Permit/Registry Number (If any) 435089011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 03/16/2011 through 03/23/2011				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.125(e)

Number: 3

Nature of Violation:

27 CFR 478.124(c)(3)(iv): In one instance, the licensee recorded an incorrect date in Item 21a as the date NICS was contacted for a background check.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee had corrected this Form 4473 by 3/21/2011 during a second field visit.

(If not corrected immediately)

Number: 4

Nature of Violation:

27 CFR 478.124(c)(4): The licensee failed, in one instance, to record the Form 4473 serial number in Item 28 on the Form 4473 for a transfer on Public Law 112-55 (125 Stat. 552).

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee corrected this violation on 3/16/2011.

(If not corrected immediately)

Number: 5

Nature of Violation:

27 CFR 478.124(c)(5): In one instance, the licensee failed to properly date the transfer date, in section D on the Form 4473 for a transfer on Public Law 112-55 (125 Stat. 552).

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee corrected this violation immediately.

(If not corrected immediately)

AD-4
2013

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Debra Gales Debs Gun Range	Street Address 6819 Kennedy Ave	City Hammond	State IN	Zip Code 46323-	County Lake	Page 3 of 3 Pages
License/Permit/Registry Number (if any) 43508901IM38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 03/16/2011 through 03/23/2011				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(5)

AD-4
3073

I have Received a Copy of This Report of Violations (Proprietor's signature and title) <i>Debra F. Gales</i> OWNER	Date 3-23-2011
Signature and Title (b) (6) Sr. Investigator	Date 3-23-2011

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4** (Sept. 2005)

Licensee Name:	UI Number:
Debra F Gales, dba/Debs Gun Range	773090-2011-0110 B1B

TOPIC	27 CFR & Other References	Page Number
1. DEFINITIONS		
<input checked="" type="checkbox"/> Engaged in the Business	478.11	37
<input checked="" type="checkbox"/> Firearm Frame or Receiver	478.11	37
<input checked="" type="checkbox"/> Identification Document	478.11	38
<input checked="" type="checkbox"/> Curios or Relics / ATF P 5300.11**	478.11	36-37
<input checked="" type="checkbox"/> Antique Firearm	478.11	36
<input checked="" type="checkbox"/> Short Barreled Rifle & Shotgun	478.11	40
<input checked="" type="checkbox"/> Ammunition	478.11	36
2. REQUIRED RECORDS & REPORTS		
<input checked="" type="checkbox"/> Acquisition and Disposition Record	478.125(e) & ATF Ruling 2008-2	67
<input checked="" type="checkbox"/> Transaction Record/ATF F 4473**	478.124	64-66
<input checked="" type="checkbox"/> Report of Multiple Handgun Sales/ATF F 3310.4**	478.126a	69
<input checked="" type="checkbox"/> Reporting Thefts or Losses/ATF F 3310.11**	478.39a	45
<input checked="" type="checkbox"/> Retention of Records	478.129	69-70
3. CONDUCT OF BUSINESS		
<input checked="" type="checkbox"/> NICS Requirements	478.102 & 478.131	55-56 & 70
<input checked="" type="checkbox"/> Secure Gun Storage or Safety Device	921(a), 922(z), & 923(d)(1)(G)	8 & 16-18
<input checked="" type="checkbox"/> Child Safety Lock Act	18 U.S.C. 922(z)	16-17
<input checked="" type="checkbox"/> Sales or Deliveries between Licensees	478.94 & 478.95	53
<input checked="" type="checkbox"/> FFL EZ Check <i>Scruses</i>	www.atfonline.gov/fflezcheck	
<input checked="" type="checkbox"/> Gun Show Guidelines**	478.100	55
<input checked="" type="checkbox"/> Out of State/Mail Order and Internet Sales	478.96	53
<input checked="" type="checkbox"/> Prohibited Sales and Deliveries	478.99	54-55
<input checked="" type="checkbox"/> Sales to Law Enforcement Officers	478.134	70-71
<input checked="" type="checkbox"/> Sales to Resident/Non-resident Aliens / ATF P 5300.18** <i>Have to deal w/ Deb</i>	General Info. # 5	157
<input checked="" type="checkbox"/> Youth Handgun Safety Act (YHSA)-Sales of handguns	18 U.S.C. 922(x)	15-16
<input checked="" type="checkbox"/> Youth Handgun Safety Act Poster and Notices**	18 U.S.C. 922(x) & 478.103	15-16 & 56-57
<input checked="" type="checkbox"/> Obliterated Serial Number	478.34	44
4. LICENSES		
<input checked="" type="checkbox"/> Correction of Error	478.48	49
<input checked="" type="checkbox"/> Posting of License	478.91	51
<input checked="" type="checkbox"/> Renewal/Duration	478.45 & 478.49	48 & 49
<input checked="" type="checkbox"/> Premises Covered	478.50	49
<input checked="" type="checkbox"/> Reporting Changes of Address / ATF F 5300.38**	478.52	49
<input checked="" type="checkbox"/> Reporting Changes in Trade Name	478.53	49
<input checked="" type="checkbox"/> Reporting Changes of Control	478.54	49
<input checked="" type="checkbox"/> Discontinuance of Business	478.57 & 478.127	50 & 69
5. MISCELLANEOUS PROVISIONS		
<input checked="" type="checkbox"/> Right of Entry and Examination <i>NICS changed hrs.</i>	478.23	41
<input checked="" type="checkbox"/> Tracing Request from ATF <i>Normally Fax back</i>	478.25a	42
<input checked="" type="checkbox"/> "Straw" Purchase	478.128 & General Info. # 15	69 & 166
<input checked="" type="checkbox"/> Compliance with State Law Publication / ATF P 5300.5**	478.24	41-42
<input checked="" type="checkbox"/> Consignment of Firearms	478.124(a), Q&A Section F15,	64 & 183-184
<input checked="" type="checkbox"/> Personal Firearms / ATF P 3312.8**	478.125a	68
<input checked="" type="checkbox"/> Firearm Transportation	478.38	45
6. STATE LAWS AND LOCAL ORDINANCES		
<input checked="" type="checkbox"/> Review of basic concepts including licenses, waiting periods, concealed carry permits.		
7. GUNSMITH ACTIVITIES		
<input checked="" type="checkbox"/> Gunsmithing <i>only onsite immediate</i>	478.124(a), Q&A Section I	64 & 185
<input checked="" type="checkbox"/> Gunsmith Recordkeeping <i>No overnight</i>	478.124(a) & .125(e), ATF Ruling 77-1	64, 67 & 126
<input checked="" type="checkbox"/> Return of Repaired Firearm <i>Repair</i>	478.124(a), Q&A Section I	64 & 185
<input checked="" type="checkbox"/> Firearms & Ammunition Excise Tax - Contact TTB	www.ttb.gov/firearms	877-882-3277

** Provide a copy of the form or publication and explain the requirements for completing the forms.

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4** (Sept. 2005)

Licensee Name: Debra F Gales, dba/Debs Gun Range	UI Number: 773090-2011-0110 B1B
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<u>TOPIC</u>		<u>27 CFR & Other References</u>	<u>Page Number</u>
8. FIREARMS & AMMUNITION MANUFACTURERS	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Manufacturer Definition		478.11 and 479.11	38 & 83
<input type="checkbox"/> Markings		478.92 & 479.102	51-53 & 92-93
<input type="checkbox"/> Records		478.123 and 478.125(i)	64 & 68
<input type="checkbox"/> Annual Firearms Manufacturing & Exportation Report / ATF F 5300.11**			
<input type="checkbox"/> Firearms & Ammunition Excise Tax-Contact TTB		www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms		479.103	93
<input type="checkbox"/> Special Occupational Tax / ATF F 5630.7**		479.31-479.37	85-87
9. NFA DEALER	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Authorized Operations		ATF Ruling 76-22	126
<input type="checkbox"/> NFA Firearms		Part 479	80-98
<input type="checkbox"/> General Information / ATF P 5320.8**		Q&A Section M	187-190
<input type="checkbox"/> Forms used to transfer NFA items		NFA Forms 1,2,3,4 & 5**	
<input type="checkbox"/> Special Occupational Tax / ATF F 5630.7**		479.31-479.37	85-87
10. IMPORTER	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Importer Definition		478.11	38
<input type="checkbox"/> Importation Acquisition & Disposition Record		478.122 and 478.125(i)	63-64 & 68
<input type="checkbox"/> Markings		478.92 & 479.102	51-53 & 92-93
<input type="checkbox"/> ATF Forms 6 and 6A**		478.112	58-59
<input type="checkbox"/> Firearm & Ammunition Excise Tax-Contact TTB		www.ttb.gov/firearms	877-882-3277
<input type="checkbox"/> NFA Firearms		479.111-479.113	94-96
11. EXPORTATION	N/A <input checked="" type="checkbox"/>		
Arms Export Control Act of 1976		22 U.S.C. 2778	99-101
12. SCHOOL ZONE	N/A <input checked="" type="checkbox"/>	ATF P 5310.1**	
<input type="checkbox"/> If the FFL's premises falls within 1,000 feet of a school zone, firearms in possession of FFL's customers must be unloaded and placed in a locked container or in a locked firearms rack that is on a motor vehicle. Also, the FFL should use specific means to ensure that their customers are not in violation of 18 U.S.C. 922(q). For example, the FFL could advise the customer of State permits/license options or the use of lockable containers.			
13. GENERAL QUESTIONS AND ANSWERS			170-202

Investigator **(b) (6)** explained this information to me on 03, 23, 2011 and answered my questions regarding this information. I have received a copy of this for my records as a reference. I understand that this is only a general overview of the regulations and that I will be responsible for familiarizing myself with all of the laws and regulations governing my licensed firearms business.

Debra F Gales 3/23/2011
Applicant's/Licensee's Signature and Date

(b) (6)
ATF Investigator Signature **(b) (6)** 3/23/11
cell

ATF Website: www.atf.gov
ATF Office Contact #: **(b) (6)**

Additional ATF Publications**
ATF P 3317.2 - Safety Security Information for Federal Firearms Licensees
ATF P 5300.15 - Federal Firearms Licensee Quick Reference and Best Practices Guide

Federal Firearms Licensing Center	866-662-2750
Firearms Tracing Center	800-788-7133
Firearms Imports Branch	304-616-4550
National Firearms Act (NFA) Branch	304-616-4500
Firearms Technology Branch	304-260-1699
Firearms Industry Programs Branch	202-648-7190
REPORTING THEFTS	888-930-9275
	800-800-3855

Revised 10/12/10

** Provide a copy of the form or publication and explain the requirements for completing the forms.

Firearms Compliance Inspection
FFL Audit Report

RDS KEY: 43538237

Report Date: 03/09/2011 09: All Times are Eastern

DENIED STATUS DATE RANGE
FROM DATE: 11/30/1998
TO DATE: 03/09/2011

NON-DENIED STATUS DATE RANGE
FROM DATE: 01/08/2011
TO DATE: 03/09/2011

Number Of Denied Transactions: 0
Number Of Proceed Responses: 0
Number Of Currently Delayed Transactions: 0
Number Of Currently Open Transactions: 0
Number Of Cancelled Transactions: 0
Number Of Appealed Transactions: 0
Total Number Of Transactions: 0

03 Public Law
112-55 (125
Stat. 552)

03 Public
Law
112-55

03 Public Law 112-55 (125
Stat. 552)

*Checked all proceeds
no discrepancies*

(b) (6)

3-16-11

<<<< 43538237 >>>>

Created Request Notify
Date & Time NTN STN Transferee's Name Sex Rac DOB SOR SOP SSN PURPOSE ID Status Source Date

(b) (6), (b) (7)(C)

*x-3
10/2*

(b) (6), (b) (7)(C)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 1 of 6 Pages
License/Permit/Registry Number (if any) 43508901IM38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The Licensee failed to obtain a complete ATF Form 4473 prior to transferring a firearm on the following ^{125 Stat.} ^{125 Stat.} occasions:

b3 Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

27 CFR 478.124(c)(3) states in part, "After the transferee has executed the Form 4473, the licensee... Shall verify the identity of the transferee... and shall note on the Form 4473 the type of identification used... Shall, in the case of a transferee who is an alien legally in the United States, cause the transferee to present documentation establishing that the transferee is a resident of the State... and shall note on the form the documentation used... Shall... record on the form the date on which the licensee contacted the NICS, as well as any response provided by the system... The licensee shall identify the firearm to be transferred by listing... the serial number of the firearm... The licensee shall sign and date the form."

The Licensee is to make copies of the incorrect ATF Forms 4473 and make corrections to the copies. The copies are then to be affixed to the original forms. In the future, the Licensee is to ensure that all sections of ATF Form 4473 have been properly completed prior to transferring a firearm to a nonlicensee.

Citation: 27 CFR 478.124(c)(3)

Ex-9a
1/9/12

Report of Violations

Instructions

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Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 2 of 6 Pages
License/Permit/Registry Number (if any) 435089011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

The Licensee failed to provide information called for by the headings and instructions on ATF Form 4473 on the following occasions:

b3 Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

27 CFR 478.21(a) states in part, "All of the information called for in each form shall be furnished as indicated by the headings on the form and the instructions on or pertaining to the form. In addition, information called for in each form shall be furnished as required by this part."

The Licensee is to make copies of the incorrect ATF Forms 4473 and make corrections to the copies. The copies are then to be affixed to the original forms. In the future, the Licensee is to ensure that all instructions and headings on the form are followed.

Citation: 27 CFR 478.21(e)

2/13/10
E-00-13

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 3 of 6 Pages
License/Permit/Registry Number (If any) 435089011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

The Licensee failed to observe state law, specifically the State of Illinois...
b3 Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made:
 (If not corrected immediately)

Corrective Action to be Taken:
 (If not corrected immediately)

27 CFR 478.96(c) states in part, "A... licensed dealer may sell or deliver a rifle or shotgun... to a nonlicensed resident of a State other than the State in which the licensee's place of business is located if... The sale, delivery, and receipt of the rifle or shotgun fully comply with the legal conditions of sale in both such States."

In the future, the Licensee is to ensure that the laws of both states are observed when transferring a long gun to an out-of-state nonlicensed resident.

Citation: 27 CFR 478.96(c)

Ex-9a
3/9/12

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 4 of 6 Pages
License/Permit/Registry Number (If any) 435089011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

The Licensee failed to record the disposition of a firearm on occasions. The Licensee failed to record the acquisition of a firearm on occasions. The Licensee failed to record complete and accurate acquisition information on occasions. The Licensee failed to record complete disposition information on occasions.

See Attachment 1.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

27 CFR 478.125(e) states in part, "each licensed dealer shall enter into a record each receipt and disposition of firearms... The purchase or other acquisition of a firearm shall... be recorded not later than the close of the next business day following the date of such purchase or acquisition. The record shall show the... the name and address or the name and license number of the person from whom received... serial number... of the firearm... The sale or other disposition of a firearm shall be recorded by the licensed dealer not later than 7 days following the date of such transaction."

The Licensee immediately corrected the discrepancies in the bound record of acquisitions and dispositions. The Licensee stated that all unrecorded acquisitions were personal firearms intermingled with the business inventory. All personal firearms were entered into a personal bound record and properly marked or otherwise segregated from the business inventory. In the future, the Licensee is to ensure that all acquisition and disposition information is correctly and accurately entered into her bound record. All personal firearms are to be marked or otherwise segregated in the manner prescribed in Industry Circular 72-30.

Ex-9a
4 of 12

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 5 of 6 Pages
License/Permit/Registry Number (If any) 435089011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.125(e)

Number: 5

Nature of Violation:

On 01/13/2010, the Licensee failed to ensure that the transferee was not prohibited prior to transferring a firearm. b3 Public Law 112-55 (125 Stat. 552)
b3 Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Industry Circular 72-30 states in part, "Firearms dealers who have such personal firearms on licensed premises should not intermingle such firearms with firearms held for sale. Such firearms should be segregated from firearms held for sale and appropriately identified (for example, by attaching a tag) as being 'not for sale'."

27 CFR 478.99(e) states in part, "A... licensed dealer... shall not sell or otherwise dispose of any firearm... to any person knowing or having reasonable cause to believe that such person... Has been convicted of a misdemeanor crime of domestic violence."

If the transferee erroneously answered "Yes" to question 11i, the Licensee is to have the transferee correct a copy of the original ATF Form 4473 and affix the corrected form to the original form. In the future, the Licensee is to immediately stop any transfer in which it is known or there is reasonable cause to believe the transferee is prohibited. The transferee section of the ATF Form 4473 is to be thoroughly reviewed for prohibitive information.

509/12
EX-9a

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 6 of 6 Pages
License/Permit/Registry Number (If any) 435089011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.99(c)

607
2/12
EX-92
76-73

I have received a copy of this report of violations (Proprietor's signature and title)

Date
01/27/10

Signature
(b) (6)

101

Date
01/27/10

For Office

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4 (Sept. 2005)

Licensee Name:	UI Number:
Gales, Debora	773075-2009-0265

<u>Topic</u>	<u>27 CFR</u>	<u>Page Number</u>
1. DEFINITIONS		
<input checked="" type="checkbox"/> Ammunition	478.11	36
<input checked="" type="checkbox"/> Antique Firearms	478.11	36
<input checked="" type="checkbox"/> Curios and Relics	478.11	36
<input checked="" type="checkbox"/> Engaged in the Business	478.11	37
<input checked="" type="checkbox"/> Firearm Frame or Receiver	478.11	37
<input checked="" type="checkbox"/> Identification Document	478.11	38
<input checked="" type="checkbox"/> Short Barreled Rifles & Shotguns	478.11	40
2. MISCELLANEOUS PROVISIONS		
<input checked="" type="checkbox"/> Right of Entry and Examination	478.23	41
<input checked="" type="checkbox"/> "Straw" Purchase	478.128 & General Infor. # 15	69 & 166
<input checked="" type="checkbox"/> Tracing Request from ATF	478.25a	42
<input checked="" type="checkbox"/> Compliance with State Law Publication/ATF P 5300.5	478.24	41
<input checked="" type="checkbox"/> Firearm Transportation	478.38	45
<input checked="" type="checkbox"/> Reporting Thefts or Losses/ATF F 3310.11 **	478.39a	45
3. LICENSES		
<input checked="" type="checkbox"/> Correction of Error	478.48	49
<input checked="" type="checkbox"/> Renewal/Duration	478.45 & 478.49	48 & 49
<input checked="" type="checkbox"/> Premises Covered	478.50	49
<input checked="" type="checkbox"/> Reporting Changes of Address/ATF F 5300.38	478.52	49
<input checked="" type="checkbox"/> Reporting Changes in Trade Name	478.53	49
<input checked="" type="checkbox"/> Reporting Changes of Control	478.54	49
<input checked="" type="checkbox"/> Discontinuance of Business	478.57 & 478.127	50 & 69
4. CONDUCT OF BUSINESS		
<input checked="" type="checkbox"/> Posting of License	478.91	51
<input checked="" type="checkbox"/> Sales or Deliveries Between Licensees	478.94 & 478.95	53
<input checked="" type="checkbox"/> Gun Show Guidelines **	478.100	55
<input checked="" type="checkbox"/> Out of State and Mail Order Sales	478.96	53
<input checked="" type="checkbox"/> Prohibited Sales and Deliveries	478.99	54-55
<input checked="" type="checkbox"/> NICS Requirements	478.102 & 478.131	55-56 & 70
<input checked="" type="checkbox"/> Obliterated Serial Number	478.34	44
<input checked="" type="checkbox"/> Youth Handgun Safety Act (YHSA)-Sales of Handguns	18 U.S.C. 922(x)	15-16
<input checked="" type="checkbox"/> Youth Handgun Safety Act Poster and Notices	18 U.S.C. 922(x) & 478.103	15-16 & 56-57
<input checked="" type="checkbox"/> Secure Gun Storage or Safety Device	921(a)(34), 922(z) & 923(d)(1)(G)	8 & 16-18
<input checked="" type="checkbox"/> Non-resident Aliens	478.29a & ATF Rul. 2004-1	43 & 144-145
<input checked="" type="checkbox"/> Sales to Law Enforcement Officers	478.134	70-71
5. REQUIRED RECORDS		
<input checked="" type="checkbox"/> Retention of Records	478.129	69-70
<input checked="" type="checkbox"/> Transaction Record/ATF F 4473 **	478.124	64-66
<input checked="" type="checkbox"/> Acquisition and Disposition Record	478.125(e)	67
<input checked="" type="checkbox"/> Report of Multiple Handgun Sales/ATF F 3310.4 **	478.126a	69
<input checked="" type="checkbox"/> Personal Firearms	478.125a	68
<input checked="" type="checkbox"/> Consignment of Firearms (Also, see Q&A section F15)	478.124(a)	64 & 183
6. STATE LAWS AND LOCAL ORDINANCES		
<input checked="" type="checkbox"/> Review of basic concepts including licenses, waiting periods, concealed carry permits.		

** Provide copy of form and explain requirements to complete.

ex-9b
1 of 2

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4 (Sept. 2005)

Licensee Name: Gales, Debora	UI Number: 773075-2009-0265
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Topic		27 CFR	Page Number
7. GUNSMITH ACTIVITIES	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Gunsmithing (Also, see Q&A section I)		478.124(a)	64 & 185
<input type="checkbox"/> Gunsmith Recordkeeping		478.124(a) & .125(c), ATF Rul. 77-1	64, 67 & 126
<input type="checkbox"/> Firearms & Ammunition Excise Tax - Contact TTB		Part 53	1-877-882-3277
8. NFA DEALER	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Authorized Operations		ATF Ruling 76-22	126
<input type="checkbox"/> General Information		Q&A -- M11 & M12	188
<input type="checkbox"/> Special Occupational Tax **		479.32 - 479.37	85-87
<input type="checkbox"/> NFA Firearms		Part 479	80-98
9. IMPORTER	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Importer Definition		478.11	38
<input type="checkbox"/> ATF Forms 6 and 6A **		478.112	58-59
<input type="checkbox"/> Importation Acquisition & Disposition Record		478.122 & 478.125(i)	63-64 & 68
<input type="checkbox"/> Markings		TD ATF-461, 478.92 & 479.102	51-52 & 92-93
<input type="checkbox"/> NFA Firearms		479.111 - 479.113	94-95
<input type="checkbox"/> Firearm & Ammunition Excise Tax - Contact TTB		Part 53	1-877-882-3277
10. EXPORTATION	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Arms Export Control Act of 1976		Part 447	99-101
11. FIREARMS & AMMUNITION MANUFACTURERS	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Manufacturer Definition		478.11 and 479.11	38 & 83
<input type="checkbox"/> Markings		TD ATF-461 & 478.92	51-52
<input type="checkbox"/> Records		478.123 & 478.125(i)	64 & 68
<input type="checkbox"/> Firearms & Ammunition Excise Tax - Contact TTB		Part 53	1-877-882-3277
<input type="checkbox"/> NFA Firearms		479.103	93
<input type="checkbox"/> Special Occupational Tax **		479.32 - 479.37	85-87
<input type="checkbox"/> Annual Firearms Manufacturing & Exportation Report		Part 53	ATF F 5300.11
12. SCHOOL ZONE	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> If the FFL's premises fall within 1000 feet of a school zone, advise the FFL that firearms in possession of FFL's customers must be unloaded and placed in a locked container, or a locked firearms rack that is on a motor vehicle. Also, advise of the specific means the FFL can ensure that their customers are not in violation of 18 U.S.C. 922(q) (e.g. advise customer of State license/permit options, the use of lockable containers, etc.).			

**** Provide copy of form and explain requirements to complete.**

The investigator explained the above information to me on 01/27/10 and answered my questions regarding this information. I have received a copy of this for my records as a reference. I understand that this is only a general overview of the regulations and that I will be responsible for familiarizing myself with the regulations governing my licensed firearms business.

Debora F Gales 01/27/10
Applicant's/Licensee's Signature and Date

(b) (6) 01/27/10
ATF Investigator Signature and Date

ATF Website: www.atf.gov
Area Office Phone: **(b) (6)**

Tracing Center Reporting Thefts
1-800-788-7133 1-888-930-9275
Ex-96
202 1-800-800-3855



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

8420 Indiana Street, Merrillville, IN 46410

www.atf.gov

773090 (b) (6)
5373
4-35-38237

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Debora Gales
Deb's Gun Range
6819 Kennedy Avenue
Hammond, IN 46323

Dear Mrs. Gales:

During a recent compliance inspection at your firearms business covering the period of January 01, 2009 through January 13, 2010, you were cited for violations of 27 Code of Federal Regulations Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, ATF Form 5030.5, issued at the time of the inspection is enclosed.

You should be aware that any willful violations of the Gun Control Act may result in revocation of your Federal firearms license. As a result of the recently cited violations, it is important that we have a meeting with you to discuss the violations found. The conference will be held at 1:30pm (CST) on July 08, 2010 at 8420 Indiana Street, 2nd Floor, Merrillville, IN 46410. The agenda for the meeting will include a discussion of the reasons for the violations, a review of legal requirements, and a discussion of steps to be taken by you to ensure future compliance. Although we do not believe it necessary, legal counsel may assist you at your own expense if you so choose. Please bring with you documentation verifying the corrective action you have taken.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business to aid in this effort to combat violent crime.

We will conduct a follow-up inspection in the future. Any violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license.

Ex-9c
1082

Debora Gales

Please contact Area Supervisor (b) (6) a (b) (6) to confirm this appointment and to ask any questions you may have regarding this conference. I look forward to meeting with you to resolve these issues.

Sincerely yours

(b) (6)

Area Supervisor
Merrillville Area Office

Enclosure

cc: Federal Firearms Licensing Center
Columbus Field Division

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY	
<ul style="list-style-type: none"> Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailpiece, or on the front if space permits. 	A. Signature <input checked="" type="checkbox"/> Agent <input checked="" type="checkbox"/> Addressee	
1. Article Addressed to: Deborah Gales Debs Gun Range 6819 Kennedy Ave Hammond, IN 46323	B. Received by (Printed Name) ED ESCATE	C. Date of Delivery
2. Article Number (Transfer from service label) (b) (6)	D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No	
	3. Service Type <input checked="" type="checkbox"/> Certified Mail <input type="checkbox"/> Express Mail <input type="checkbox"/> Registered <input checked="" type="checkbox"/> Return Receipt for Merchandise <input type="checkbox"/> Insured Mail <input type="checkbox"/> C.O.D.	
	4. Restricted Delivery? (Extra Fee) <input type="checkbox"/> Yes	

Ex-9c
2 of 2

Warning Conference Notes

With: Debora Gales
Deb's Gun Range

FFL Number: 4-35-089-01-1M-38237

Location: Merrillville Field Office
8420 Indiana Street, 2nd Floor
Merrillville, IN 46410

Present for Licensee: Debora Gales, Owner

Present for ATF: Director of Industry Operations Marcia Lambert
Senior Operations Officer (b) (6)
Area Supervisor (b) (6)
Industry Operations Investigator (b) (6)

Date & Time: July 13, 2010 at 1:30pm

A scheduled Warning Conference was held on July 13, 2010 at 1:30PM at the Merrillville Field Office located in Merrillville, IN. Director of Industry Operations (DIO) Marcia Lambert, Senior Operations Officer (SOO) (b) (6) Area Supervisor (AS) (b) (6) Industry Operations Investigator (IOI) (b) (6) and Federal Firearms Licensee Debora Gales were present.

DIO Lambert opened the conference by introducing herself and providing an overview of the Warning Conference process. DIO Lambert stated that the violations disclosed during the most recent inspection could have resulted in the loss of Mrs. Gales' Federal Firearms License (FFL). Mrs. Gales stated that she was guilty of the violations as stated, and that she knew her FFL was in jeopardy. She stated that she did not willfully violate the law by purposefully creating bad paperwork. DIO Lambert defined willfulness, specifically that willfulness could be defined as purposeful disregard or plain indifference to known legal obligations. Mrs. Gales stated her was her choice to remain in business during a period of absence due to illness, and that she could see how the violations could be construed as willful due to indifference.

Mrs. Gales stated that the firearms business is her and her son's only livelihood, and that she hopes to give the business to her son Edward Esgate when she retires in April of 2011. She plans to move to Kentucky to join her husband who already is living there. She said that she wants her son to have his own FFL independent of her current FFL, and that she hopes her mistakes will help him be in compliance. She plans to surrender her records when her son takes over the business, and asked IOI (b) (6) to assist with the process when the time comes. Mrs. Gales stated that she has been in the firearms business for about 20 years. She transferred approximately (b) (4) firearms during the inspection period, and she estimated that she had about (b) (4) firearms in inventory. Mrs. Gales said that she did an inventory in April and has one planned for July. She plans to do an inventory every quarter, and she also does a monthly records check in addition to a daily double check system.

Ex-9d
103

Warning Conference Notes

IO (b) (6) then read the violations to Mrs. Gales, and DIO Lambert, SOC (b) (6) and AS (b) (6) asked additional questions pertaining to the violations. Mrs. Gales responded to each violation.

For Violation 1 [27 CFR 478.124(c)(3)], failure to obtain a complete ATF Form 4473 prior to transferring a firearm, Mrs. Gales stated that each form is now double or triple checked before the firearm is transferred. Previously information would be left blank to be filled in at a later time if the store was busy at the time of transfer. For instance, identification would be copied and stapled to the form but not actually written on the form. However, the form is now completed in its entirety before the firearm is transferred. She stated that she understood that each line of the form is important, not just the information provided by the customer.

For Violation 2 [27 CFR 478.21(a)], failure to provide the information called for by the headings and instructions on ATF Form 4473, Mrs. Gales stated that each employee is responsible to ensure the accuracy of the form that they complete. They then record their name and title and sign and date the form as required. The form had previously been certified by Mrs. Gales at the end of each day, and some forms were missed or incomplete.

For Violation 3 [27 CFR 478.96(c)], failure to observe state law when transferring a long gun to an out-of-state resident, Mrs. Gales stated that she was previously unaware of the requirement. She believed that she was only required to follow the laws of Indiana. She is now aware of the requirement, and she ensures that the laws of both states are followed. For example, the 24 hour waiting period and FOID card requirements are observed and recorded on ATF Form 4473 for Illinois residents purchasing a long gun.

For Violation 4 [27 CFR 478.125(e)], failure to record the disposition of a firearm, Mrs. Gales stated that the majority of the errors were FFL to FFL transfers to Illinois FFL Pelcher's. Previously firearms were physically transferred to Pelcher's when someone was heading that way or by a shared employee. Now the transfer process is formalized and the firearm must be recorded prior to transfer. For failure to record the acquisition of a firearm, Mrs. Gales stated that all records are double or triple checked to ensure that no firearms are missed. Also, personal firearms are appropriately marked and segregated to ensure that personal and business firearms are not mistaken for each other. For failure to record complete and accurate acquisition information and complete and accurate disposition information, Mrs. Gales reiterated that the records are double checked. Previously FFL license numbers were not always recorded, but Mrs. Gales stated that she now ensures all entries are complete.

For Violation 5 [27 CFR 478.99(c)], failure to ensure that the transferee was not prohibited prior to transferring a firearm, Mrs. Gales stated that it was an oversight due to the transferee passing the NICS background check despite answering yes to a prohibiting question. She stated that her employees are now aware how important every line of the ATF Form 4473 is, and that the forms are checked before the firearm transfers. Mrs. Gales has sent two letters to the transferee to have him come in a correct his form. However, he has not responded. Mrs. Gales plans to send a third letter by certified mail.

Warning Conference Notes

After reviewing the violations, DIO Lambert reiterated how important it was to ensure compliance and to focus on maintaining compliance in the future. Mrs. Gales was told that she would be inspected again. Mrs. Gales stated that she deserved to give up her FFL if further errors were found. She stated that the seminar IOI (b) (6) and AS (b) (6) provided to her and her employees was valuable. She again talked about leaving her business to her son. She also talked about how her business was part of a firearms community in her neighborhood. Mrs. Gales hosts shooting leagues, offers classes, and has regular customers who meet daily at the premises. She is a certified NRA instructor, and she plans to continue teaching after moving to Kentucky.

Mrs. Gales was asked to call with any questions or to request further instruction if needed. She stated that she would. This concluded the Warning Conference.

Notes by:

IOI (b) (6)

Ex-9d
3063



COPY

U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Merrillville Area Office

www.atf.gov

July 14, 2010

773090 (b) (6)
5373
4-35-38237

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Debora Gales
Deb's Gun Range
6819 Kennedy Ave
Hammond, IN 46323

Dear Ms. Gales:

This letter is a follow-up to the warning conference held with you on July 13, 2010 at the ATF field office in Merrillville, Indiana. During this conference, the violations cited during the inspection covering the period January 2009 through January 2010 and the necessary corrective action to prevent the violations from reoccurring were discussed. You were given the opportunity to comment on the violations and what specific action you have taken to ensure that the violations will not reoccur.

Regarding the violations 27 CFR 478.124(c)(3), 27 CFR 478.21(a), and 27 CFR 478.99(c), for failure to properly complete ATF Forms 4473, you attributed the errors to oversight. You stated that you made the required corrections to the forms with errors, and you now use double check system for the forms to ensure they are complete and accurate. You stated that each form must be checked by two employees before any sale is made final. You further stated that all employees are now aware they must complete Section D of the ATF Form 4473 if they are the person transferring the firearm. You stated that both your employees and you are aware of the importance of properly completing the ATF Form 4473. We also discussed the proper method for correcting ATF Forms 4473, specifically to make corrections to a copy of the form and affix the copy to the original form should you find errors in the future.

Ex-9e
1073

Violation 27 CFR 478.125(e), for failure to record the acquisition or disposition of a firearm, you attributed the errors to lack of oversight while you were out of the store for personal reasons. You stated that all employees are aware firearms must be entered into the A&D record. Further you stated transfers made to another FFL are immediately recorded into the A&D record by the employee making the delivery to ensure all firearm dispositions are captured. You further stated you are now conducting quarterly inventories to ensure accountability of firearms as well as accuracy of the A&D record. The importance of completely and accurately recording the disposition of all firearms was discussed, including the significance for tracing purposes.

Violation 27 CFR 478.96(c), failure to observe state law when transferring firearms to a resident of another state. You stated that the error occurred because you were not aware that Illinois residents had to adhere to an Illinois state waiting period when purchasing a firearm in Indiana. You stated that all employees are now aware of this requirement and record the date and time the sale is initiated in line 30c on the ATF Form 4473. This will allow all employees to know when the 24 hour waiting period has elapsed and the transfer may occur.

I appreciate your stated intent to comply with regulatory requirements in the future. You were advised that another compliance inspection will be conducted of your business in the future.

The violations for which you were cited could adversely impact law enforcement's ability to reduce violent crime and protect the public. You are reminded that future violations, repeat or otherwise, could be viewed as willful and may result in the revocation of your Federal Firearms License. You may anticipate further inspections to ensure your compliance.

Please contact us if you have any questions concerning your responsibilities as a Federal Firearms License or if you require further clarification about particular requirements of Federal firearms laws.

(b) (6)

Area Supervisor

c: Federal Firearms Licensing Center
Columbus Field Division

Ex-9e
203

(b) (6)

U.S. Postal ServiceTM
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Total Postage & Fees	\$ 5.94	

Send To
 Debora Gales
 PO Box No. 6819 Kennedy Ave
 Hammond IN 46323

PS Form 3800, June 2002 See Reverse for Instructions

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul style="list-style-type: none"> Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailpiece, or on the front if space permits. 	<p>A. Signature <i>[Signature]</i> <input checked="" type="checkbox"/> Agent <input type="checkbox"/> Addressee</p> <p>B. Received by (Printed Name) <i>Ed Esqate</i> C. Date of Delivery <i>7-16-04</i></p> <p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No</p>
<p>1. Article Addressed to:</p> <p><i>Debora Gales</i> <i>6819 Kennedy Ave</i> <i>Hammond IN 46323</i></p>	<p>3. Service Type</p> <p><input checked="" type="checkbox"/> Certified Mail <input type="checkbox"/> Express Mail <input type="checkbox"/> Registered <input checked="" type="checkbox"/> Return Receipt for Merchandise <input type="checkbox"/> Insured Mail <input type="checkbox"/> C.O.D.</p>
<p>2. Article Number (Transfer from service label) (b) (6)</p>	<p>4. Restricted Delivery? (Extra Fee) <input type="checkbox"/> Yes</p>

Ex-9e
3 of 3

Not Within The Scope of Time Frame

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FIREARMS INSPECTION SAFETY AND SECURITY PROFILE

Licensee Name: Debra F Gales, dba/Debs Gun Range	UI Number: 773890-2011-0110 B1B
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NOTE: Update if any changes are disclosed, otherwise review and initial at the bottom. This document will be maintained in the Area Office File and not entered into N-Spect.

LICENSE NUMBER: (Include Type) 4-35-089-01-1M-38237, dealer in firearms other than destructive devices

ADDRESS OF PREMISES: 6819 Kennedy Ave, Hammond, IN 46323

TYPE OF PREMISES: Residence Commercial

LOCATION OF INSPECTION AREA IF RESIDENTIAL:

SECURITY OF PREMISES:

FENCED:
No

LOCKED STORAGE ROOMS:
Back room contains mostly broken firearms and empty boxes

HIDDEN ROOMS:
No

ANIMALS:
No

OTHER SECURITY:

(b) (7)(E)

LOCATION OF ANY OFF-SITE STORAGE:
None; has personal safe onsite with personal guns and each employee has a cabinet for his/her own firearms.

DESCRIBE ANY OTHER AREA OF CONCERN NOT ADDRESSED ABOVE - OR INCLUDE DIRECTIONS TO PREMISES:
Very loud due to onsite range; smoking allowed on premises.

WN-1
1081

Prepared by: (b) (6)	Date: 03/16/11
---------------------------------------	--------------------------

Subsequent Review By: (Investigator's Initials and Date)

--	--	--	--	--	--	--	--	--	--

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

My Copy

*mailed
4/12/10*



Deb's Gun Range

Phone/Fax: (219) 845-8880

(b) (6)

(b) (6)

During a routine ATF compliance inspection we noted an error on the federal form 4473 that you filled out at our store on 08/26/2009.

I have been ordered by the ATF to have you come in and correct this error or have you come in and explain why you have not answered my other letters. And give an explanation to me about the error on your form.

I know this is inconvenient to you, however I am requesting again that you come in and either make the correction that is deemed necessary or give a full explanation of this transaction to me.

Thank You

Debora Gales
Owner Deb's Gun Range



6819 KENNEDY AVENUE • HAMMOND, INDIANA 46323

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

(b) (6)

From: (b) (6)
Sent: Friday, June 11, 2010 4:37 PM
To: (b) (6)
Subject: Gales

DEPARTMENT OF JUSTICE - BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES POST INSPECTION
ACTION NOTIFICATION

DATE: 6/11/2010

FROM: (b) (6)

CASE IDENTIFICATION INFORMATION:

CASE/UI NUMBER: 773075-2009-0265

CASE/INSPECTION TITLE: RECALL, FT-GALES, DEBORA F

ACTIONS:

REMARKS:

Concurrence from CFD Counsel for warning conference in lieu of revocation. Schedule conference.

END OF MESSAGE - END OF MESSAGE - END OF MESSAGE

(b) (6)

From: (b) (6)
Sent: Monday, April 12, 2010 8:22 AM
To: (b) (6)

DEPARTMENT OF JUSTICE - BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES POST INSPECTION
ACTION NOTIFICATION

DATE: 4/12/2010
FROM: (b) (6)

CASE IDENTIFICATION INFORMATION:
CASE/UI NUMBER: 773075-2009-0265
CASE/INSPECTION TITLE: RECALL, FT-GALES, DEBORA F

ACTIONS:

REMARKS:

To CFD Counsel for concurrence on alternate.

END OF MESSAGE - END OF MESSAGE - END OF MESSAGE

Gales, Debora F.
d/b/a Deb's Gun Range
6819 Kennedy Avenue
Hammond, IN 46323
UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

FILE COPY

TABLE OF CONTENTS

Administrative (AD)

AD 01: ATF F 5700.14, Assignment and Report

AD 02: Narrative Report

Worksheets (WS)

WS 01: A&D Review

WS 02: 4473 Review

WS 03: 7.62 Dispositions

WS 04: Acknowledgement

Exhibits (EX)

EX 01: FLS Report

EX 02: Violation 04 [27 CFR 478.124(e)]

- EX 2A: Firearms in Inventory
- EX 2B: Inventory Reconciliation
- EX 2C: Unrecorded Acquisitions
- EX 2D: Unrecorded Dispositions
- EX 2E: Acquisitions Errors
- EX 2F: Disposition Errors

EX 03: FFL Audit Log

EX 04: Violation 01 [27 CFR 478.124(c)(3)]

EX 05: Violation 02 [27 CFR 478.21(a)]

EX 06: Violation 03 [27 CFR 478.96(c)]

EX 07: Violation 05 [27 CFR 478.99(c)]

- EX 7A: 4473
- EX 7B: Criminal History
- EX 7C: Disposition

EX 08: Report of Violations

EX 09: 7.62 NCIC

EX 10: Firearm Trace History

EX 11: Sign-In Sheet

EX 12: Gales NCIC

EX 13: 2005 Compliance Inspection

- EX 13A: 2005 Acknowledgement
- EX 13B: 2005 Report of Violations
- EX 13C: Warning Conference Letter
- EX 13D: Warning Conference Follow-up
- EX 13E: Licensee's Letter

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range
Address: 6819 Kennedy Avenue
Hammond, IN 46323
Lake County

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

I - Introduction

Inspection Profile:

On January 13, 2010, Industry Operations Investigators (IOIs) (b) (6) and (b) (6) went to the licensed premises of Debora Gales, doing business as Deb's Gun Range, for the purpose of conducting a Compliance Inspection of her Type 01, Dealer in Firearms other than Destructive Devices, Federal Firearms License (FFL) 4-35-38237. Debora Gales was identified and interviewed. Her husband and employee, (b) (6) (b) (6) her son and employee, Edward Esgate, and five (5) additional store employees were also present during the inspection.

Advanced notification was not provided. The inspection period was January 01, 2009 through January 13, 2010. A closing conference and review of regulations was held on January 27, 2010 at which time an ATF E-Form 5030.5, Report of Violations, was issued.

Business Profile:

Mrs. Gales is the owner and sole proprietor of Deb's Gun Range located in urban Hammond, IN. Mrs. Gales was licensed under her current FFL in 1999 (see Exhibit 01, FLS Report). However, Mrs. Gales has been involved with the business in some capacity for approximately 18 years. In addition to the current FFL, the following FFLs have been held at the same premises:

- 1995 – 2005 *Foreman's Gun Range* FFL 4-35-37397
Mrs. Gales (as Debora Foreman) listed as Partner.
- 1996 – 1999 *F E W Shots* FFL 4-35-37432
Mrs. Gales (as Debora Workman) listed as Partner.
- 1992 – 2005 *Don Foreman Gunsmith* FFL 4-35-35688
Mrs. Gales (as Debora Workman) listed as a Responsible Person.

Deb's Gun Range sells new and used handguns and long guns (b) (4) firearms were acquired and (b) (4) were disposed during the inspection period. Of those, (b) (4) were long guns and (b) (4) were handguns (b) (4) of the firearms were recorded as used in Mrs. Gales' Acquisitions and Disposition (A&D) Record (b) (4) firearms were in physical inventory at the time of inspection (see Exhibit 2A, Firearms in Inventory).

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

Mrs. Gales' primary suppliers are (b) (4)

(b) (4)

Mrs. Gales also does a significant amount of acquisitions and dispositions with (b) (4)

(b) (4)

Mrs. Gales does not participate in gun shows, consignments, mail order, or internet sales. In addition to buying and selling firearms, Mrs. Gales operates an indoor shooting range and offers firearm safety courses at the premises. Mrs. Gales also hosts recreational shooting leagues.

Ownership & Control:

Debora Gales (formerly known as Debora Workman and Debora Foreman) is the owner and sole proprietor of Deb's Gun Range. There are no other responsible persons and none were added as a result of this inspection. No prohibiting information was discovered regarding Mrs. Gales (see **Exhibit 12, Gales NCIC**). Ms. Gales employs approximately seven (7) employees. Mrs. Gales stated during the previous Compliance Inspection in 2005 that she was planning to sell the business. However, she stated at the beginning of the current inspection that she now plans to retire in 2010 and turn the business over to her son and employee, Edward Esgate.

Variance:

Mrs. Gales holds no variances and none were requested.

2 - Recommendation

Violations and Revocation

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

3 - Inspection History

October 07, 1999: Qualification Inspection
FFL 4-35-38237 was issued.

February 23, 2005: Compliance Inspection

The following violations were cited on a Report of Violations (see **Exhibit 13B, 2005 Report of Violations**):

27 CFR 478.124(b)

The Licensee failed to have ATF Form 4473, Firearms Transaction Record, retained alphabetical (by name of purchaser) chronological (by date of disposition), or numerical (by transaction serial number) order. The forms were filed by year.

27 CFR 478.124(c)(3)(i); 27 CFR 478.124(c)(3)(ii); 27 CFR 478.124(c)(3)(iii)

The Licensee failed to ensure proper completion of ATF Form 4473, Firearms Transaction Record, in ^{(b)3 Public Law 112-55 (125 Stat. 552)} out of ^{(b)3 Public Law 112-55 (125 Stat. 552)} forms reviewed. On ^{(b)3 Public Law 112-55 (125 Stat. 552)} occasion, Item 18a was not completed. On ^{(b)3 Public Law 112-55 (125 Stat. 552)} occasion, Item 18h was not completed. On ^{(b)3 Public Law 112-55 (125 Stat. 552)} occasions, Item 19a was not completed. On ^{(b)3 Public Law 112-55 (125 Stat. 552)} occasions, Item 19d was not completed following a delayed response. On ^{(b)3 Public Law 112-55 (125 Stat. 552)} occasions, Item 29 and Item 30 were not completed. On ^{(b)3 Public Law 112-55 (125 Stat. 552)} occasions, Item 34 was not completed.

27 CFR 478.125(e)

Verification of the A&D Record to the physical inventory disclosed ^{(b)3 Public Law 112-55 (125 Stat. 552)} unrecorded dispositions ^{(b)3 Public Law 112-55 (125 Stat. 552)} unrecorded dispositions to the licensee's personal collection ^{(b)3 Public Law 112-55 (125 Stat. 552)} firearm missing from inventory, and ^{(b)3 Public Law 112-55 (125 Stat. 552)} unrecorded acquisitions.

27 CFR 478.126a

The licensee failed to complete and submit ATF 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, on transfers to ^{(b)3 Public Law 112-55 (125 Stat. 552)} individuals for a total of ^{(b)3 Public Law 112-55 (125 Stat. 552)} handguns.

A review of federal firearms regulations was conducted with Mrs. Gales on February 23, 2005. Mrs. Gales signed and dated an Acknowledgement of Federal Firearms Regulations (see **Exhibit 13A, 2005 Acknowledgement**). On March 01, 2005, Mrs. Gales sent a letter stating that she took the requested corrective measures and acknowledging her responsibilities as a licensee (see **Exhibit 13E, Licensee's Letter**). She wrote that she learned the correct way to keep her bound book, personal bound book, and ATF Forms 4473.

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

A Warning Conference letter was sent on April 12, 2005 (see **Exhibit 13C, Warning Conference Letter**). The Warning Conference was held on April 29, 2005 at the Merrillville Field Office. During the Warning Conference, Mrs. Gales again acknowledged her responsibilities as a licensee and detailed steps she would take to avoid repeat violations. A letter summarizing the conference was sent to Mrs. Gales (see **Exhibit 13D, Warning Conference Follow-up**).

4 - Internal Controls Evaluation

A review was conducted of Mrs. Gales' internal controls. Mrs. Gales has alarms and security cameras installed. Windows and doors are protected with metal and wood to prevent break-ins. Improvement is needed in recordkeeping and inventory control.

Mrs. Gales reported that from the time of the 2005 Compliance Inspection through 2008, she would conduct a complete inventory about once every quarter. However, no inventories were conducted in the past year. She attributed the lack of inventory review to illness and failure to designate an employee to conduct the inventory in her absence.

Personal firearms were intermingled with business firearms, and firearms were generally scattered about the business premises. Employees would set aside business firearms that they planned to purchase in their personal cabinets, inside desks, and other hidden areas. Additionally, not all acquisitions and dispositions were timely or correctly recorded making an accurate accounting of inventory difficult or possibly impossible in the event of a theft or loss.

Mrs. Gales stated that she now plans to keep all personal firearms, including personal employee firearms, in personal A&D Records kept on premises. All personal firearms are to be properly marked and segregated in accordance with Industry Circular 72-30. She also has plans to better organize inventory and personal firearms. Mrs. Gales plans to train employees to conduct inventories, and she plans to do inventories at least once every quarter.

Similar statements were made by Mrs. Gales during the 2005 Compliance Inspection and subsequent Warning Conference (see **Exhibit 13D, Warning Conference Notes**). Mrs. Gales stated during the 2005 Compliance Inspection that she would record dispositions daily and keep a personal bound record of personal firearms on the premises. Mrs. Gales stated during the Warning Conference that she would reconcile paperwork weekly, take inventory monthly, have her son double check all paperwork, enter acquisitions immediately, and maintain a reconciliation book recording the date and results of inventory checks.

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

5 - Acquisition & Disposition (A&D) Record - Inventory

On January 13, 2010, IOI (b) (6) completed a full inventory. [Redacted] firearms were found to be in inventory (see Exhibit 2A, Firearms in Inventory). In addition, Mrs. Gales has an extensive collection of personal firearms kept at the premises for use in classes, at the range, and for various other purposes. One area known as the repair case was designated to store personal firearms. Furthermore, each employee has an assigned cabinet in which they stored personal firearms. At the time of inspection there were numerous firearms reported to be personal firearms scattered about the premises, and business firearms were intermingled with personal firearms within the areas designated for personal firearms only. Mrs. Gales stated that she was made aware of the requirement to tag and segregate personal firearms after the 2005 Compliance Inspection. Any firearm not recorded in a personal A&D Record, segregated, or otherwise tagged as personal were included in the inventory.

[Redacted] firearms found in inventory had no corresponding acquisition entry in the A&D Record. Mrs. Gales attributed the unrecorded acquisitions to personal firearms kept by her and her employees on the premises (reference Violation 04 and see Exhibit 2C, Unrecorded Acquisitions and Worksheet 01, A&D Review). Ms. Gales was directed to properly label all personal firearms as personal not for sale or otherwise segregated them, and to enter all personal firearms into a personal bound record. Mrs. Gales was given the same direction during the 2005 Compliance Inspection (see Exhibit 13, 2005 Compliance Inspection).

[Redacted] firearms were found to have open dispositions in the A&D Record but were not found in inventory (reference Violation 04 and see Exhibit 2D, Unrecorded Dispositions and Worksheet 01, A&D Review). [Redacted] of the [Redacted] firearms were transferred to (b) (4) with no corresponding disposition. Mrs. Gales attributed those errors to complacency due to a familiar relationship with (b) (4) and a shared employee. [Redacted] of the [Redacted] remaining firearms were transferred on ATF Forms 4473. The rest were manufacturer returns. She attributed the errors to oversights and employee error.

[Redacted] firearms had acquisition information that was incorrectly recorded (reference Violation 04 and see Exhibit 2E, Acquisitions Errors and Worksheet 01, A&D Review). Mrs. Gales blamed the incorrect acquisitions on employee error. She stated that employees sometimes left errors that they were unsure how to correct in hopes that Mrs. Gales would later correct the errors.

[Redacted] firearms had dispositions that were incorrectly recorded. [Redacted] firearm's disposition information was on the incorrect line. [Redacted] firearms had no FFL number or address recorded (reference Violation 04 and see Exhibit 2F, Disposition Errors and Worksheet 01, A&D Review). Mrs. Gales stated that she was aware of the requirement and should have conducted more regular reviews.

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

A handwritten list of A&D Record discrepancies was provided to Mrs. Gales on January 14, 2010. IOI (b) (6) worked on reconciliation with Mrs. Gales, and a copy of the list was provided to Mrs. Gales to continue to reconcile over the following weekend. Mrs. Gales was also faxed a typewritten list of additional firearms to reconcile on January 14, 2010. Mrs. Gales completed the reconciliation on January 19, 2010 and provided handwritten comments on both lists (see **Exhibit 2B, Inventory Reconciliation**). All firearms were accounted for after reconciliation.

Mrs. Gales was cited for violation of 27 CFR 478.125(e) on a Report of Violations (**Reference Violation 04 and see Exhibit 02, Violation 04; Exhibit 08, Report of Violations; and Worksheet 01, Inventory and A&D Review**).

6 - ATF Forms 4473 – NICS & Other Dispositions

IOI (b) (6) reviewed all ATF Forms 4473 completed during the inspection period. Mrs. Gales keeps all forms chronologically, and she assigns each one a Transferor's Transaction Serial Number consisting of the Book Number, Page Number, and a Tag Number assigned to the firearm at the time of acquisition. Denied ATF Forms 4473 are kept in a separate folder for the required time period. IOI (b) (6) confirmed that transfers to other FFLs and to law enforcement are properly conducted. No discrepancies were found when comparing the FFL Audit Log to the ATF Forms 4473 (see **Exhibit 03, FFL Audit Log**).

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

Of the [b3 Public Law 112-55 (125 Stat. 552)] forms reviewed, the following [b3 Public Law 112-55 (125 Stat. 552)] errors were discovered over [b3 Public Law 112-55 (125 Stat. 552)] forms:

- On [b3 Public Law 112-55 (125 Stat. 552)] occasions, the Licensee failed to obtain a complete ATF Form 4473 prior to transferring a firearm. Mrs. Gales was cited with violation of 27 CFR 478.124(c)(3) on a Report of Violations (reference **Violation 01** and see **Exhibit 04, Violation 01; Exhibit 08, Report of Violations; and Worksheet 02, 4473 Review**).
- On [b3 Public Law 112-55 (125 Stat. 552)] occasions, the Licensee failed to provide the information called for by the headings and instructions. Mrs. Gales was cited with violation of 27 CFR 478.21(a) on a Report of Violations (reference **Violation 02** and see **Exhibit 05, Violation 02; Exhibit 08, Report of Violations; and Worksheet 02, 4473 Review**).
- On [b3 Public Law 112-55 (125 Stat. 552)] occasions, the Licensee transferred a firearm in violation of state law when transferring a firearm to an out-of-state resident. Specifically, the waiting period was not observed when transferring a long gun to an Illinois resident. Despite having an employee who also works for an Illinois licensee, Mrs. Gales stated that she was unaware of the requirement. Mrs. Gales was cited with violation of 27 CFR 478.96(c) on a Report of Violations (reference **Violation 03** and see **Exhibit 05, Violation 03; Exhibit 08, Report of Violations; and Worksheet 02, 4473 Review**).
- On [b3 Public Law 112-55 (125 Stat. 552)] occasion, a firearm was transferred to an individual who appeared to be prohibited at the time of the transfer. To wit [b3 Public Law 112-55 (125 Stat. 552)]

[b3 Public Law 112-55 (125 Stat. 552)]

[b3 Public Law 112-55 (125 Stat. 552)]

7C, Disposition). Mrs. Gales was cited with violation of 27 CFR 478.99(c) on a Report of Violations (reference **Violation 05** and see **Exhibit 07, Violation 05; Exhibit 08, Report of Violations; and Worksheet 02, 4473 Review**).

All ATF Form 4473 errors were reviewed in detail with Mrs. Gales on January 14, 2010. During the review, Mrs. Gales stated that she remembered being cited for similar errors after the last inspection in 2005. She stated that she took the errors seriously, and she stated it was her fault that they weren't complete and accurate. She believed that her records were in better shape than they were found to be. Mrs. Gales made the same statements to IOI **(b) (6)** during the 2005 Compliance Inspection (see **Exhibit 13, 2005 Compliance Inspection**).

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

7 - Suspicious/Prohibited Purchasers

^{b3 Public Law 112-55 (125 Stat. 552)} purchasers of 7.62 rifles were queried in TECS/NCIC and Online Lead/E-Trace. The ^{b3 Public Law 112-55 (125 Stat. 552)} involved rifles were also run. No derogatory information was obtained (reference Section 12, Other) ^{b3 Public Law 112-55 (125 Stat. 552)} suspicious purchaser was queried with no derogatory hits.

A possible prohibited purchaser ^{(b) (6)} was queried in TECS/NCIC (reference Section 06, ATF Forms 4473 – NICS & Other Dispositions). No prohibitive information was obtained.

8 - Trace Activity

Mrs. Gale's was associated with ^{b3 Public Law 112-55 (125 Stat. 552)} firearm trace requests during the inspection period. All traces were successful (see Exhibit 10, Firearm Trace History).

^{b3 Public Law 112-55 (125 Stat. 552)} used firearms were acquired during the inspection period. All used firearms in inventory were run using TECS/NCIC and Online Lead/E-Trace. No derogatory information was obtained.

9 - Multiple Sales

Mrs. Gales reported ^{b3 Public Law 112-55 (125 Stat. 552)} multiple sale transactions involving ^{b3 Public Law 112-55 (125 Stat. 552)} firearms during the inspection period (see Exhibit 10, Firearm Trace History). No unreported multiple sales were discovered.

10 - NFA/Importer/Collector/Manufacturer

Mrs. Gales is not an Importer, Collector, or Manufacturer. She does not deal in NFA weapons.

11 - Referrals

No referrals were generated as a result of this inspection.

12 - Other

^{b3 Public Law 112-55 (125 Stat. 552)} 7.62 caliber rifles were acquired during the inspection period. The A&D Record and ATF Forms 4473 were reviewed for dispositions of 7.62 rifles ^{b3 Public Law 112-55 (125 Stat. 552)}

b3 Public Law 112-55 (125 Stat. 552)

No derogatory information was obtained (see Exhibit 09, 7.62 NCIC and Worksheet 03, 7.62 Dispositions).

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

b3 Public Law 112-55 (125 Stat. 552) forward trace was requested and completed. b3 Public Law 112-55 (125 Stat. 552)
b3 Public Law 112-55 (125 Stat. 552)

13 - Closing Actions

A closing conference was held at the licensed premises on January 27, 2010. Present were IOI (b) (6) Area Supervisor (b) (6) Owner Debora Gales, and five (5) additional employees.

A short seminar was conducted with Mrs. Gales and her employees (see **Exhibit 11, Sign-In Sheet**). Information packets were handed out. Special emphasis was placed on areas where violations were found during the current inspection and the 2005 Compliance Inspection. Mrs. Gales and her employees were told that in addition to the regulations covered in the review, they were responsible to know and follow all laws and regulations pertaining to a licensed firearms business. They were told to call with any questions or concerns. The employees were then given time for questions and comments.

A further regulatory review was then conducted privately with Mrs. Gales (see **Worksheet 04, Acknowledgement**). The following was discussed and provided to Mrs. Gales: ATF P 5300.4, Federal Firearms Regulations Reference Guide; ATF I 5300.23A, Important Notice to Dealers and Other Participants at this Gun Show; ATF M 1200.31, Information Guidelines; ATF F 2210.11, Federal Firearms Licensee Firearms Inventory Theft/Loss Report; ATF F 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers; ATF Form 4473 Part I, Firearms Transaction Record Part I - Over-the-Counter; ATF I 5300.2, Youth Handgun Safety Act Notice; ATF I 5300.1, Youth Handgun Safety Act poster; and ATF P 3317.2, Safety and Security Information for Federal Firearms Licensees. Mrs. Gales was also provided with a list of important addresses and phone numbers and instructed to call if she had any further questions or concerns.

After the regulatory review, the Report of Violations was issued and reviewed with Mrs. Gales (see **Exhibit 08, Report of Violations**). Mrs. Gales stated that she did not dispute any of the findings, and she was felt she had to face the consequences of her actions. She acknowledged that violations of 27 CFR 478.124(c)(3) and 27 CFR 478.125(e) were repeat violations. She stated that IOI Storms had previously reviewed the regulations with her in 2005, and that she had worked with IOI Storms on strategies to avoid repeat errors. She also stated that she should have known better, but she thought that her records and inventory were better than they actually were.

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

14 - Violations

1. 27 CFR 478.124(c)(3)

REPEAT VIOLATION

Nature of Violation: The Licensee failed to obtain a complete ATF Form 4473 prior to transferring a firearm on the following occasions:

b3 Public Law 112-55 (125 Stat. 552)

Licensee's Response: All ATF Form 4473 errors were reviewed in detail with Mrs. Gales on January 14, 2010. During the review, Mrs. Gales stated that she remembered being cited for similar errors after the last inspection in 2005. Mrs. Gales instituted a double check policy after the last inspection. The employee would complete the form at the time of transfer except for the signature in box 34, and then Mrs. Gales would review and sign the form at the end of each night. She continued to come in during her period of illness to review the forms.

Mrs. Gales stated that she thought the forms would be in better order due to the double-check policy. She stated that she took the errors seriously, and she stated it was her fault that they weren't complete and accurate. She believed that her records were in better shape than they were found to be.

She said she understands the forms are important, and she has no excuse for not ensuring the forms were accurately filled out. Mrs. Gales stated that she could immediately find the errors when reviewing the forms with IOI (b) (6) and she knows that she should have done a better job of reviewing the forms. She believed that errors increased because she was ill, but she acknowledged that it was her choice to stay in business during her illness and further stated that she should have assigned an employee to the task.

Mrs. Gales stated she will now ensure that each employee fills out the form in its entirety, including box 34, at the time of transfer. It will then be immediately reviewed by another employee and then again at the end of the business day. The forms will be audited periodically as well.

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

During the 2005 Compliance Inspection in which the same violation was cited, Mrs. Gales provided a similar response. She stated that she thought she was doing a good job reviewing the forms, and she took full responsibility for the errors. Mrs. Gales was directed to take similar corrective action, and she offered similar strategies to avoid repeat violations. Mrs. Gales also wrote in a letter to IOI (b) (6) that she was aware of how to properly complete ATF Forms 4473 (see Exhibit 13E, Licensee's Letter).

Corrective Action: The Licensee is to make copies of the incorrect ATF Forms 4473 and make corrections to the copies. The copies are then to be affixed to the original forms. In the future, the Licensee is to ensure that all sections of ATF Form 4473 have been properly completed prior to transferring a firearm to a nonlicensee.

Reference Exhibit 04, Violation 01; Exhibit 08, Report of Violations; and Worksheet 02, 4473 Review.

2. 27 CFR 478.21(a)

Nature of Violation: The Licensee failed to provide information called for by the headings and instructions on ATF Form 4473 on the following occasions:

b3 Public Law 112-55 (125 Stat. 552)

Licensee's Response: Mrs. Gales referenced her response to Violation 1.

Corrective Action: The Licensee is to make copies of the incorrect ATF Forms 4473 and make corrections to the copies. The copies are then to be affixed to the original forms. In the future, the Licensee is to ensure that all instructions and headings on the form are followed.

Reference Exhibit 05, Violation 02; Exhibit 08, Report of Violations; and Worksheet 02, 4473 Review.

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

3. 27 CFR 478.96(c)

Nature of Violation: The Licensee failed to observe state law, specifically the State of Illinois requirement for a one (1) day waiting period for Illinois residents, when transferring a long gun to an out-of-state resident on the following occasions:

b3 Public Law 112-55 (125 Stat. 552)

During the inspection period, the Illinois resident waiting period requirement was only met when a NICS delay occurred.

Licensee's Response: Mrs. Gales stated that she was unaware of the waiting period requirement.

Corrective Action: In the future, the Licensee is to ensure that the laws of both states are observed when transferring a long gun to an out-of-state nonlicensed resident.

Reference Exhibit 06, Violation 03; Exhibit 08, Report of Violations; and Worksheet 02, 4473 Review.

4. 27 CFR 478.125(e)

REPEAT VIOLATION

Nature of Violation: The Licensee failed to record the disposition of a firearm on occasions. The Licensee failed to record the acquisition of a firearm on occasions.

The Licensee failed to record complete and accurate acquisition information on occasions. The Licensee failed to record complete disposition information on occasions.

Licensee's Response: Mrs. Gales stated that she was aware of the regulation, and that she had no excuse for not keeping an accurate A&D Record. She explained that she was ill for most of last year, but she acknowledged that she stayed in business and was responsible for the errors. Mrs. Gales stated that an inventory had not been conducted in over a year, which lead to many of the errors not being caught sooner.

Regarding the unrecorded dispositions, Mrs. Gales explained that 4473s and internal transfer forms were held until the end of day at which time Mrs. Gales would enter all dispositions into the bound record. She believes she simply missed making some entries.

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

Regarding the incorrect serial number in the A&D Record, Mrs. Gales stated that the incorrect serial number was known by an employee but that employee did not fix the error believing that Mrs. Gales would discover and correct the error at a later date. Mrs. Gales stated that she had no excuse for the acquisition information errors, and that she was aware of her responsibility.

Mrs. Gales stated that she knew of the requirement to separate personal firearms from business inventory due to the previous inspection. She stated that from this point on all personal firearms would be kept segregated or clearly marked as personal not for sale, and all personal firearms would be recorded in a personal bound record.

During the 2005 Compliance Inspection in which the same violation was cited, Mrs. Gales provided a similar response (see **Exhibit 13, 2005 Compliance Inspection**). Mrs. Gales also wrote in a letter to IO (b) (6) that she was aware of how to keep her bound book and personal bound book, and she wrote that she felt more secure about her personal collection of firearms (see **Exhibit 13E, Licensee's Letter**).

Corrective Action: The Licensee immediately corrected the discrepancies in the bound record of acquisitions and dispositions. The Licensee stated that all unrecorded acquisitions were personal firearms intermingled with the business inventory. All personal firearms were entered into a personal bound record and properly marked or otherwise segregated from the business inventory. In the future, the Licensee is to ensure that all acquisition and disposition information is correctly and accurately entered into her bound record. All personal firearms are to be marked or otherwise segregated in the manner prescribed in Industry Circular 72-30.

Reference Exhibit 02, Violation 04; Exhibit 08, Report of Violations; and Worksheet 01, A&D Review.

5. **27 CFR 478.99(c)**

Nature of Violation: On [redacted] occasion, the Licensee failed to ensure that the transferee was not prohibited prior to transferring a firearm. [redacted]

b3 Public Law 112-55 (125 Stat. 552)

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

Licensee's Response: Mrs. Gales stated that her husband and store employee, Dennis Gales, completed the transfer. Upon review of the ATF Form 4473 after the transfer, Mrs. Gales found the "yes" answer. The next day, she had the transferee return the firearm. However, the transferee stated at the time that he would not correct the form because he was unsure if he should answer yes or no. Therefore, he wanted to err on the side of responding "Yes" to question 11i.

Corrective Action: If the transferee erroneously answered "Yes" to question 11i, the Licensee is to have the transferee correct a copy of the original ATF Form 4473 and affix the corrected form to the original form. In the future, the Licensee is to immediately stop any transfer in which it is known or there is reasonable cause to believe the transferee is prohibited. The transferee section of the ATF Form 4473 is to be thoroughly reviewed for prohibitive information.

Reference Exhibit 07, Violation 05; Exhibit 08, Report of Violations; and Worksheet 01, 4473 Review.

(b) (6)

Signature

101

Title

03/15/10

Date of Report

Signature

Title

Date of Report

FIREARMS INSPECTION REPORT

Name: Gales, Debora
Trade Name: Deb's Gun Range

UI#: 773075-2009-0265
FFL#: 4-35-089-01-1M-38237

Index of Worksheets and Exhibits

Worksheets (WS)

WS 01: A&D Review
WS 02: 4473 Review
WS 03: 7.62 Dispositions
WS 04: Acknowledgement

Exhibits (EX)

EX 01: FLS Report
EX 02: Violation 04 [27 CFR 478.124(e)]

- EX 2A: Firearms in Inventory
- EX 2B: Inventory Reconciliation
- EX 2C: Unrecorded Acquisitions
- EX 2D: Unrecorded Dispositions
- EX 2E: Acquisitions Errors
- EX 2F: Disposition Errors

EX 03: FFL Audit Log
EX 04: Violation 01 [27 CFR 478.124(c)(3)]
EX 05: Violation 02 [27 CFR 478.21(a)]
EX 06: Violation 03 [27 CFR 478.96(c)]
EX 07: Violation 05 [27 CFR 478.99(c)]

- EX 7A: 4473
- EX 7B: Criminal History
- EX 7C: Disposition

EX 08: Report of Violations
EX 09: 7.62 NCIC
EX 10: Firearm Trace History
EX 11: Sign-In Sheet
EX 12: Gales NCIC
EX 13: 2005 Compliance Inspection

- EX 13A: 2005 Acknowledgement
- EX 13B: 2005 Report of Violations
- EX 13C: Warning Conference Letter
- EX 13D: Warning Conference Follow-up
- EX 13E: Licensee's Letter

WS 01: A&D Review

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

WS 03: 7.62 Dispositions

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

WS 04: Acknowledgement

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4 (Sept. 2005)

Licensee Name:	UI Number:
Gales, Debora	773075-2009-0265

<u>Topic</u>	<u>27 CFR</u>	<u>Page Number</u>
1. DEFINITIONS		
<input checked="" type="checkbox"/> Ammunition	478.11	36
<input checked="" type="checkbox"/> Antique Firearms	478.11	36
<input checked="" type="checkbox"/> Curios and Relics	478.11	36
<input checked="" type="checkbox"/> Engaged in the Business	478.11	37
<input checked="" type="checkbox"/> Firearm Frame or Receiver	478.11	37
<input checked="" type="checkbox"/> Identification Document	478.11	38
<input checked="" type="checkbox"/> Short Barreled Rifles & Shotguns	478.11	40
2. MISCELLANEOUS PROVISIONS		
<input checked="" type="checkbox"/> Right of Entry and Examination	478.23	41
<input checked="" type="checkbox"/> "Straw" Purchase	478.128 & General Infor. # 15	69 & 166
<input checked="" type="checkbox"/> Tracing Request from ATF	478.25a	42
<input checked="" type="checkbox"/> Compliance with State Law Publication/ATF P 5300.5	478.24	41
<input checked="" type="checkbox"/> Firearm Transportation	478.38	45
<input checked="" type="checkbox"/> Reporting Thefts or Losses/ATF F 3310.11 **	478.39a	45
3. LICENSES		
<input checked="" type="checkbox"/> Correction of Error	478.48	49
<input checked="" type="checkbox"/> Renewal/Duration	478.45 & 478.49	48 & 49
<input checked="" type="checkbox"/> Premises Covered	478.50	49
<input checked="" type="checkbox"/> Reporting Changes of Address/ATF F 5300.38	478.52	49
<input checked="" type="checkbox"/> Reporting Changes in Trade Name	478.53	49
<input checked="" type="checkbox"/> Reporting Changes of Control	478.54	49
<input checked="" type="checkbox"/> Discontinuance of Business	478.57 & 478.127	50 & 69
4. CONDUCT OF BUSINESS		
<input checked="" type="checkbox"/> Posting of License	478.91	51
<input checked="" type="checkbox"/> Sales or Deliveries Between Licensees	478.94 & 478.95	53
<input checked="" type="checkbox"/> Gun Show Guidelines **	478.100	55
<input checked="" type="checkbox"/> Out of State and Mail Order Sales	478.96	53
<input checked="" type="checkbox"/> Prohibited Sales and Deliveries	478.99	54-55
<input checked="" type="checkbox"/> NICS Requirements	478.102 & 478.131	55-56 & 70
<input checked="" type="checkbox"/> Obliterated Serial Number	478.34	44
<input checked="" type="checkbox"/> Youth Handgun Safety Act (YHSA)-Sales of Handguns	18 U.S.C. 922(x)	15-16
<input checked="" type="checkbox"/> Youth Handgun Safety Act Poster and Notices	18 U.S.C. 922(x) & 478.103	15-16 & 56-57
<input checked="" type="checkbox"/> Secure Gun Storage or Safety Device	921(a)(34), 922(z) & 923(d)(1)(G)	8 & 16-18
<input checked="" type="checkbox"/> Non-resident Aliens	478.29a & ATF Rul. 2004-1	43 & 144-145
<input checked="" type="checkbox"/> Sales to Law Enforcement Officers	478.134	70-71
5. REQUIRED RECORDS		
<input checked="" type="checkbox"/> Retention of Records	478.129	69-70
<input checked="" type="checkbox"/> Transaction Record/ATF F 4473 **	478.124	64-66
<input checked="" type="checkbox"/> Acquisition and Disposition Record	478.125(c)	67
<input checked="" type="checkbox"/> Report of Multiple Handgun Sales/ATF F 3310.4 **	478.126a	69
<input checked="" type="checkbox"/> Personal Firearms	478.125a	68
<input checked="" type="checkbox"/> Consignment of Firearms (Also, see Q&A section F15)	478.124(a)	64 & 183
6. STATE LAWS AND LOCAL ORDINANCES		
<input checked="" type="checkbox"/> Review of basic concepts including licenses, waiting periods, concealed carry permits.		

** Provide copy of form and explain requirements to complete.

Acknowledgement of Federal Firearms Regulations

Page references are in ATF P 5300.4 (Sept. 2005)

Licensee Name: Gales, Debora	UI Number: 773075-2009-0265
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<u>Topic</u>		<u>27 CFR</u>	<u>Page Number</u>
7. GUNSMITH ACTIVITIES	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Gunsmithing (Also, see Q&A section I)		478.124(a)	64 & 185
<input type="checkbox"/> Gunsmith Recordkeeping		478.124(a) & .125(e), ATF Rul. 77-1	64, 67 & 126
<input type="checkbox"/> Firearms & Ammunition Excise Tax - Contact TTB		Part 53	1-877-882-3277
8. NFA DEALER	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Authorized Operations		ATF Ruling 76-22	126
<input type="checkbox"/> General Information		Q&A -- M11 & M12	188
<input type="checkbox"/> Special Occupational Tax **		479.32 - 479.37	85-87
<input type="checkbox"/> NFA Firearms		Part 479	80-98
9. IMPORTER	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Importer Definition		478.11	38
<input type="checkbox"/> ATF Forms 6 and 6A **		478.112	58-59
<input type="checkbox"/> Importation Acquisition & Disposition Record		478.122 & 478.125(i)	63-64 & 68
<input type="checkbox"/> Markings		TD ATF-461, 478.92 & 479.102	51-52 & 92-93
<input type="checkbox"/> NFA Firearms		479.111 - 479.113	94-95
<input type="checkbox"/> Firearm & Ammunition Excise Tax - Contact TTB		Part 53	1-877-882-3277
10. EXPORTATION	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Arms Export Control Act of 1976		Part 447	99-101
11. FIREARMS & AMMUNITION MANUFACTURERS	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> Manufacturer Definition		478.11 and 479.11	38 & 83
<input type="checkbox"/> Markings		TD ATF-461 & 478.92	51-52
<input type="checkbox"/> Records		478.123 & 478.125(i)	64 & 68
<input type="checkbox"/> Firearms & Ammunition Excise Tax - Contact TTB		Part 53	1-877-882-3277
<input type="checkbox"/> NFA Firearms		479.103	93
<input type="checkbox"/> Special Occupational Tax **		479.32 - 479.37	85-87
<input type="checkbox"/> Annual Firearms Manufacturing & Exportation Report		Part 53	ATF F 5300.11
12. SCHOOL ZONE	N/A <input checked="" type="checkbox"/>		
<input type="checkbox"/> If the FFL's premises fall within 1000 feet of a school zone, advise the FFL that firearms in possession of FFL's customers must be unloaded and placed in a locked container, or a locked firearms rack that is on a motor vehicle. Also, advise of the specific means the FFL can ensure that their customers are not in violation of 18 U.S.C. 922(g) (e.g. advise customer of State license/permit options, the use of lockable containers, etc.).			

** Provide copy of form and explain requirements to complete.

The investigator explained the above information to me on 01/27/10 and answered my questions regarding this information. I have received a copy of this for my records as a reference. I understand that this is only a general overview of the regulations and that I will be responsible for familiarizing myself with all of the laws and regulations governing my licensed firearms business.

Debora F. Gales 01/27/10
Applicant's/Licensee's Signature and Date

(b) (6)

01/27/10
and Date

ATF Website: www.atf.gov
Area Office Phone: (b) (6)
Revised 11/07/08

Tracing Center
1-800-788-7133

Reporting Thefts
1-888-930-9275
1-800-800-3855

EX 01: FLS Report

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

EX 02: Violation 04 [27 CFR 478.124(e)]

- **EX 2A: Firearms in Inventory**
- **EX 2B: Inventory Reconciliation**
- **EX 2C: Unrecorded Acquisitions**
- **EX 2D: Unrecorded Dispositions**
- **EX 2E: Acquisitions Errors**
- **EX 2F: Disposition Errors**
- **EX 03: FFL Audit Log**

EX 2A: Firearms in Inventory

EX 2B: Inventory Reconciliation

EX 2C: Unrecorded Acquisitions

EX 2D: Unrecorded Dispositions

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

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b3 Public Law 112-55 (125 Stat. 552)

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b3 Public Law 112-55 (125 Stat. 552)

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EX 2E: Acquisitions Errors

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

EX 2F: Disposition Errors

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

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b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

EX 03: FFL Audit Log

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

EX 04: Violation 01 [27 CFR 478.124(c)(3)]

EX 05: Violation 02 [27 CFR 478.21(a)]

EX 06: Violation 03 [27 CFR 478.96(c)]

b3 Public Law 112-55 (125 Stat. 552)

EX 07: Violation 05 [27 CFR 478.99(c)]

- **EX 7A: 4473**
- **EX 7B: Criminal History**
- **EX 7C: Disposition**



EX 7A: 4473

EX 7B: Criminal History

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

EX 7C: Disposition

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

EX 08: Report of Violations

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 1 of 6 Pages
License/Permit/Registry Number (If any) 4350R9011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The Licensee failed to obtain a complete ATF Form 4473 prior to transferring a firearm on the following occasions:

b3 Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

27 CFR 478.124(c)(3) states in part, "After the transferee has executed the Form 4473, the licensee... Shall verify the identity of the transferee... and shall note on the Form 4473 the type of identification used... Shall, in the case of a transferee who is an alien legally in the United States, cause the transferee to present documentation establishing that the transferee is a resident of the State... and shall note on the form the documentation used... Shall... record on the form the date on which the licensee contacted the NICS, as well as any response provided by the system... The licensee shall identify the firearm to be transferred by listing... the serial number of the firearm... The licensee shall sign and date the form."

The Licensee is to make copies of the incorrect ATF Forms 4473 and make corrections to the copies. The copies are then to be affixed to the original forms. In the future, the Licensee is to ensure that all sections of ATF Form 4473 have been properly completed prior to transferring a firearm to a nonlicensee.

Citation: 27 CFR 478.124(c)(3)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 2 of 6 Pages
License/Permit/Registry Number (If any) 435089011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

The Licensee failed to provide information called for by the headings and instructions on ATF Form 4473 on the following occasions:

b3 Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

27 CFR 478.21(a) states in part, "All of the information called for in each form shall be furnished as indicated by the headings on the form and the instructions on or pertaining to the form. In addition, information called for in each form shall be furnished as required by this part."

The Licensee is to make copies of the incorrect ATF Forms 4473 and make corrections to the copies. The copies are then to be affixed to the original forms. In the future, the Licensee is to ensure that all instructions and headings on the form are followed.

Citation: 27 CFR 478.21(a)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 3 of 6 Pages
License/Permit/Registry Number (If any) 435089011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

The Licensee failed to observe state law, specifically the State of Illinois requirement for a one (1) day waiting period for Illinois residents, when transferring a long gun to an out-of-state resident on the following occasions:

b3 Public Law 112-33 (125 Stat. 552)

Date Corrections to be Made:
 (If not corrected immediately)

Corrective Action to be Taken:
 (If not corrected immediately)

27 CFR 478.96(c) states in part, "A... licensed dealer may sell or deliver a rifle or shotgun... to a nonlicensed resident of a State other than the State in which the licensee's place of business is located if... The sale, delivery, and receipt of the rifle or shotgun fully comply with the legal conditions of sale in both such States."

In the future, the Licensee is to ensure that the laws of both states are observed when transferring a long gun to an out-of-state nonlicensed resident.

Citation: 27 CFR 478.96(c)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 4 of 6 Pages
License/Permit/Registry Number (If any) 43508901 IM38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

The Licensee failed to record the disposition of a firearm on [redacted] occasions. The Licensee failed to record the acquisition of a firearm on [redacted] occasions. The Licensee failed to record complete and accurate acquisition information on [redacted] occasions. The Licensee failed to record complete disposition information on [redacted] occasions.

See Attachment 1.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

27 CFR 478.125(e) states in part, "each licensed dealer shall enter into a record each receipt and disposition of firearms... The purchase or other acquisition of a firearm shall... be recorded not later than the close of the next business day following the date of such purchase or acquisition. The record shall show the... the name and address or the name and license number of the person from whom received... serial number... of the firearm... The sale or other disposition of a firearm shall be recorded by the licensed dealer not later than 7 days following the date of such transaction."

The Licensee immediately corrected the discrepancies in the bound record of acquisitions and dispositions. The Licensee stated that all unrecorded acquisitions were personal firearms intermingled with the business inventory. All personal firearms were entered into a personal bound record and properly marked or otherwise segregated from the business inventory. In the future, the Licensee is to ensure that all acquisition and disposition information is correctly and accurately entered into her bound record. All personal firearms are to be marked or otherwise segregated in the manner prescribed in Industry Circular 72-30.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 5 of 6 Pages
License/Permit/Registry Number (If any) 435089011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Industry Circular 72-30 states in part, "Firearms dealers who have such personal firearms on licensed premises should not intermingle such firearms with firearms held for sale. Such firearms should be segregated from firearms held for sale and appropriately identified (for example, by attaching a tag) as being 'not for sale'."

Citation: 27 CFR 478.125(e)

Number: 5

Nature of Violation:

On ^{b3 Public Law 112-55 (125 Stat. 552)} occasion, the Licensee failed to ensure that the transferee was not prohibited prior to transferring a firearm. ^{b3 Public Law 112-55 (125 Stat. 552)}

b3 Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

27 CFR 478.99(c) states in part, "A... licensed dealer... shall not sell or otherwise dispose of any firearm... to any person knowing or having reasonable cause to believe that such person... Has been convicted of a misdemeanor crime of domestic violence."

If the transferee erroneously answered "Yes" to question 11i, the Licensee is to have the transferee correct a copy of the original ATF Form 4473 and affix the corrected form to the original form. In the future, the Licensee is to immediately stop any transfer in which it is known or there is reasonable cause to believe the transferee is prohibited. The transferee section of the ATF Form 4473 is to be thoroughly reviewed for prohibitive information.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GALES, DEBORA F DEBS GUN RANGE	Street Address 6819 KENNEY AVENUE	City HAMMOND	State IN	Zip Code 46323-	County LAKE	Page 6 of 6 Pages
License/Permit/Registry Number <i>(if any)</i> 435089011M38237	Expiration Date 12/1/2011	Date(s) or Period of Inspection 01/13/2010 through 01/19/2010				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.99(c)

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*

Date
01/27/10

Signature
(b) (6)

101

Date
01/27/10

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

b3 Public Law 112-55 (125 Stat. 552)

EX 09: 7.62 NCIC

(b) (6)

(b) (6)

(b) (6)

(b) (6)

EX 10: Firearm Trace History

EX 11: Sign-In Sheet



Acknowledgement of Federal Firearms Regulations

Date: 01/27/10 ATF Personnel: 101 (b) (6)

Location: Deb's Gun Range

	Position	Name (Please print)	Signature
1	AGENT	(b) (6)	(6)
2	OWNER		
3	AGENT		
4	AGENT		
5	AGENT		
6	AGENT		
7			
8			
9			
10			
11			
12			
13			
14			
15			
16			
17			
18			

EX 12: Gales NCIC

(b) (6)

(b) (6)

(b) (6)

(b) (6)

EX 13: 2005 Compliance Inspection

- **EX 13A: 2005 Acknowledgement**
- **EX 13B: 2005 Report of Violations**
- **EX 13C: Warning Conference Letter**
- **EX 13D: Warning Conference Follow-up**
- **EX 13E: Licensee's Letter**

EX 13A: 2005 Acknowledgement

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

EX 13B: 2005 Report of Violations

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

EX 13C: Warning Conference Letter

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

EX 13D: Warning Conference Follow-up

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

EX 13E: Licensee's Letter

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame

Not Within The Scope of Time Frame